

1 **Comparison of three tiled amplicon sequencing approaches for SARS-CoV-2 variant**  
2 **detection from wastewater**

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16 **ABSTRACT**

17 During the COVID-19 pandemic, the detection and sequencing of SARS-CoV-2 from  
18 wastewater proved to be a valuable tool in assessing trends at the community level. Several  
19 whole genome enrichment methods have been proposed for sequencing SARS-CoV-2 from the  
20 mixed wastewater community, but there is little consensus on the most appropriate sequencing  
21 methods for variant detection or abundance estimations. Few studies have elucidated the errors  
22 associated with these methods or have established minimum sequencing requirements for correct  
23 interpretation of the results. To address these needs, we systematically assessed the efficacy of  
24 three tiled amplicon enrichment methods (Freed/Midnight, ARTIC V4, NEB VarSkip) for whole  
25 genome sequencing of SARS-CoV-2 variants using mock wastewater communities with variants  
26 at known proportions. We found the ARTIC V4 approach yielded the most accurate results for  
27 variant identification and variant abundance estimation, followed by the NEB VarSkip approach.  
28 Conversely, the NEB VarSkip method obtained the highest genomic coverage, with the ARTIC  
29 V4 method achieving the second highest coverage. Finally, we determined that the  
30 Freed/Midnight library preparation methods are not well-suited for use with short read  
31 sequencing. Based on the present results, the ARTIC V4 workflow appears to be the most robust  
32 and cost-effective approach for monitoring circulating SARS-CoV-2 variants with wastewater  
33 surveillance.

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39 **IMPORTANCE**

40           This work is informative for practitioners of wastewater-based epidemiology. Here, we  
41 detail a systematic comparison of three tiled amplicon sequencing approaches for enrichment of  
42 SARS-CoV-2 variants from wastewater. Using mock communities of known variant  
43 composition, we validate the analysis methods previously published by Baaijens et al. in  
44 *Genome Biology* (2022) for estimating variant abundance from wastewater using an RNAseq  
45 pipeline, kallisto. We provide recommendations for minimum sequencing requirements for  
46 accurate abundance estimates of SARS-CoV-2 variants in wastewater. The sequences generated  
47 from the mock communities have been uploaded to NCBI's Sequence Read Archive and will be  
48 useful to other practitioners seeking to validate their sequencing methods or bioinformatic  
49 pipelines.

50  
51 **INTRODUCTION**

52           Wastewater-based epidemiology (WBE) is a robust approach for disease surveillance in  
53 which wastewater samples are viewed as a pooled collective sample that captures a snapshot of  
54 the community's health, without the need for extensive clinical testing. In response to the  
55 COVID-19 pandemic, wastewater surveillance for SARS-CoV-2 has been adopted globally to  
56 monitor epidemic progression at local scales (Daleiden et al., 2022; Kirby et al., 2021). Viral  
57 titers of SARS-CoV-2 in wastewater have been demonstrated to correlate with reported clinical  
58 cases of COVID-19 (Larsen & Wigginton, 2020; Medema, Heijnen, Elsinga, Italiaander, &  
59 Brouwer, 2020; Polo et al., 2020). In communities where clinical testing is limited, wastewater  
60 surveillance has effectively predicted local outbreaks or surges, with lead times up to several

61 days ahead of clinical testing (Bibby, Bivins, Wu, & North, 2021; Olesen, Imakaev, & Duvallat,  
62 2021; Zhu et al., 2021).

63 WBE has been paired with molecular epidemiology to monitor circulating and emerging  
64 variants of SARS-CoV-2. With the continued emergence and circulation of novel SARS-CoV-2  
65 lineages, variant-specific detection assays have been employed in wastewater surveillance to  
66 monitor introduction events of novel variants into local communities (Kirby et al., 2022; Yu et  
67 al., 2021). By sequencing SARS-CoV-2 genomes in wastewater, several groups have predicted  
68 SARS-CoV-2 variant abundance that correlates with clinical trends, and others have identified  
69 emerging or cryptic lineages in wastewater that were not captured by clinical sequencing  
70 (Baaijens et al., 2021; Crits-Christoph et al., 2021; Fontenele et al., 2021; Karthikeyan et al.,  
71 2022; Nemudryi et al., 2020; Rouchka et al., 2021; Schumann et al., 2022; Smyth et al., 2022). In  
72 fact, Baaijens et al. determined that different variants of SARS-CoV-2 could be estimated with a  
73 fair amount of accuracy with the RNA-seq program kallisto and a reference database containing  
74 SARS-CoV-2 variant genomes.

75 Several sequencing methods have been described for SARS-CoV-2 variant monitoring in  
76 wastewater (Barbé et al., 2022; Lin et al., 2021; Ni et al., 2021). Most often, these approaches  
77 have been adapted from workflows that were originally developed for short read sequencing,  
78 such as those described by the ARTIC network (Quick, 2020), New England BioLabs (Grim,  
79 2022), and Freed et al. (2021). The Freed/Midnight workflow generates long tiled amplicons of  
80 approximately 1,200 bp, whereas the NEB VarSkip and ARTIC V4 workflows generate  
81 amplicons of approximately 560 bp and 400 bp, respectively. There is no clear consensus on  
82 which of these methods is the most appropriate for sequencing SARS-CoV-2 from wastewater, a  
83 notoriously difficult sample matrix. Viral genomes in wastewater are low in titer and are often

84 heavily degraded (Wurtzer et al., 2021). Genomic enrichment is often required prior to  
85 sequencing, however tiled amplicon assays are susceptible to inhibition, off-target amplification,  
86 and replication errors (Lin et al., 2021). While clinical samples are comprised of a single variant,  
87 wastewater samples are comprised of multiple strains (and potentially multiple variants), pooled  
88 from an entire community, making it challenging to detect low-frequency mutations. Despite  
89 these challenges, few studies have fully elucidated the errors associated with amplicon  
90 sequencing from wastewater or systematically compared methods to assess biases introduced at  
91 the amplicon and sequencing levels.

92 In this study, we evaluated the use of three tiled amplicon enrichment methods for whole  
93 genome sequencing of SARS-CoV-2 from wastewater, the Freed/Midnight workflow, the NEB  
94 VarSkip workflow and the ARTIC V4 workflow. We will use the method created by Baaijeens et  
95 al. to identify variants and estimate their abundancies. By sequencing mock wastewater  
96 communities composed of variants at known proportions, we aimed to systematically compare  
97 these currently available and widely used methods, while assessing the challenges and errors  
98 associated with these sequencing approaches.

99

## 100 **RESULTS**

101 **Sequencing Statistics.** Fifteen mock communities, three positive controls, and two negative  
102 controls were enriched with Midnight, VarSkip and ARTIC V4 tiled amplicon primer sets. From  
103 these, 60 libraries were sequenced with PE150 reads, 60 libraries were sequenced with PE250  
104 reads, and 17 libraries were sequenced with PE300 reads. Across all libraries, 40,635,190 raw  
105 PE150 reads, 560,282 raw PE250 reads, and 484,453 PE300 reads were generated using Illumina

106 chemistry (Table 1). After quality filtering, 17,083,262 PE150 reads (42%), 483,802 PE250  
107 reads (86%), and 443,199 PE300 reads (92%) were retained.

108 The 45 mock community libraries sequenced with PE150 chemistry generated between  $10^5$   
109 and  $10^6$  reads each; the 45 mock community libraries sequenced with PE250 chemistry generated  
110 between  $10^3$  and  $10^4$  reads each, and the 15 mock community libraries sequenced with PE300  
111 chemistry generated approximately  $10^4$  reads each (Table 1). After quality filtering, read  
112 retention for the mock communities ranged between 4% and 96% (Table 1). Library preparation  
113 with ARTIC V4 tiled amplicons and subsequent sequencing with PE250 chemistry resulted in  
114 the greatest median read retention 93% (81% - 96%) (Table 1). Sequencing with PE150  
115 chemistry reduced retention of V4 amplicon reads significantly (Dunn's pairwise test,  $p_{\text{Holm-adj}} <$   
116 0.001). Approximately 90% (Table 1) of raw reads were retained from Midnight amplicons when  
117 sequenced with PE250 chemistry, but median retention was significantly reduced to 44% (4% -  
118 45%) when Midnight amplicons were sequenced with PE150 chemistry (Dunn's pairwise test,  
119  $p_{\text{Holm-adj}} < 0.001$ ). Approximately 75% (35% - 93%) of reads were retained from the VarSkip  
120 libraries when sequenced with PE300 chemistry, comparable to median number of reads were  
121 retained when sequenced with PE250 chemistry, 81% (75% - 91%). Significantly fewer reads,  
122 43% (42% - 45%), were retained when VarSkip libraries were sequenced with PE150 than with  
123 PE300 chemistry (Dunn's pairwise test,  $p_{\text{Holm-adj}} < 0.001$ ).

124 **Genomic Enrichment.** Overall, the positive controls obtained high breadth of genomic  
125 coverage and high depth of genomic coverage. The Wuhan Twist Control had between 85% and  
126 96% breadth of coverage, with median depth of coverage ranging from 9.6X to 1774X across the  
127 three library preparation methods (Supplemental Figure 1). The genomic breadth coverage of the  
128 heat-inactivated SARS-CoV-2 control carried in PBS when using three tiled amplicon

129 preparation methods ranged from approximately 67% to 99%, while the median depth of  
130 coverage ranged from 63X to 1632X (Supplemental Figure 2). For the heat-inactivated SARS-  
131 CoV-2 control spiked into wastewater the genomic breadth of coverage and the genomic depth of  
132 coverage obtained using the three library preparation methods was generally lower, with  
133 genomic breadth of coverage ranging from 5% to 84% and median depth of coverage ranging  
134 from 0.14% to 1824 (Supplemental Figure 3). This suggests that the library prep methods are  
135 inhibited by the addition of wastewater, though the V4 library prep method still obtained fair  
136 breadth of coverage (63% with PE250 reads and 84% with PE150 reads) with the wastewater  
137 present.

138 Sequencing reads from mock community libraries covered between 16% and 99% of the  
139 SARS-CoV-2 Wuhan reference genome (Table 1, Supplemental Figures 4, 5 & 6). The  
140 sequencing depth ranged from less than 1X to more than 5,276X per sample (Table 1).  
141 Approximately 74% (78 / 105) of the mock community samples were sequenced with a median  
142 depth greater than 20X, and 48% (50 / 105) of the samples were sequenced with a median depth  
143 greater than 100X (Supplementary Data). Few libraries (22%, 23 / 105) resulted in at least 20X  
144 depth over  $\geq 90\%$  of the genome. Variations in sequencing depth, genomic coverage, and  
145 genome coverage  $>20X$  were not attributed to RNA template concentration nor mock community  
146 composition. Instead, the efficiency and evenness of genomic enrichment varied between library  
147 preparation methods and sequencing approaches.

148 The median genomic coverage from all VarSkip libraries was 96% (77% - 99%) across a  
149 range of sequencing effort (Figure 1). At the highest sequencing effort, at least  $10^7$  bases,  
150 genomic coverage with at least 20X depth was 96% (77% - 99%) for VarSkip libraries (Figure  
151 1). When sequenced with at least  $10^7$  bases, median genome coverage was 94% (77% - 97%) for

152 ARTIC V4 libraries, and median genome coverage with at least 20X depth was 75% (48%-87%,  
153 Figure 1). Midnight libraries sequenced with at least  $10^7$  bases resulted in 82% (75% - 89%)  
154 genome coverage and 71% (45% - 82%) genome coverage with at least 20X depth (Figure 1).

155 **Variant Assignment with kallisto.** Of the filtered sequencing reads, 32-98% were assigned  
156 to a reference variant of SARS CoV-2 by kallisto (Supplementary Data). Among the library  
157 preparation methods, VarSkip amplicons resulted in the greatest proportion of reads assigned in  
158 kallisto (Dunn's pairwise tests,  $p_{\text{Holm-adj}} < 0.001$ ). There was no significant difference in the  
159 proportion of assigned reads between V4 and Midnight libraries (Dunn's pairwise test,  $p_{\text{Holm-adj}} =$   
160 0.36). Sequencing VarSkip amplicons with either PE150 or PE250 reads resulted in significantly  
161 greater proportion of assigned reads than when sequencing with PE300 reads (Dunn's pairwise  
162 tests,  $p_{\text{Holm-adj}} < 0.001$ ). There was no significant difference in the proportion of assigned reads  
163 when sequencing V4 amplicons with PE150 reads or with PE250 reads (Dunn's pairwise test,  
164  $p_{\text{Holm-adj}} = 1.0$ ). Similarly, there was no difference in the proportion of assigned reads between  
165 Midnight amplicons sequenced with PE150 or PE250 reads (Dunn's pairwise test,  $p_{\text{Holm-adj}} = 1.0$ ).

166 Four SARS-CoV-2 variants (Wuhan, Alpha, Beta, and Delta) were spiked into each mock  
167 community at different proportions (3%, 14%, 25%, or 55%). Using a pipeline containing the  
168 program kallisto, the parent Wuhan lineage, as well as the Alpha, Beta, and Delta variants were  
169 detected in 100% of the mock community libraries (105 / 105, Supplemental Table 1). Reads  
170 were also assigned to variants of SARS-CoV-2 present in the reference database, but not spiked  
171 into the mock communities, including Epsilon, Eta, Gamma, Iota, Kappa, Mu, Omicron BA.1,  
172 Omicron BA.2, Omicron BA.4, Omicron BA.5, and Zeta. We believe these misassignments are  
173 due to low quality and short reads producing noise, as the majority of these variants had not  
174 emerged at the time these libraries were created. Reads assigned to these off-target variants are



175 binned together as “Other” in Figure 2 but defined in detail in Supplementary Data. The most  
176 prevalent off-target assignment was Mu, called in 90% (95 / 105) of the mock community  
177 libraries, whereas the least abundant off-target assignment was Eta, called in 47% (49 / 105) of  
178 the libraries (Supplementary Data).

179 **Variant Abundance.** Variant assignments in kallisto were used to calculate abundance  
180 estimates for the four variants of SARS-CoV-2 (Wuhan, Alpha, Beta, Delta) spiked into each  
181 mock community sample at known proportions (Supplemental Figure 7). Across wastewater  
182 mock communities prepared with Midnight amplicons, the relative abundance of the Wuhan  
183 parent lineage was typically over-estimated, whereas the relative abundances of the Alpha, Beta,  
184 and Delta variants were typically underestimated (Figure 1). Across mock communities prepared  
185 with ARTIC V4 amplicons, the relative abundances of the Wuhan parent lineage, the Alpha, and  
186 the Delta lineages were typically under-estimated, while the Beta lineage was typically over-  
187 estimated. When prepared with VarSkip amplicons, the relative abundance of the Wuhan lineage  
188 was under-estimated, except when sequenced with PE300 reads. The relative abundances of the  
189 Alpha, Beta, and Delta lineages were typically underestimated in the VarSkip libraries,  
190 regardless of sequencing approach. Across all mock communities, the relative abundance of off-  
191 target variants ranged from 2% to 26%.

192 The accuracy of variant abundance prediction was assessed as the  $R^2$  value of the one-to-one  
193 model between expected abundance and observed abundance of the SARS-CoV-2 variants in  
194 each sample, and as the root mean squared error (RMSE) between abundance expected and  
195 abundance obtained from the data. The single variant positive controls created using the three  
196 tiled amplicon approaches have  $R^2$  values between -7.79 to 0.99 and RMSE values between 0.25  
197 and 28.32 (Supplemental Figure 8-10). Within the positive controls the V4 library prep methods

198 yielded better  $R^2$  and RMSE values than the VarSkip and Midnight library preparation methods.  
199 Across individual mock communities,  $R^2$  values ranged from 0.02 to 0.99 and RMSE values  
200 ranged from 1.13 to 8.93 (Supplemental Figures 11-13).

201 Based on RMSE and  $R^2$  values, ARTIC V4 libraries yielded the most accurate estimates of  
202 variant abundance, whereas abundance estimates from Midnight libraries were least accurate  
203 (Figure 2). The RMSE and  $R^2$  values were significantly greater for ARTIC V4 libraries than for  
204 Midnight libraries (Dunn's pairwise tests,  $p_{\text{Holm-adj}} < 0.01$ ), but not significantly different between  
205 Midnight and VarSkip libraries (Dunn's pairwise tests,  $p_{\text{Holm-adj}} > 0.05$ ). The  $R^2$  values of the  
206 ARTIC V4 libraries were not significantly different than the  $R^2$  values of the VarSkip libraries  
207 (Dunn's pairwise tests,  $p_{\text{Holm-adj}} > 0.05$ ). The RMSE values of the ARITC V4 libraries sequenced  
208 with PE250 chemistry were significantly lower than the RMSE values of VarSkip libraries  
209 sequenced with PE300 chemistry (Dunn's pairwise tests,  $p_{\text{Holm-adj}} = 0.03$ ), but otherwise the  
210 RMSE values between the two library methods were comparable (Dunn's pairwise tests,  $p_{\text{Holm-adj}}$   
211  $> 0.05$ ).

212 Neither the RMSE nor the  $R^2$  values were significantly different between ARTIC V4  
213 libraries sequenced with PE150 reads and those sequenced with PE250 reads (Dunn's pairwise  
214 test,  $p_{\text{Holm-adj}} = 1.0$ ). Accuracy was not significantly different between VarSkip libraries  
215 sequenced with PE150, PE250, or PE300 reads, nor was the accuracy of Midnight libraries when  
216 sequenced with PE150 and PE250 reads (Dunn's pairwise test,  $p_{\text{Holm-adj}} > 0.05$ , Figure 2).

217 When examined across all libraries, the RMSE estimates of accuracy were significantly,  
218 inversely correlated with genomic coverage (Spearman's,  $Rho = -0.3$ ,  $p < 0.01$ ), but not with the  
219 number of sequencing reads, the median sequencing depth, nor the genome coverage  $> 20X$   
220 (Supplemental Figure 14-15). There was no strong or significant correlation between  $R^2$  and the

221 number of sequencing reads, between  $R^2$  and genomic coverage, between  $R^2$  and sequencing  
222 depth, nor between  $R^2$  and genome coverage  $> 20X$  (Spearman's,  $p > 0.05$ ).

223 There was no significant difference in RMSE or  $R^2$  by template concentration (Kruskal-  
224 Wallis,  $p > 0.05$ , Supplemental Table 11-13). Differences in the  $R^2$  were noted, however,  
225 between mock communities of different compositions (Kruskal-Wallis,  $p = 0.03$ , Supplemental  
226 Table 11-13), specific differences could not be determined using post-hoc analyses (Dunn's  
227 pairwise test,  $p_{Holm} > 0.05$ , Supplemental Table 11-13). The RMSE values were not significantly  
228 different between the different mock communities (Kruskal-Wallis,  $p = 0.26$ ).

229 **Subset Data.** Filtered reads were subset from each library that was sequenced with PE150  
230 reads and PE300 reads to determine the threshold where sequencing depth is insufficient to  
231 correctly assign variants and estimate abundance. From the subset data, the resulting  $R^2$  values  
232 ranged from -1.43 to 1.00, and the RMSE values ranged from 0.64 to 22.0. Based on the global  
233 Friedman test, the  $R^2$  values were significantly affected by sequencing depth (Friedman's tests,  $p$   
234  $< 0.01$ ). Post-hoc analyses, however, only indicated a significant effect of sequencing depth for  
235 full-length VarSkip libraries sequenced with PE300 reads. Libraries subset to the lowest  
236 coverage (1X) were significantly less accurate than libraries subset to the highest coverages  
237 (10X, 12X, 25X, 50X, 100X, Dunn's pairwise tests,  $p_{Holm} < 0.01$ , Figure 3).

238 The RMSE values were significantly affected by the depth of coverage (Friedman's tests,  $p <$   
239  $0.001$ ). The Midnight libraries subset to 2X were less accurate than libraries subset to the highest  
240 coverage, 100X (Dunn's pairwise test,  $p_{Holm} = 0.05$ , Figure 3). The V4 libraries subset to 1X  
241 were less accurate than libraries subset to 50X and 100X (Dunn's pairwise tests,  $p_{Holm} < 0.05$ ,  
242 Figure 3). The VarSkip libraries, sequenced with PE150 reads, subset to 1X were less accurate  
243 than libraries subset to 25X, 50X, and 100X (Dunn's pairwise tests,  $p_{Holm} < 0.05$ , Figure 3). The

244 full-length VarSkip libraries, sequenced with PE300 reads, subset to 1X were less accurate than  
245 all libraries subset to 4X or greater (Dunn's pairwise tests,  $p_{Holm} < 0.05$ , Figure 3).

246

## 247 **DISCUSSION**

248 Monitoring SARS-CoV-2 variants in wastewater is a promising new tool for new variant  
249 identification and outbreak tracking, however, it is notoriously challenging to implement due to  
250 the overwhelming abundance of non-target nucleic acids present in the complex wastewater  
251 matrix. For wastewater surveillance to be successful, sequencing of SARS-CoV-2 RNA requires  
252 genomic enrichment. Our results demonstrate that multiplexed tiled amplicon enrichment of  
253 SARS-CoV-2 in wastewater is a promising strategy for surveillance of SARS-CoV-2. We found  
254 that ARTIC V4 and sheared NEB VarSkip workflows provided sufficient data for use with  
255 kallisto to call variants and estimate their abundance with a high degree of accuracy. With further  
256 optimization, wastewater sequencing with either ARTIC V4 or NEB VarSkip workflows are  
257 likely to provide robust information for the genomic surveillance of variant(s) circulating within  
258 the population.

259 **Sequencing Coverage and Sequencing Depth.** Obtaining high genome coverage is important  
260 for accurate variant identification and abundance estimations (Baaijens et al., 2021). SARS-CoV-  
261 2 viral RNA is often found highly degraded and in low titers, requiring genomic enrichment prior to  
262 sequencing (Lin et al., 2021; Wurtzer et al., 2021). Even after amplicon enrichment, sequences obtained  
263 from wastewater often result in uneven coverage and depth across the SARS-CoV-2 genome (Lin et al.,  
264 2021; Smyth et al., 2022). We determined that libraries created with the NEB VarSkip library prep  
265 methods (both fragmented and full length) produced the highest genomic coverage and  
266 sequencing depth, when compared to ARTIC V4 and Midnight (Table 1). The starting

267 concentrations of the mock community did not significantly impact the genomic coverage and  
268 sequencing depth acquired from these libraries.

269 Uneven genomic coverage was noted for all three tiled amplicon approaches but was  
270 especially apparent from libraries prepared with the 1,200-bp Freed/Midnight primer scheme.  
271 Regardless of sequencing effort, the Freed/Midnight consistently resulted in poor coverage and  
272 depth (Supplemental Figure 4). Several regions of the SARS-CoV-2 genome were entirely  
273 uncaptured by the Freed/Midnight amplicon tiled method. These gaps in coverage were likely  
274 due to the segmentation of the synthetic RNA controls, each of which are comprised of six 5-kp  
275 non-overlapping fragments (Twist Biosciences, 2022). Given this context, these data  
276 demonstrate that long amplicons are not appropriate for sequencing genomic material that is  
277 fragmented and heavily degraded, as we would expect of SARS-CoV-2 RNA isolated from  
278 wastewater. Our findings are consistent with those by Lin et al. (2021), who found that shorter  
279 amplicons are more resilient to sample RNA degradation than the larger Freed/Midnight  
280 amplicons.

281 **SARS-CoV-2 Variant Identification from Wastewater.** Variant identification was performed  
282 via a pipeline containing kallisto as described by Baaijens, et al. (2021). With this computational  
283 approach, target SARS-CoV-2 variants were called from all libraries containing mock  
284 communities (Supplemental Figure 7). Even variants that were at low proportions within the  
285 mock community (3% and 14%), were detected in all libraries. These results demonstrate better  
286 variant calling than Baaijens et al. (2021), who found it challenging to detect variants in  
287 wastewater with clinical frequencies less than 10%. This suggests high-quality amplicon libraries  
288 can detect the emergence of low-frequency variants, overcoming a significant limitation for use  
289 in public health surveillance.

290 While the sheared VarSkip libraries contained the most sequencing reads from the  
291 wastewater mock communities assigned to reference genomes by kallisto, the ARTIC V4  
292 libraries had the most accurate assignments of the mock community reads (Figure 2). Often,  
293 mock community reads were assigned to off-target variants that were not spiked into the original  
294 sample but were present in the reference database. These off-target calls result in noise that can  
295 obscure the true dynamics of circulating variants. To reduce noise, Baaijens et al. (2021)  
296 recommended filtering results by applying a minimal abundance threshold of 0.1%. Even when  
297 filtering for low-abundant calls, we detected off-target variants ubiquitously across the samples.  
298 However, in the ARTIC V4 libraries we saw low proportions of off target reads being called  
299 (<2%) and accurate variant calls for the reads that were assigned to genomes in the reference  
300 database (Figure 2). This was observed with both read lengths and across all mock community  
301 starting concentrations.

302 **Predictions of Variant Abundance.** The sequencing and analysis of wastewater mock  
303 communities resulted in highly accurate abundance estimates of SARS-CoV-2 variants, with  $R^2$   
304 values reaching up to 0.99 (Supplemental Figure 11-13). Libraries prepared with ARTIC V4 and  
305 NEB VarSkip workflows resulted in significantly better estimates than libraries prepared with the  
306 Freed/Midnight amplicons, with ARTIC V4 libraries making the most accurate estimations out of all the  
307 library preparation methods (Figure 2A). This accuracy seen in the estimations made from ARTIC V4  
308 libraries can be seen in both sequencing read lengths. These results suggest that library preparation with  
309 the ARTIC V4 workflow may be the most efficient approach to genomic surveillance for SARS-CoV-2  
310 variants from wastewater. The NEB VarSkip and Freed/Midnight approaches require fragmentation if  
311 they are to be run on an Illumina machine that yields PE150 or PE250 reads, whereas ARTIC V4  
312 amplicons do not require end preparation with fragmentation. Additionally, ARTIC V4 amplicons can be

313 sequenced with a read length of PE150, which are generally less expensive, without needing  
314 fragmentation. Therefore, we conclude that library preparation with the ARTIC V4 workflow is less  
315 expensive and more efficient than NEB VarSkip and Freed/Midnight library preparation methods.  
316 **Threshold for Sequencing.** Filtered reads were subset from each mock community library that  
317 was sequenced with PE150 reads and PE300 reads to determine the minimum sequencing  
318 requirements for obtaining accurate variant assignment and abundance estimations for each  
319 library type. There has been no obvious comparison of the minimum sequencing requirements  
320 needed from each of the library preparation methods described here. We determined that when  
321 using ARTIC V4 sequencing reads, there is no significant difference in the accuracy of variant  
322 detection and estimation between sequencing depths (from 1X to 100X) (Figures 3). This  
323 illustrates how low the sequencing depth of a sample prepared with ARTIC V4 libraries can be  
324 and still obtain the correct variant identifications and estimations. Similarly, subset reads from  
325 fragmented VarSkip libraries had no significant differences between any of the sequencing  
326 depths when using the  $R^2$  to measure accurate identification and estimation. However, when  
327 using the RMSE values there were significant differences seen between 1X of sequencing depth  
328 and a few of the higher sequencing depths (Figures 3). From this we determine that fragmented  
329 VarSkip libraries can also have moderately low sequencing depth ( $\geq 2X$ ) and still obtain accurate  
330 results. The same cannot be said for libraries prepared with the Freed/Midnight amplicons or  
331 libraries prepared with full length VarSkip; both of which had higher  $R^2$  for all coverage depths  
332 and significant differences between many of the coverage depths (Figure 3).

333

334 **CONCLUSION**

335 Several sequencing methods have been proposed for genomic surveillance of SARS-CoV-2  
336 in wastewater, but there is little consensus on the most appropriate approaches for variant  
337 identification and abundance estimations. By generating, sequencing, and evaluating a series of  
338 mock wastewater communities, we directly compared three tiled amplicon approaches for the  
339 whole genome enrichment of SARS-CoV-2 variants from wastewater. We demonstrate that  
340 while mock community reads created with NEB VarSkip library preparation methods yield the  
341 highest genomic coverage and sequencing depth, ARTIC V4 libraries obtain the most accurate  
342 variant identifications and abundance estimations. We also illustrate that mock community reads  
343 created with the Freed/Midnight library preparation method are not ideal for variant detection or  
344 abundance estimation when using short read sequencing. In summary, ARTIC V4 and NEB  
345 VarSkip approaches are applicable for the routine monitoring of circulating SARS-CoV-2  
346 variants from wastewater. To perform this task in the most labor- and cost-efficient way, we  
347 recommend using the ARTIC V4 library preparation methods.

348

## 349 **METHODS**

350 **Background Wastewater Matrix.** Time-composite (24 h) wastewater influent samples  
351 were collected from Athens-Clarke County, Georgia (USA) over multiple days and three  
352 separate wastewater treatment plants in May 2020, when viral titers of SARS-CoV-2 were below  
353 the limit of detection (Lott et al., 2023). Bulk wastewater samples were stored at  $-20^{\circ}\text{C}$  prior to  
354 total nucleic acid extraction. DNA and RNA were extracted from wastewater samples were  
355 extracted using the Zymo Environ Water RNA Kit (Cat No. R2042, Zymo Research Corp,  
356 Irvine, CA). Briefly, DNA and RNA were extracted from 10 mL aliquots of wastewater  
357 according to the manufacturer's instructions. Extracted RNA and DNA were eluted in 60  $\mu\text{L}$  of



358 molecular-grade water. 84 aliquots of influent wastewater were processed, and the eluates were  
359 combined for a total volume of 5 mL of DNA/RNA extract, which served as the diluent  
360 (background) in the preparation of the mock communities. The background matrix was stored at  
361  $-20^{\circ}\text{C}$  prior to preparation with mock communities.

362 **Mock Communities.** Mock communities were prepared by spiking synthetic genomic RNA  
363 of known SARS-CoV-2 variants into the background wastewater matrix. Synthetic genomic viral  
364 RNA for each of four SARS-CoV-2 variants - Wuhan, Alpha (B.1.1.7), Beta (B.1.351), and  
365 Delta (AY.2) - were sourced from Twist Biosciences (Supplemental Table 2) and combined at  
366 varying proportions to prepare five distinct mock communities (Table 2). Mock communities  
367 were diluted into the background wastewater matrix to a final concentration of  $10^3$ ,  $10^2$ , and  $10^1$   
368 copies  $\mu\text{L}^{-1}$  (all variants combined).

369 **Library Preparation.** Immediately following mock community preparation, cDNA was  
370 synthesized from each sample using LunaScript® RT SuperMix (Cat No. E3010, New England  
371 BioLabs, Ipswich, MA), according to the manufacturer's recommended reaction conditions  
372 (Supplemental Table 3). The cDNA was stored at  $-20^{\circ}\text{C}$  prior to whole genome enrichment with  
373 either ARTIC V4, VarSkip, or Midnight primer sets.

374 Midnight amplicon libraries were prepared with the Freed/Midnight Amplicon Panel  
375 workflow, modified for sequencing with Illumina chemistry. Briefly, 1,200 bp amplicons were  
376 generated with the Freed/Midnight primer pools (Freed, Vlková, Faisal, & Silander, 2021, Cat  
377 No. 10011644) and Q5® Hot Start High-Fidelity 2X Master Mix, according to recommended  
378 reaction conditions (Supplemental Table 4). The two amplicon pools were combined in equal  
379 proportions and bead cleaned at 0.65X. Fragmentation and end repair were conducted with  
380 NEBNext® End Prep Ultra II FS reagents (Supplemental Table 4, Cat No. E7805, Ipswich,

381 MA). Custom iTru adapters were ligated to amplicons with NEBNext® Ultra II Ligation Master  
382 Mix (Supplemental Table 6). A second bead clean-up was performed at 0.8X before proceeding  
383 with a final PCR enrichment with iTru indices (Supplemental Table 7).

384 NEB VarSkip amplicon libraries were prepared according to the NEBNext® ARTIC SARS-  
385 CoV-2 FS Library Prep Kit Workflow for Illumina® (NEB, Cat No. E7658, Ipswich, MA).  
386 Briefly, 500 bp amplicons were generated using NEB VarSkip Short primer pools (Gautreau,  
387 2021, NEB, Cat No. E7658) and Q5® HotStart MasterMix, under recommended reaction  
388 conditions (Supplemental Table 8). The two amplicon pools were combined in equal proportions  
389 and bead cleaned at 0.8X. Amplicons prepared for short-read Illumina sequencing (PE150 and  
390 PE250 reads) were fragmented with NEBNext® End Prep Ultra II FS reagents (Supplemental  
391 Table 5, Cat No. E7805, Ipswich, MA). Amplicons prepared for long-Illumina read sequencing  
392 (PE300) underwent end repair with NEBNext® End Prep Ultra II Kit (Supplemental Table 9, Cat  
393 No. E7645, NEB, Ipswich, MA). Custom iTru adapters were ligated to amplicons with  
394 NEBNext® Ultra II Ligation Master Mix with a 15 min incubation at 20°C (Supplemental Table  
395 6). A second bead clean-up was performed at 0.8X before proceeding with PCR enrichment with  
396 iTru indices (Supplemental Table 7).

397 ARTIC V4 amplicon libraries were prepared according to the NEBNext® ARTIC SARS-  
398 CoV-2 Library Prep Kit Workflow for Illumina® (Discontinued, New England BioLabs,  
399 Ipswich, MA). Briefly, 400 bp amplicons were generated using ARTIC V.4.0 primer pools  
400 (Quick, 2020), and Q5® Hot Start High-Fidelity 2X Master Mix (NEB, Cat No. M0494,  
401 Ipswich, MA), according to the recommended reaction conditions (Supplemental 8). The two  
402 amplicon pools were combined in equal proportions and cleaned with a 0.8X SpeedBead™  
403 clean-up (Sera-Mag SpeedBeads™, Cat No. 65152105050250, Cytiva, USA). Following end

404 repair with NEBNext® End Prep Ultra II Kit (Supplemental Table 9, Cat No. E7645, NEB,  
405 Ipswich, MA), custom iTru adapters were ligated to amplicons with NEBNext® Ultra II Ligation  
406 Master Mix (Cat No. E7648, NEB, Ipswich, MA) with a 15 min incubation at 20°C  
407 (Supplemental Table 8). A second clean-up was performed at 0.9X before proceeding with PCR  
408 enrichment with iTru indices (Supplemental Table 7).

409 All adapter-ligated amplicons were amplified with custom iTru5 and iTru7 index primers  
410 (Glenn *et al.* 2019) with NEBNext Ultra II Q5 Master Mix (NEB, Cat No, M0544, Ipswich, MA)  
411 according to reaction conditions described in Supplemental Table 6. A final bead clean was used  
412 to select NEB VarSkip full length amplicons ~500 bp, ARTIC V4 amplicons ~400 bp, NEB  
413 VarSkip sheared amplicons ~300 bp, and Midnight amplicons ~ 300bp for sequencing on  
414 Illumina MiSeq with PE250 reads (500 cycles) and on Illumina HiSeq with PE150 reads (300  
415 cycles). Unfragmented NEB VarSkip amplicons ~500 bp were sequenced on Illumina MiSeq  
416 with PE300 reads (600 cycles). Libraries were sequenced to target  $10^5$  PE150 reads,  $10^3$  PE250  
417 reads, and  $10^4$  PE300 reads.

418 **Controls.** Several positive and negative controls were prepared as amplicon libraries and  
419 sequenced in parallel with mock communities. Positive extraction controls included a heat-  
420 inactivated strain of the SARS-CoV-2 Wuhan variant carried in 1X phosphate buffered saline  
421 (PBS) and a heat-inactivated strain of the SARS-CoV-2 Wuhan variant, carried in wastewater  
422 (ATCC VR-1986HK). Viral RNA was extracted from these positive controls using the Zymo  
423 Environ Water Kit, as described previously, and prepared as amplicon libraries at a starting  
424 concentration of approximately 150 copies  $\mu\text{L}^{-1}$ . Alongside these extraction controls, the Twist  
425 Wuhan control was prepared into amplicon libraries at a starting concentration of  $10^3$  copies  $\mu\text{L}^{-1}$

426 <sup>1</sup>. Negative controls included the background wastewater matrix in addition to molecular-grade  
427 water.

428 **Sequence Cleaning.** Trimmomatic v0.39 was used to perform quality filtering and to  
429 remove adapters from the raw reads (Bolger, Lohse, & Usadel, 2014). Leading and trailing  
430 parameters were set to quality of three Phred-33 to remove low quality bases from the reads. The  
431 sliding window parameter was set to 4:20 to quality trim the reads and the minlen parameter was  
432 set to exclude sequences that were less than 100 bp. To quantify coverage, sequences were  
433 aligned to the SARS-CoV-2 Wuhan reference genome (GenBank MN908947) using bbmap  
434 (Bushnell, 2014).

435 **Variant Abundance Estimation.** Kallisto was used to assign variants and estimate their  
436 abundance within a sample (Bray, Pimentel, Melsted, & Pachter, 2016). The reference database  
437 for kallisto was created using high-quality genomes obtained from GISAID (Elbe &  
438 Buckland & Merrett, 2017). Genomes that were categorized as high quality, high coverage, no  
439 gaps and low number of N's were used in the reference database. Whenever possible, five  
440 genomes were used for each known variant of concern or variant of interest within the  
441 population. A list of variants and the reference genomes used for each variant can be found in  
442 Supplemental Table 10. Trimmed and cleaned reads were used to estimate the abundance of  
443 SARS-CoV-2 variants which were estimated using the kallisto quant command with the -b flag  
444 set to 100 (Baaijens et al., 2021). Variant abundance estimations were not adjusted for noise seen  
445 in the negative controls, though a minimum abundance threshold of 0.1% was applied to  
446 minimize false positives.

447 **Statistical Analyses and Data Visualization.** Sequencing statistics, genome coverage, and  
448 SARS-CoV-2 variant abundance estimates are provided in Supplementary Data. Raw data were

449 examined and visualized using R v.4.3.1 in RStudio v.2022.07.2+576. Analysis scripts are  
450 available on Github ([https://github.com/meganejlott/ww\\_mock\\_community](https://github.com/meganejlott/ww_mock_community)). Additional Details  
451 for Statistical Analyses are described in Supplemental Text.

452 **Subsetting Filtered Sequence Data.** The trimmed reads for all samples with HiSeq PE150  
453 read and MiSeq PE300 reads were subset using seqtk v1.4 (Li, 2012). For all mock community  
454 libraries, the trimmed reads were subset to create coverages of 1X, 2X, 3X, 4X, 5X, 6X, 7X, 8X,  
455 9X, 10X, 12X, 25X, 50X, and 100X. After subsetting, the subset samples for each sample type  
456 went through the same variant calling process the original samples were processed through.

457

## 458 **AUTHORSHIP**

459 Conceptualization: TCG, EKL; Methodology: MEJL, AHS, LML, MSB; Investigation:  
460 MEJL, AHS, LML, KCD; Formal analysis: AHS, MEJL, WAN; Writing - review and editing:  
461 AHS, MEJL, LML, WAN, KCD, MSB, TCG, EKL; Funding acquisition: EKL; Supervision:  
462 EKL, TCG.

463

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467

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## TABLES

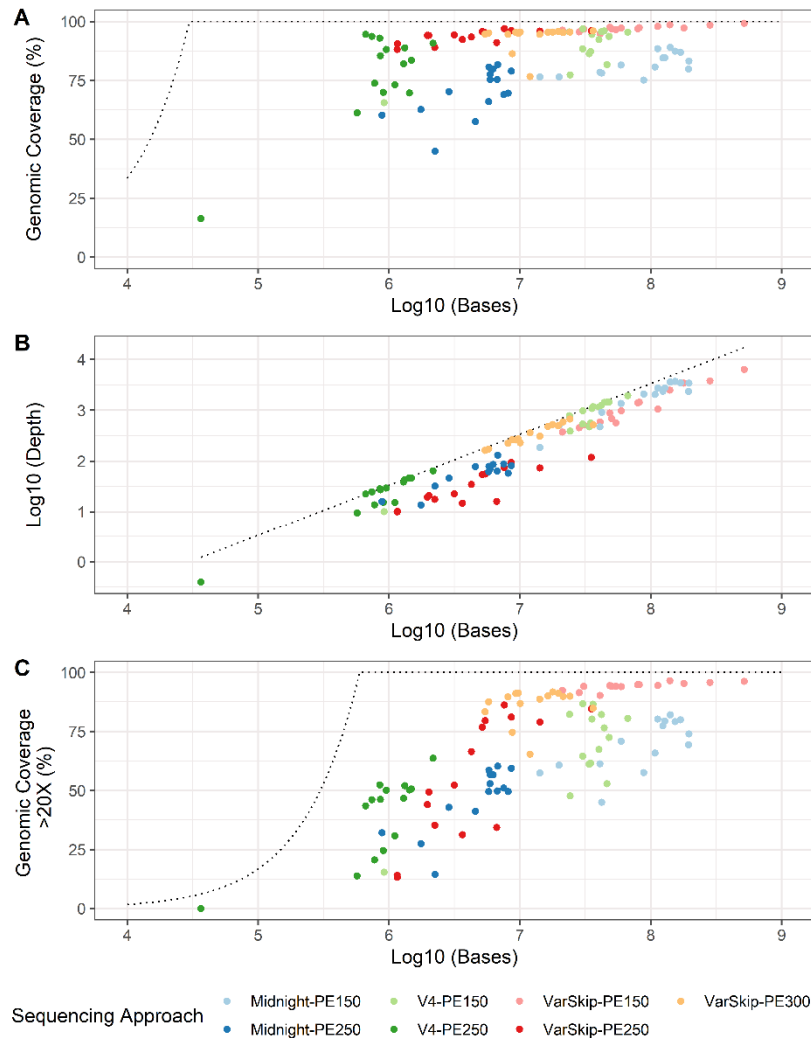
**Table 1. Sequence Summary Table.** Summary of sequences obtained from whole genome amplification of SARS-CoV-2 from wastewater mock communities (all variant combinations and final concentrations, combined), using three tiled amplicon approaches. Assignment, breadth of coverage, and depth are all relative to filtered reads.

Primer Set	Read Length	No. Samples	Raw Reads	Filtered Reads	Read Retention (%)	Reads Assigned in Kallisto	Read Assignment (%)	Genome Breadth of Coverage (%)	Depth	Genome Coverage >20X Depth (%)
			Median (Range)	Median (Range)	Median (Range)	Median (Range)	Median (Range)	Median (Range)	Median (Range)	Median (Range)
Midnight	PE150	15	925,520 (149,940-1,474,862)	377,458 (47,248-1,474,862)	44 (4-45)	329,005 (23,648-535,350)	89 (46-96)	82 (75-89)	480 (15-1,879)	71 (45-82)
Midnight	PE250	15	13,175 (1,926-18,968)	11,840 (1,769-18,968)	90 (84-92)	9,672 (1,652-16,349)	90 (52-96)	70 (45-82)	18 (<1-46)	50 (14-60)
ARTIC V4	PE150	15	289,996 (7,544-544,970)	118,348 (3,059-544,970)	41 (40-41)	106,940 (1,035-189,708)	88 (34-97)	94 (66-97)	181 (3-516)	72 (15-87)
ARTIC V4	PE250	15	2,030 (90-4,686)	1,813 (73-4,686)	93 (81-96)	1,527 (23-3,598)	86 (32-95)	84 (16-95)	17 (<1-30)	46 (0-64)
VarSkip	PE150	15	448,658 (168,500-3,882,174)	198,844 (70,570-388,2174)	43 (42-45)	191,692 (6,9421-1,694,581)	98 (96-98)	97 (95-99)	854 (356-5,276)	94 (90-96)

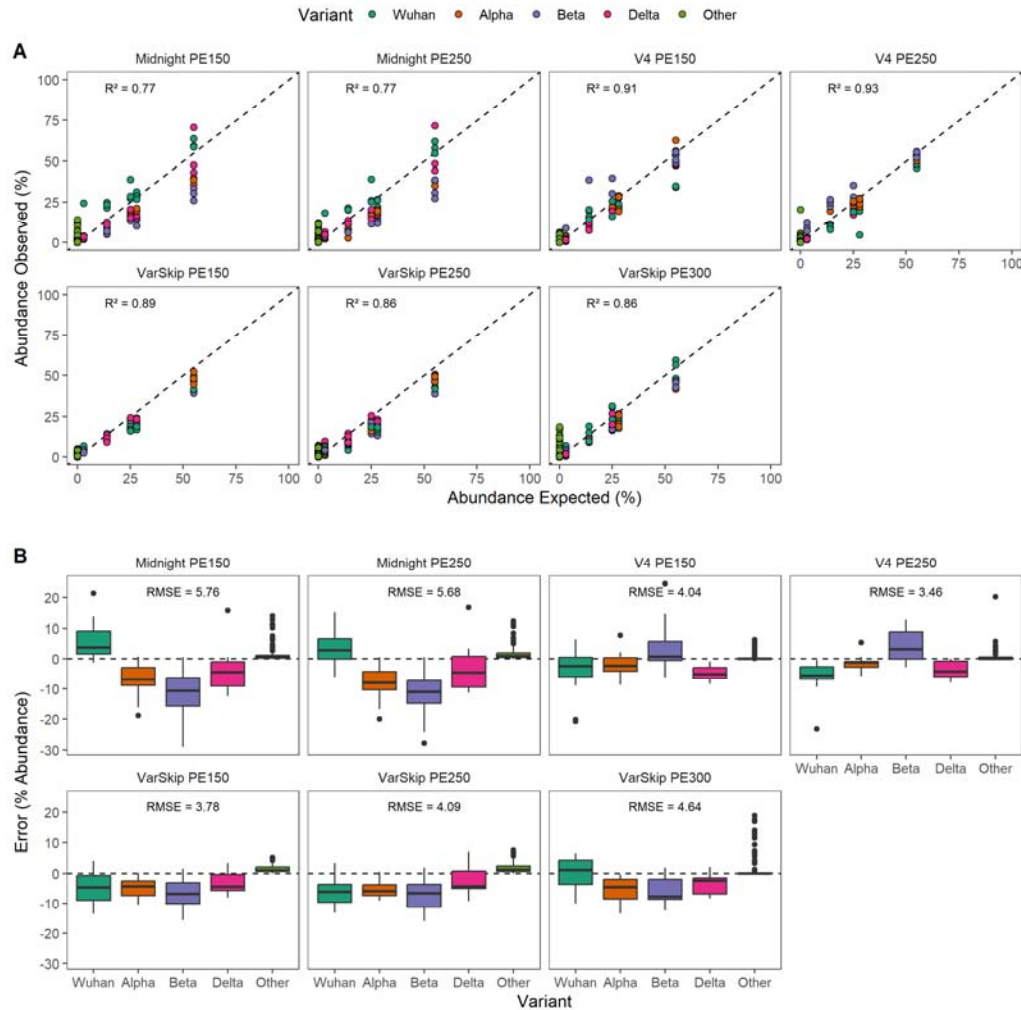
**Table 2. Wastewater Mock Community SARS-CoV-2 Variant Composition.** Synthetic Genomic RNA for SARS-CoV-2 variants were sourced from Twist Biosciences and combined at known proportions to prepare five distinct mock community profiles. Mock communities were then diluted into the background wastewater matrix to a final concentration of  $10^3$ ,  $10^2$ , and  $10^1$  copies  $\mu\text{L}^{-1}$ , resulting in fifteen unique mock communities.

<b>Mock Community No.</b>	<b>Wuhan</b>	<b>Alpha (B.1.1.7)</b>	<b>Beta (B.1351)</b>	<b>Delta (AY.2)</b>
<b>1</b>	3%	14%	28%	55%
<b>2</b>	55%	3%	14%	28%
<b>3</b>	28%	55%	3%	14%
<b>4</b>	14%	28%	55%	3%
<b>5</b>	25%	25%	25%	25%

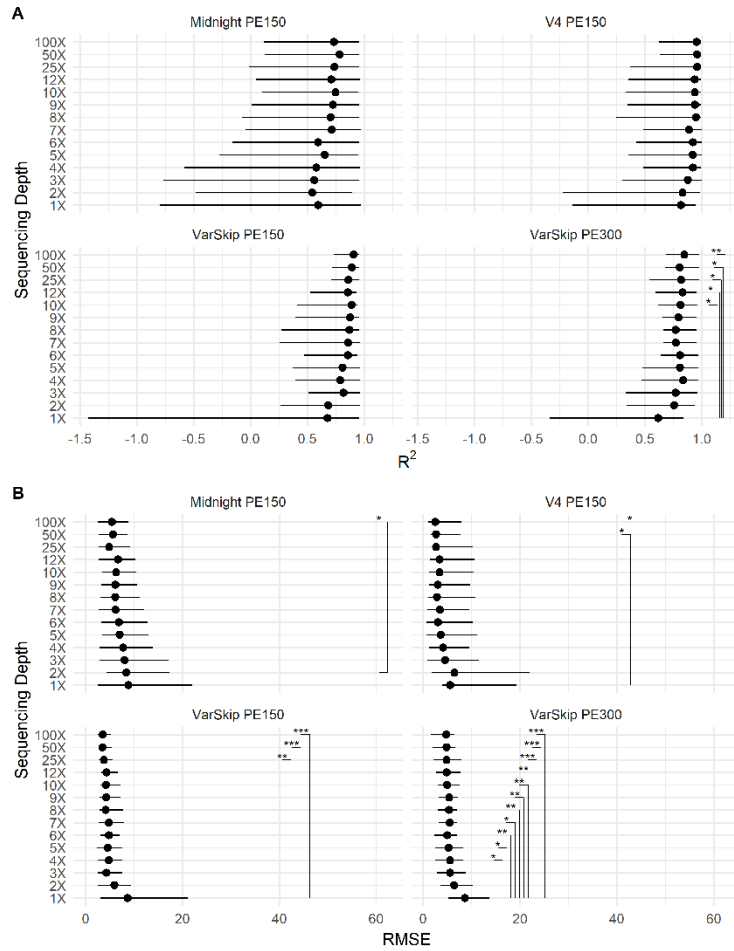
## FIGURES



**Figure 1. Evaluation of three tiled amplicon whole-genome enrichment methods for sequencing SARS-CoV-2 genomic RNA from wastewater mock communities.** Fifteen wastewater mock communities, spiked with synthetic SARS-CoV-2 genomic RNA, were prepared as tiled amplicon libraries using Freed/Midnight, ARTIC V4, and NEB VarSkip primer schemes. Libraries were sequenced with PE250 and PE150 reads, with approximately  $10^3$  and  $10^4$  reads, respectively. (A) Genomic coverage from each library, as a function of sequencing effort ( $N = 105$ ). The reference line represents the expected genomic coverage for the given level of sequencing effort. (B) Sequencing depth of each library, as a function of sequencing effort ( $N = 105$ ). The reference line represents the expected sequencing depth for the given level of sequencing effort. (C) Sequencing depth of each library, as a function of sequencing effort ( $N = 105$ ). The reference line represents the expected genomic coverage with >20X sequencing depth for the given level of sequencing effort.



**Figure 2. Abundance estimates of SARS-CoV-2 variants from wastewater mock communities prepared with three whole-genome tiled amplicon methods and their errors.** Fifteen individual wastewater mock communities were enriched as Freed/Midnight, ARTIC V4, and NEB VarSkip libraries and sequenced with Illumina PE250 and PE150 chemistry. Sequencing reads were assessed using the kallisto workflow to estimate abundance of SARS-CoV-2 variants (Wuhan, Alpha, Beta, Delta), which were spiked into mock communities at known proportions (3%, 14%, 25%, 28%, or 55%). Reads assigned to off-target variants (Epsilon, Eta, Gamma, Iota, Kappa, Mu, Omicron and Zeta) were binned together as “Other” with an expected abundance of 0%. (A) The expected abundance of each variant was compared to observed abundance, as reported by kallisto. Accuracy of the abundance prediction was assessed as the  $R^2$  value of the one-to-one model between expected abundance and observed abundance of the SARS-CoV-2 variants in each sample, represented by the dashed line. (B) The prediction error associated with each SARS-CoV-2 variant expressed in RMSE. The prediction error is equivalent to the difference between the abundance reported by kallisto and the abundance expected for each variant.



**Figure 3. The effect of subsetting on the accuracy of estimates of variant abundance.**

Filtered reads were subset from libraries sequenced with PE150 reads and PE300, across a range of expected sequencing depths. Subset reads were analyzed by kallisto to estimate variant abundance. The accuracy of these estimates was assessed as the  $R^2$  value of the one-to-one model between expected abundance and observed abundance of the SARS-CoV-2 variants in each sample, and as the root-mean-squared error (RMSE) between expected and observed abundance. The median  $R^2$  and RMSE values are presented for each subset, along with the observed range of values.