Targeted Amplification and Genetic Sequencing of the Severe Acute 1 **Respiratory Syndrome Coronavirus 2 Surface Glycoprotein** 2 3 4 Running Title: Targeted SARS-CoV-2 S-Gene Sequencing 5 6 Authors: 7 Matthew W. Keller^{1#}, Lisa M. Keong¹, Benjamin L. Rambo-Martin¹, Norman Hassell¹, Kristine Lacek¹, 8 Malania M. Wilson¹, Marie K. Kirby¹, Jimma Liddell¹, D. Collins Owuor¹, Mili Sheth², Joseph Madden², 9 Justin S. Lee², Rebecca J. Kondor¹, David E. Wentworth¹, and John R. Barnes^{1#} 10 Affiliations: 11 12 1) Influenza Division, National Center for Immunization and Respiratory Diseases (NCIRD), Centers for 13 Disease Control and Prevention (CDC), Atlanta, Georgia, USA 14 2) Biotechnology Core Facility Branch, Division of Scientific Resources, National Center for Emerging 15 and Zoonotic Infectious Diseases, Centers for Disease Control and Prevention, Atlanta, Georgia, USA 16 17 # Corresponding authors: 18 Matthew W. Keller, PhD: nqp3@cdc.gov

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20 Abstract

21 The SARS-CoV-2 spike protein is a highly immunogenic and mutable protein that is the target of 22 vaccine prevention and antibody therapeutics. This makes the encoding S-gene an important 23 sequencing target. The SARS-CoV-2 sequencing community overwhelmingly adopted tiling amplicon-24 based strategies for sequencing the entire genome. As the virus evolved, primer mismatches inevitably 25 led to amplicon drop-out. Given the exposure of the spike protein to host antibodies, mutation occurred 26 here most rapidly, leading to amplicon failure over the most insightful region of the genome. To mitigate 27 this, we developed SpikeSeq, a targeted method to amplify and sequence the S-gene. We evaluated 28 20 distinct primer designs through iterative in silico and in vitro testing to select the optimal primer pairs 29 and run conditions. Once selected, periodic in silico analysis monitor primer conservation as SARS-30 CoV-2 evolves. Despite being designed during the Beta wave, the selected primers remain > 99% conserved through Omicron as of 2023-04-14. To validate the final design, we compared SpikeSeq 31 32 data and National SARS-CoV-2 Strain Surveillance whole-genome data for 321 matching samples. 33 Consensus sequences for the two methods were highly identical (99.998%) across the S-gene. 34 SpikeSeq can serve as a complement to whole-genome surveillance or be leveraged where only S-35 gene sequencing is of interest. While SpikeSeg is adaptable to other sequencing platforms, the 36 Nanopore platform validated here is compatible with low to moderate throughputs, and its simplicity better enables users to achieve accurate results, even in low resource settings. 37

38 Introduction

In December 2019 an outbreak of pneumonia of unknown cause began in Wuhan, China (1). This
illness (COVID-19) was found to be caused by a novel betacoronavirus, severe acute respiratory
syndrome coronavirus 2 (SARS-CoV-2, SC2) (2). The virus quickly spread around the world, and in
March 2020, the World Health Organization officially declared COVID-19 a pandemic (3). As of May
2023, COVID-19 has caused roughly 677 million infections and 6.9 million deaths (4).

44 As the COVID-19 pandemic progressed, waves of new variants spread (5), and mutations within the 45 surface glycoprotein (spike) accumulated (6, 7). The spike protein is key to the viral replication cycle as 46 its binding to the human angiotensin-converting enzyme 2 (ACE2) receptor initiates cellular entry of the 47 virus (8). It also bears clinical significance as it is the target of vaccine prevention (9) and antibody 48 therapeutics (10). The continual evolution of SARS-CoV-2 to evade immune pressures has led to a 49 plethora of spike mutations that have been deleterious to vaccine effectiveness (11) and antibody 50 neutralization (12-14). Importantly, many of these mutations are located within the receptor-binding 51 domain (RBD) (15) where 90% of neutralizing antibodies target SARS-CoV-2 (16). As such, the spike 52 protein encoding S-gene is an important sequencing target, and complete and accurate data for the S-53 gene is paramount for high quality surveillance information.

54 Genomic tools, such as the widely used Artic SARS-CoV-2 primer set, have required numerous 55 updates to remain effective against new variants (17-20) (https://github.com/artic-network/artic-56 ncov2019/tree/master/primer schemes/nCoV-2019). It can be challenging for surveillance labs, which 57 are likely operating at surge capacity, to examine available alternative methods and validate revisions 58 to the method for use. When overlooked, these limitations can lead to overt sequencing gaps or areas of low coverage, usually within the S-gene (21). This issue has occurred multiple times during the 59 60 COVID-19 pandemic with major variant transitions (Origin strain to Alpha, Alpha to Delta, and Delta to 61 Omicron). But this problem is not limited to major variant shifts. Variability in sequencing protocols and 62 the design of sequencing primers in highly mutable regions of the SARS-CoV-2 spike protein causes 63 intermittent sequencing dropouts, even with moderate amounts of variation. This is complicated further 64 by the variability of organization for countrywide sequencing. Some countries have centralized health 65 systems with more direct control of sequencing protocols and communication. Whereas other countries 66 contract out sequencing to private labs, which can yield higher data volume but have more variability in 67 sequencing methods and directness of communication. This can lead to protocol issues that are very 68 slow to address, causing blind spots to critical regions of the spike protein as evolution occurs. As an 69 illustration, we examined global surveillance data across the SARS-CoV-2 RBD over different time 70 periods (Figure S01). During the transition from Delta to Omicron, this critical region was missing a 71 significant amount of data. Moreover, the shape of that missing data resembles the amplicon 76 72 dropout known to affect the Artic SARS-CoV-2 primer set during the emergence of Omicron (19). The 73 coverage across this region has since improved, but this does illustrate the issues of using mutation-74 sensitive amplification methods through a highly mutable region of a highly mutable virus.

75 Efforts have been made to focus surveillance to the S-gene; however, these methods have serious 76 limitations. One such effort is to use eight overlapping amplicons (342-979 bp) and sanger sequencing 77 to bring SARS-CoV-2 surveillance to low resource areas (22). Unfortunately, the need for eight RT-78 PCRs per sample and the use of sanger sequencing is costly, labor intensive, and seriously limits 79 throughput potential. In an effort to improve the throughput of S-gene only sequencing, a modified 80 version of Artic V3 SARS-CoV-2 primer set, HiSpike, was developed (23). HiSpike retains many of the 81 limitations of the Artic SARS-CoV-2 protocol, most notably, the use of small amplicons (~400 bp) and the need for many primers to bind within the spike coding region. Using many primers to generate 82 83 many small overlapping amplicons is not ideally suited to the surveillance of a rapidly evolving RNA 84 virus and will likely again lead to sequencing dropouts due to primer mismatches. Indeed, multiple 85 primers from both studies have conservation issues.

Because of these challenges, it was critical to develop a robust method for obtaining rapid sequence
information, specifically for the S-gene. For this purpose, we developed SpikeSeq, a targeted method to

amplify and sequence the S-gene (Figure 1). SpikeSeq uses four carefully selected and highly

89 conserved primers (Table 1) to produce two overlapping amplicons that yield full coverage of the S-

90 gene (**Figure 2**).

91 Results

92 Primer Selection and Validation

- 93 We used the conservation of all available SARS-CoV-2 sequences to identify (**Table S01**) and evaluate
- 94 (Table S02) candidate primers. We identified three candidates for each of the 4 needed primers (S1F,
- 95 S1R, S2F, and S2R) with additional candidates for S2R where SARS-CoV-2 (Wuhan-hu-1,
- 96 NC_045512.2) and SARS-CoV-1 (NC_004718.3) shared identity. We eliminated those with < 95%
- 97 conservation for all available SARS-CoV-2 sequences. By testing candidate primer combinations
- 98 across an annealing temperature gradient (Table S03; Figures S02-S03), we were able to
- 99 simultaneously eliminate possible combinations with poor performance and select 60°C as the
- annealing temperature. Finally, a limit of detection assay (Tables S03-S04; Figure S04) was used to
- 101 select S1F_21358, S1R_23813, S2F_23288, and S2R_25460 as the final primers (**Table 1; Seq S01**).
- 102 We periodically monitor the conservation of these primers, and as of 2023-07-28, the selected primers
- remain highly conserved against SARS-CoV-2 using three months of US data, three months of global
- 104 data, and all global data (Figures S05-S16). SpikeSeq primers also show some conservation against
- 105 related coronaviruses (Seq S02; Figure S17). If a particular subvariant is of concern, we can perform a
- 106 more focused conservation analysis. Such was the case with Omicron XBB, XBB.1.5, and derivatives.
- 107 Analysis against those subvariants, as of 2023-01-18, demonstrated that our primers remained
- 108 conserved (**Table S05**).
- 109 Our design results in an amplification strategy where two overlapping amplicons, each in their own RT-
- PCR reaction, span the entire gene. The four selected primers, which will generally be known as S1F,
- 111 S1R, S2F, and S2R, avoid mutations and regions of high diversity (**Figure 2**).

112 SpikeSeq Runs

- 113 We performed 14 Nanopore sequencing runs to validate and characterize SpikeSeq. A summary of 114 these runs is available in the supplemental materials (**Table S06**).
- 115 Sensitivity and Specificity
- 116 The limit of detection (LOD) via MinION flow cell sequencing was ~ 100 copies/µL and Ct 30 (**Table**
- 117 **S07; Figure S18**). Via Flongle flow cell sequencing, the LOD was ~ 100 copies/µL and Ct 27 (**Table**
- 118 **S08; Figure S19**).
- 119 No reads from the 84 NTCs mapped to SARS-CoV-2 (Tables S09-S10; Figure S20-S21).

120 SpikeSeq Validation

121 We tested 377 samples via SpikeSeq for a pairwise comparison to National SARS-CoV-2 Strain 122 Surveillance (NS3) whole-genome data. Of those, 321 samples passed SpikeSeg (Seg S04) and 123 whole-genome sequencing (Seg S05) to be carried forward for further analysis. The S-gene consensus 124 sequences were highly identical with 1,225,156 identities out of 1,225,185 positions (99.998% 125 identical). Analyzing SpikeSeg data via Nextclade or Pangolin is limited by some group defining 126 mutation residing outside of the S-gene. Still, with the widespread use of these analytical tools, we 127 wanted to characterize the Nextclade results of SpikeSeg derived S-gene sequences in comparison to 128 whole-genome sequences. Of the 281 samples that had a variant assignment (e.g., Delta or Omicron), 129 Nextclade assignment of these variants was 100% concordant between SpikeSeg and whole-genome 130 data. These assignments included the variants Alpha, Beta, Gamma, Delta, Epsilon, Eta, Iota, Lambda, 131 Mu, and Omicron (24, 25), Identical clades were assigned for 91% of samples. As expected, clades 132 with identical S-gene sequences, such as clades 21A (Delta) and 21J (Delta), were often conflated. 133 Clades 21K (Omicron) and 21L (Omicron) were accurately assigned due to the S-gene diversity 134 between those clades. Identical Nextclade_pango lineages were assigned for 72% of samples. Similar 135 to clade identification, the resolution of SpikeSeq lineage identification is limited by a great number of 136 named lineages and their identification being based off mutations outside the S-gene. (Table S11)

Importantly, SpikeSeq identified 4,428 mutations which includes all 4,422 spike protein mutations identified by whole-genome sequencing. For six samples, SpikeSeq identified one additional mutation each (**Table S11**). Further investigation of raw read data confirmed that these additional mutations were due to minor subpopulations at > 20% frequency amplified at variable proportions due to separate rounds of PCR between SpikeSeq and NS3. In any case, correctly identifying all 4,422 presumably true spike protein mutations reflects a high degree of accuracy that is more than sufficient for surveillance purposes.

A subset of 277 clinical specimens from the NS3 project were used for additional characterization of

145 SpikeSeq. By comparing Ct values to SpikeSeq coverage results (Table S12), we found that 98-99% of

146 samples with a Ct value less than 25 (n = 217) passed the coverage threshold of requiring \geq 50x

147 coverage at every position. For samples with Ct values between 25 and 30 (n = 44), 89% of samples

passed. And for samples with Ct values over 30 (n = 16), 81% of samples passed (**Figure S22**).

For this subset of 277 clinical specimens, we split the three Nanopore libraries for loading on standard MinION flow cells (FLO-MIN106) for 72 hours and disposable Flongle flow cells (FLO-FLG001) for 24 hours. These flow cell types are known to have disparate sequencing yields, and indeed the Flongle flow cells produced just ~1% of the average coverage compared to the MinION flow cells. However, the

coverage thresholds for SpikeSeq only requires a full assembly be made and \geq 50x coverage at every position. Using those requirements, MinION flow cell sequencing passed 267/277 samples (96%), and Flongle flow cell sequencing passed 241/277 samples (87%). In other words, ~ 1% of the average coverage from Flongle flow cells passed 90% (241/267) as many samples with respect to MinION flow

157 cells (Table S13; Figure S23).

158 For this same subset of 277 clinical specimens, we diverted a portion of the spike amplicons to Illumina 159 sequencing, and 251 samples passed both techniques. We compared consensus level identity between 160 spike amplicons sequenced via Nanopore (MIN) to those same amplicons sequenced via Illumina (ILL; 161 Seq S06). For 251 samples, consensus sequences were highly identical with 958,236 identities out of 162 958.239 positions (99.9997% identical). This was expanded to a three-way comparison that includes 163 the corresponding NS3 generated S-gene sequences (NS3; Table S14). The MINvNS3 consensus 164 sequences were 99.9972% (958,212/958,239) identical, and the ILLvNS3 consensus sequences were 165 99.9969% (958,210/958,240) identical. All 251 samples had 100% identity between at least two of the 166 three methods, and 20 samples had discrepant results. Because at least two of the methods always 167 agreed, the discrepant results always appeared in pairs, were of identical magnitude, and shared a 168 common method. For example, the three-way blast results of sample 3002648260 for MINvILL is 100% 169 identical whereas MINvNS3 and ILLvNS3 are both 99.974% identical. This indicates the discrepancy 170 lies with the NS3 derived S-gene consensus sample 3002648260. Of the 20 samples with discrepant 171 results, 18 are due to discrepancies with the NS3 derived S-gene consensus, and 2 are due to 172 discrepancies with the Illumina sequenced spike amplicons. This distribution of discrepancies is 173 expected as the NS3 samples were independently amplified, processed, and analyzed. Ultimately 174 though, these discrepancies are very minor and more than acceptable for surveillance purposes.

175 **Phylogenetics**

176 We visualized the nextclade results in auspice to generate a tanglegram (**Figure S24**) of matching

- samples (n = 321) that passed both SpikeSeq and whole-genome sequencing. As detailed in **Table**
- 178 **S11**, variant assignment was 100% concordant and clade assignment was highly concordant with
- ambiguities appearing for different clades with identical S-gene sequences.

180 **Discussion**

181 We have developed and validated a robust method for amplifying and sequencing the SARS-CoV-2
 182 surface glycoprotein. The length of the S-gene necessitated internal primers and separate overlapping
 183 RT-PCRs. Still, we were able to limit the total number of primers to four and the number of primers

- 184 within the coding region of the S-gene to two. With so few primers required, we were able to evaluate
- 185 many candidates for conservation and efficacy. We also ensured the primer binding sites avoided any

major structural/functional elements. This design and process of primer selection gives SpikeSeq its
best odds at avoiding mutations that might affect primer annealing. Indeed, despite being originally
designed during the beta wave, the selected primers have remained highly conserved through Omicron
as of 2023-04-14.

We validated this method against hundreds of clinical specimens collected for genomic surveillance by the NS3 project. We compared SpikeSeq data to the available whole-genome data to confirm that SpikeSeq accurately represents the S-gene amino acid mutations. Having only one amplicon in each reaction and two amplicons total, QC via electrophoresis can immediately reveal dropouts. This, combined with strict coverage requirements, ensures only complete and high quality S-gene sequencing data is reported.

As the pandemic progressed, the methods used by NS3 required several revisions, and occasionally, relied upon SpikeSeq for sequence completion (**Figure S25**) or confirmation of recombination (26). The speed of SpikeSeq has also proven useful during the floods of high priority samples associated with the appearance of new variants. In one case, SpikeSeq was used to confirm the presence of Omicron in the US Virgin Islands which allowed the territory to acquire antibody therapeutics best suited at the time for infection with the Omicron variant.

202 SpikeSeq can serve as a complement to whole-genome sequencing data with S-gene coverage gaps, 203 be leveraged as a tool for projects in which only S-gene sequencing is of interest, and stand alone as a 204 means of surveillance. SpikeSeq was evaluated and approved as a research use only method by the 205 CDC Infectious Disease Test Review Board, and is currently being deployed to partner laboratories. In 206 collaboration with The World Health Organization, we are hosting intensive week-long regional trainings 207 where country representatives will gain hands-on experience and receive reagents, consumables, and 208 a Mk1C sequencing device sufficient to perform a year of SARS-CoV-2 S-gene (and influenza A virus) 209 surveillance. These regional trainings dedicate a great deal of time on foundational knowledge about 210 the viruses themselves, the fundamentals of a quality surveillance effort, the importance of each step of 211 data analysis and curation, and critically evaluating all step of the surveillance pipeline to ensure only 212 guality data is submitted to public databases. While it is tempting to simply ship out point-and-click 213 solutions, we want to develop a strong foundational knowledge about surveillance and data curation 214 when training and equipping labs and countries new to next-generation sequencing (NGS) surveillance. 215 This not only ensures the best use of resources, but gives those labs and countries the best chance at 216 being successful in generating quality data and participating in this global surveillance effort. Moreover, 217 because SpikeSeq targets a portion of the genome, a given amount of surveillance capacity could 218 cover several times more samples compared to whole-genome sequencing on the same platform. The 219 Nanopore platform used by SpikeSeg is compatible with low to moderate throughputs, and its simplicity

better enables users to achieve accurate results, even in low resource settings. Finally, the relatively
 low capital expenditure makes this strategy an ideal starting point for public health laboratories new to
 NGS surveillance. As of April 2023, public health representatives from 59 countries have received this
 training with 23 more scheduled by the end of August 2023.

Whole-genome sequencing by a variety of methods will remain an integral part of SARS-CoV-2
surveillance, and we are not intending SpikeSeq to simply be a replacement. Whole-genome
sequencing is the only way to properly assign phylogenetic relationships or monitor for amino acid
mutations outside of the S-gene that can, for example, affect viral replication and pathogenesis (27).
Moreover, quality whole-genome data is necessary to monitor primer conservation for any targeted
amplification strategy.

230 SpikeSeq represents a refocusing on essential information needed from surveillance data. Whole-231 genome surveillance of SARS-CoV-2 has occasionally, and unfortunately, prioritized getting any result 232 at the expense of sequence completeness and quality. As an example, eagerness to define new 233 clades/lineages based on trivial differences has convoluted the classification of SARS-CoV-2 viruses 234 and obscured the relationships between similar or disparate S-gene mutations that carry clinical 235 significances. By focusing on the S-gene, imposing strict coverage and quality metrics, and applying 236 lessons learned through surveillance of the diverse RNA influenza viruses, we hope to supplement 237 SARS-CoV-2 surveillance with complete and quality reporting on the rapidly mutating S-gene.

238 Materials and Methods

239 Molecular Workflow

- To amplify the S-gene, we produced overlapping amplicons (S1 and S2) via separate SuperScript™ IV
- 241 One-Step RT-PCR System (Thermo Fischer Scientific, USA) reactions. The RT-PCR mixture
- contained: 4.25 μL nuclease-free water, 12.5 μL SSIV 2X reaction mix, 0.25 μL SSIV RT Mix, 5 μL S1
- or S2 primer pairs, and 3 µL of RNA. The RT-PCR conditions are as follows: 10 minutes at 50°C; 2
- 244 minutes at 98°C; 40 cycles of 10 seconds at 98°C, 10 seconds at 60°C, and 1 minute 15 seconds at
- 245 72°C; a final elongation of 5 minutes at 72°C; and a hold at 4°C. Electrophoresis quality control was
- 246 performed on individual RT-PCRs. After QC, corresponding S1 and S2 amplicons were combined,
- 247 cleaned via SPRI beads (1x) with ethanol washes, and eluted into 15 μL of nuclease-free water.
- 248 Nanopore libraries were prepared using SQK-LSK109 and EXP-NBD196 and sequenced on GridION
- 249 (Oxford Nanopore Technologies, UK) using FLO-MIN106 or FLO-FLG001 flow cells.
- Laboratory procedures for RT-PCR and library preparation are available in the supplemental (**Text S01**and **Text S02**).

- 252 For Illumina sequencing, a portion of the cleaned amplicons were taken and prepared using the
- 253 Nextera XT sample preparation kit. Since the SARS-CoV-2 S-gene amplicons are of a similar size to
- the influenza virus amplicons, they were processed via the standard influenza surveillance pipeline
- used by the CDC Genomics and Diagnostics Team (28, 29).

256 Sequencing Data Analysis

- 257 During the sequencing run, we used the GridION MinKNOW to perform super-accuracy basecalling live
- 258 (ont-guppy-for-gridion 5.0.17 or 5.1.13), to trim the barcodes, and to filter the reads. We trimmed
- 259 primers using BBDuk (30), restricted the trimming using restrictleft=50 and restrictright=50, and referred
- to the primer sequences (Seq S01). We assembled reads using IRMA
- 261 (https://wonder.cdc.gov/amd/flu/irma/irma.html) with the CoV-s-gene module (IRMA v.1.0.3
- 262 https://wonder.cdc.gov/amd/flu/irma/release_notes.html) and mapped to the S-gene reference (28). For
- a sample to pass SpikeSeq, it must meet coverage and quality metrics. Specifically, it must have a
- complete S-gene assembly, have at least 50x coverage at every position, and be free of frameshift
- 265 mutations. Mutations were identified using Nextclade Web version 2.6.1 (<u>https://clades.nextstrain.org</u>;
- accessed September 30, 2022) SARS-CoV-2 without recombinants (24).
- 267 Analysis tools are available online <u>https://cdcgov.github.io/MIRA</u> (31).

268 Primer Selection and Validation

269 We selected four primer target regions where S1F and S2R would lie outside of the S-gene coding region, and S1R and S2F would be on opposite sides of the S1/S2 cleavage site and avoid major 270 271 structural elements. We identified multiple sets of candidate primers for each S1F, S1R, S2F, and S2R. 272 For S2R, we also evaluated an area where SARS-CoV-2 (Wuhan-hu-1, NC_045512.2) and SARS-273 CoV-1 (NC 004718.3) shared identity (**Table S01**). During the Beta wave (March 2021), we evaluate 274 the conservation of primer candidates against 476,466 SARS-CoV-2 genomes (Table S02). Twenty 275 primer combinations were tested (Table S03). We initially screened the candidate primer pairs across a 276 temperature gradient using RNA from B.1.351 (Beta) with a ct 25 as determined by the Flu SC2 277 multiplex assay (32). We used an LOD of B.1.351 (Beta) from ct 14-30 (846k to 16 copies/µL; Table 278 **S04**) to finalize the primer selection. The presence of amplicons was determined using a QIAxcel HT

fragment analyzer.

We monitored the conservation of the primers by downloading data from GISAID. Downloaded genomic data was aligned to the Wuhan-Hu-1 reference (NCBI accession MN908947.3) genome using SSW (33). Aligned genome primer regions were regularly compared for mismatches against each individual primer sequence. This information was used to highlight potential assay issues with new emerging

- 284 variants. We downloaded diversity (entropy) data from Nextstrain
- 285 (https://nextstrain.org/ncov/gisaid/global/6m; accessed March 6, 2023) (24).

286 Sensitivity and Specificity

- 287 To measure the absolute limit of detection, we used a custom synthetic RNA fragment from Twist
- Bioscience (CA, USA) based on the Delta lineage virus hCoV-19/USA/CO-CDC-MMB09467199/2021.
- 289 The sequence for this fragment (TwistDeltaFragment_4276451.fasta) is available in the supplemental
- 290 materials (**Seg S03**). The 4,626-nucleotide fragment spans the S-gene and extends into neighboring
- 291 genes. The synthetic fragment aliquots were delivered at 629,000 copies/µL as determined via
- 292 manufacturer ddPCR. To measure the viral limit of detection, we used a propagated isolate of Delta
- 293 SARS-CoV-2 and measured the Ct value of the serial dilutions using the Flu SC2 multiplex assay (32).
- 294 The limit of detection was determined by the most dilute sample to pass coverage and quality
- thresholds for all the replicates.
- 296 We prepared RT-PCR master mixes for triplicate limit of detection assays using both synthetic and viral
- 297 material. The dilution series were a 5-fold serial dilution through 7 steps with a water NTC as the 8th
- step. LOD amplicons were split at the end-prep stages for sequencing on both MinION and flongle flow
- cells. For sequencing on MinION flow cells, we included 48 additional water NTCs. For sequencing on
- 300 Flongle flow cells, we included 24 additional A549 RNA (Rp Ct 22) NTCs.

301 SpikeSeq Validation

- To validate this method, we tested a total of 377 specimens from the NS3 project. We started with a retrospective analysis of 277 clinical specimens that were collected from March to August 2021 and that capture the diversity of SARS-CoV-2 into the Delta wave. During the omicron wave, we continued SpikeSeq validation concurrently with NS3. These additional 100 samples were collected from November 2021 to January 2022. Of these 377 samples, 321 passed SpikeSeq (**Seq S04**) and wholegenome sequencing (**Seq S05**) to be carried forward for further analysis.
- We compared matching samples (n = 321) that passed both SpikeSeq and whole-genome sequencing using ncbi-blast+/2.9.0 (34) and Nextclade Web version 2.6.1 (<u>https://clades.nextstrain.org</u>; accessed
- 310 September 30, 2022) SARS-CoV-2 without recombinants (24). Using the output of Nextclade, we
- 311 evaluated the concordance of variant, clade, and lineage assignment. We also compared the reported
- 312 S-gene amino acid mutations for complete matches of corresponding samples and by counting
- 313 individual mutations for corresponding samples (**Table S11**).
- A subset of 277 samples through Delta was used to compare Ct values to coverage, Nanopore
- 315 sequencing yield on two flow cell types (FLO-MIN106 versus FLO-FLG001), and Nanopore sequencing
- 316 accuracy to Illumina sequencing. Each time the RNA was thawed, we tested it with the Flu SC2

- 317 multiplex assay (32) to determine the Ct value and amplified the S-gene using the methods presented
- here. For samples with an undefined Ct value (n = 2), a Ct value of 40 was assigned. We then split the
- 319 spike amplicons to both Illumina and Nanopore sequencing methods. For Nanopore sequencing, we
- 320 prepared libraries using the methods described here and loaded both standard MinION flow cells (FLO-
- 321 MIN106) for 72 hours and disposable Flongle flow cells (FLO-FLG001) for 24 hours.
- 322 All 277 samples from this subset (pass or fail) were used to assess the relative pass rates of standard
- 323 MinION flow cells (FLO-MIN106) versus Ct value (Table S12 and Figure S22) and versus disposable
- 324 Flongle flow cells (FLO-FLG001; **Table S13 and Figure S23**).
- 325 From that subset of 277 samples, 251 samples passed both Nanopore (FLO-MIN106) and Illumina
- 326 sequencing of the SpikeSeq (Seq S06). For each of these 251 samples, we used ncbi-blast+/2.9.0 (34)
- 327 to generate a three-way comparison between: SpikeSeq amplification and Nanopore sequencing (MIN),
- 328 Illumina sequencing of those same amplicons (ILL), and NS3 surveillance results for the S-gene (NS3;
- 329 **Table S14**).

330 Phylogenetics

- 331 We compared matching samples (n = 321) that passed both SpikeSeq and whole-genome sequencing
- using Nextclade Web version 2.6.1 (<u>https://clades.nextstrain.org</u>; accessed September 30, 2022)
- 333 SARS-CoV-2 without recombinants (24). From this analysis, we exported the phylogenetics and
- visualized them with Auspice (<u>https://auspice.us</u>; accessed October 6, 2022). We added a metadata
- 335 sheet to label and highlight added sequences above the backbone sequences.

336 Primer Kit Manufacturing

- CDC Division of Scientific Resources manufactured primers for use in this study and distribution to
 public health laboratories. The Oligo Synthesis Laboratory synthesized the primers, purified via HPLC,
 and verified by mass spectrophotometry. Following initial synthesis and purification, we received three
 QC aliquots for limit of detection analysis and excess material for use in this study. The remaining
- 341 material (5 mmol each primer) was then transferred to the Diagnostic Manufacturing Laboratory for
- 342 stochiometric mixing of forward and reverse primers, dispensing, drying, and kit assembly. We received
- three aliquots for QC testing.

344 Supplemental Material

- 345 Supplemental material for this article may be found at
- 346 <u>https://figshare.com/articles/dataset/Supplemental_Material/22762076</u>.
- 347 Supplemental legends are available in the supplemental (**Text S03**).

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- 352 Branch/Diagnostic Manufacturing Laboratory for manufacturing primer kits.

353 Competing interests

354 We declare no competing interests.

355 Data availability

- 356 Corresponding SpikeSeq (Nanopore sequencing) S-gene consensus sequences and NS3 whole-
- 357 genome consensus sequences are available in the supplemental materials (n = 321 each; Seq S04-
- **S05**). SpikeSeq amplification and Illumina sequencing derived S-gene consensus sequences (n = 251)
- are available in the supplemental materials (**Seq S06**).
- 360 https://figshare.com/articles/dataset/Supplemental_Material/22762076
- 361 FASTQ reads (that BLAT matched to IRMA reference) are available online at NCBI under BioProject:
- 362 PRJNA999712. The BioSamples (n=810) include the 321 primary validation samples (320 FLO-MIN106
- and 1 FLO-FLG001), the 238 flongle yield replicates that passed, and 251 Illumina accuracy replicates
- that passed. <u>https://www.ncbi.nlm.nih.gov/bioproject/PRJNA999712</u>

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475 **Tables and Figures**

476 Table 1: Primers

477 SpikeSeq primer sequences and working stock concentration.

S1 primer pool				
Oligo	Sequence 5'-3'	μM in pool		
S1F_21358	ACAAATCCAATTCAGTTGTCTTCCTATTC	5		
S1R_23813	TGCTGCATTCAGTTGAATCACC	5		
S2 primer pool				
Oligo	Sequence 5'-3'	μM in pool		
S2F_23288	GTCCGTGATCCACAGACACTT	5		
S2R_25460	GCATCCTTGATTTCACCTTGCTTC	5		

478

479



482 SpikeSeq is presented here as RT-PCR amplification and Nanopore sequencing. The workflow is

483 designed to be flexible as the amplicons can be diverted to other sequencing platforms.

484 Figure 2: SpikeSeq Amplification Strategy



485

486 SpikeSeq amplicons are green with primer locations highlited in red and traced down to the ORFs.

487 Diversity (entropy) across the region is plotted with small circles. Detected amino acid mutations are

488 maked with Xs. SARS-CoV-2 ORFs are black with the Surface Glycoprotein ORF highlighted blue.

489 Separate one-step RT-PCRs generate overlapping S1 and S2 amplicons that are 2.2 kb and 2.5 kb

490 respectively. These amplicons extend beyond the coding region and overlap across the S1-S2 subunit

491 cleavage site.

SpikeSeq Amplification Strategy













XXXX XXXX



ATG

