

1 **Vaccine effectiveness of BNT162b2 mRNA Covid-19 Vaccine in Children below 5 Years**  
2 **in German Primary Care**

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33 Running head: Vaccine Effectiveness of BNT162b2 in Children below 5 Years

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46 **Keywords: BNT162b2, children, vaccine effectiveness, SARS-CoV-2, mRNA vaccine**

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51 **Abstract**

52 Background

53 Despite the approval of BNT162b2 mRNA vaccine for children aged 6 months to 4 years by  
54 the European Medicines Agency (EMA) and the Federal Drug Administration (FDA) in 2022,  
55 no data on vaccine effectiveness (VE) of BNT162b2 are available in this age group. We here  
56 report on the VE of BNT162b2 during an Omicron BA.1-2 dominant period.

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58 Methods

59 An authentication-based retrospective survey was performed between April 14th 2022 and  
60 May 9th 2022 in individuals that had registered children for off-label SARS-CoV-2  
61 vaccination in Germany. We used Cox regression to estimate relative VE of two BNT162b2  
62 doses, with the period between first and second vaccine dose as reference period (24.8+0.6  
63 days) and  $\geq 7$  days after Dose 2 to before Dose 3 as post-vaccination period (59.5+23.6  
64 days).

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66 Results

67 The present analysis included 4615 children aged 2.8+1.2 years (mean+standard deviation)  
68 who had received their first dose of BNT162b2 on January 1st 2022 or thereafter. VE was  
69 substantial for protection from any SARS-CoV-2 infection (VE: 53.1% [95% confidence  
70 interval (CI): 36.3-69.6%],  $p < 0.001$ ), symptomatic SARS-CoV-2 infections (VE: 57.5% [95%  
71 CI: 40.8-74.2%],  $p < 0.001$ ), and SARS-CoV-2 infections leading to medication use (VE:  
72 66.2% [95% CI: 43.7-88.7%],  $p < 0.001$ ). Differences in dosage of BNT162b2 yielded no  
73 change in VE.

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75 Conclusion

76 This study offers a first industry-independent insight in the potential VE of two doses of the  
77 BNT162b2 vaccine in children aged below 5 years, as currently only immunogenicity data by  
78 the manufacturer Pfizer/BioNTech are available. Limitations include the retrospective study  
79 design, and that the reported VE does not necessarily correspond to currently circulating  
80 SARS-CoV-2 variants.

81 **Main**

82 Despite the approval of BNT162b2 mRNA vaccine (Pfizer/BioNTech vaccine Comirnaty®)  
83 for children aged 6 months to 4 years by the European Medicines Agency (EMA) and the  
84 Federal Drug Administration (FDA) in 2022, no data on vaccine effectiveness (VE) of  
85 BNT162b2 are available in this age group. We have retrospectively described the safety of  
86 BNT162b2 (Pfizer/BioNTech vaccine Comirnaty®) administered off-label in children  
87 younger than 5 in years Germany (1). Using data from this authentication-based retrospective  
88 survey data obtained between April 14<sup>th</sup> 2022 and May 9<sup>th</sup> 2022 (1), we here report VE of  
89 BNT162b2 during an Omicron BA.1-2 dominant period.

90 We analyzed 4615 children aged  $2.8 \pm 1.2$  years (mean  $\pm$  standard deviation) who received their  
91 first dose of BNT162b2 on January 1<sup>st</sup> 2022 or thereafter (Table S1). We used Cox regression  
92 to estimate relative VE of two BNT162b2 doses as indicated in the Supplementary Appendix,  
93 with the period between first and second vaccine dose as reference period ( $24.8 \pm 0.6$  days) and  
94  $\geq 7$  days after Dose 2 to before Dose 3 as Post-vaccination period ( $59.5 \pm 23.6$  days).

95 Table 1 shows that VE was substantial for SARS-CoV-2 infections, symptomatic SARS-  
96 CoV-2 infections, and SARS-CoV-2 infections leading to medication use. Differences in  
97 dosage of BNT162b2 yielded no change in VE. A sensitivity analysis assessed the geographic  
98 differences in VE (Table S2).

99 The present analysis showed that in comparison to one dose of BNT162b2 alone, children  
100 receiving a second dose of BNT162b2 had a substantially lower risk for being diagnosed with  
101 a SARS-CoV-2 infection or experiencing a SARS-CoV-2 infection leading to symptoms or  
102 medication use. The current data contain some limitations. First, children are rarely tested for  
103 SARS-CoV-2 and often do not seek medical attention for SARS-CoV-2 symptoms. However,  
104 this study coincided with a time when mandatory school/institution testing for SARS-CoV-2  
105 was common in Germany. Next, the assessed vaccination strategy of BNT162b2 was not the

106 one approved by EMA and FDA, with two instead of three BNT162b2 but higher dosages  
107 than 3µg in most participants. Furthermore, the reported VE does not necessarily correspond  
108 to the currently circulating SARS-CoV-2 variants. Finally, the present data are retrospective  
109 and await confirmation by prospective and randomized studies. In conclusion, this study  
110 offers a first industry-independent insight in the potential VE of the BNT162b2 vaccine in  
111 children aged below 5 years at a time when only immunogenicity data by the manufacturer  
112 Pfizer/BioNTech are available (2).

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126 **Table 1: Vaccine effectiveness of two doses of BNT162b2 COVID-19 vaccine during the**  
 127 **Omicron BA.1 and BA.2 phase, compared with one dose.**

	All SARS-CoV-2 infections	Symptomatic SARS-CoV-2 infections	SARS-CoV-2 infections leading to medication use
<b>Post-vaccination period</b>			
≥7 Days after Dose 2 to before Dose 3 (p-value) [95%-CI]	53.1 (<0.001) [36.3;69.9]	57.5 (<0.001) [40.8;74.2]	66.2 (<0.001) [43.7;88.7]
3μg	47.1 (<0.001) [20.7;73.6]	61.4 (<0.001) [40.0;82.7]	70.2 (<0.001) [44.6;95.7]
5μg	54.5 (<0.001) [36.6;72.5]	56.8 (<0.001) [37.9;75.6]	66.9 (<0.001) [43.3;90.5]
10μg	54.3 (<0.001) [34.9;73.6]	56.9 (<0.001) [36.7;77.1]	62.9 (<0.001) [32.6;93.2]
Infections, n (%)	779 (16.9)	621 (13.3)	261 (5.7)
Children, n	4615	4615	4615

128 The vaccine effectiveness in % is estimated by  $\widehat{VE} = 100 \times (1 - \exp\{\hat{\beta}_{PVP}\})$ , where  $\hat{\beta}_{PVP}$  is the estimated coefficient for  
 129 the Post-vaccination period of a Cox model stratified by region specific (north, west, east, south, and abroad) calendar day.  
 130 As control variables, medication use, prior chronic diseases, age, sex, weight, and dosage information of the first vaccination  
 131 are included.  
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