

## **Monitoring SARS-CoV-2 genome evolution in a localized population**

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## Abstract

Despite seminal advances towards understanding its infection mechanism, SARS-CoV-2 continues to cause significant morbidity and mortality worldwide. Though mass immunization programs have been implemented in several countries, the viral transmission cycle has shown a continuous progression in the form of multiple waves. A constant change in the frequencies of dominant viral lineages, arising from the accumulation of nucleotide variations (NVs) through favourable selection, is understandably expected to be a major determinant of disease severity and possible vaccine escape. Indeed, worldwide efforts have been initiated to identify specific virus lineage(s) and/or NVs that may cause a severe clinical presentation or facilitate vaccination breakthrough. Since host genetics is expected to play a major role in shaping virus evolution, it is imperative to study role of genome-wide SARS-CoV-2 NVs across various populations. In the current study, we analysed the whole genome sequence of 3543 SARS-CoV-2 infected samples obtained from the state of Telangana, India (including 210 from our previous study), collected over an extended period from April, 2020 to October, 2021. We present a unique perspective on the evolution of prevalent virus lineages and NVs during this time period. We also highlight presence of specific NVs likely to be associated favourably with samples classified as vaccination breakthroughs. Finally, we report genome-wide intra-host variations (iSNVs) at novel genomic positions. The results presented here provide critical insights into virus evolution over an extended time period within a geographically restricted area and pave the way to rigorously investigate the role of specific NVs in vaccination breakthroughs.

## Introduction

In December 2019, a local outbreak of multiple cases of acute pneumonia (later classified as coronavirus disease (COVID-19, <https://www.who.int/news-room/q-a-detail/coronavirus-disease-covid-19>)) was reported in Wuhan, Hubei province, China, caused by a novel coronavirus called as Severe Acute Respiratory Syndrome coronavirus 2 (SARS-CoV-2). The outbreak was soon followed by a rapid worldwide transmission which led to World Health Organization (WHO) declaring COVID-19 as a global pandemic in January, 2020. The rapid transmission rate of SARS-CoV-2 has resulted in 281,808,270 infections and >5 million deaths worldwide (as per WHO statistics, collected till December 29, 2021). More importantly, the last one year witnessed the emergence of multiple virus variants[1,2], of which five were classified as Variants of Concern (VoCs) by the WHO (<https://www.who.int/en/activities/tracking-SARS-CoV-2-variants/>) based on their higher transmissibility[3–6] and/or enhanced ability to escape neutralization by antibodies[7–12]. Of the five, the B.1.617.2 lineage (designated (and hereinafter mentioned) as ‘Delta’ by the WHO) has exhibited maximum transmission during the past one year making it the predominant viral form present worldwide[13]. As SARS-CoV-2 continues to evolve into distinct lineages, with potentially increased pathogenicity and/or transmission abilities, it becomes imperative to study the emerging genomic variants.

The current study was initiated to analyse the sequences generated from SARS-CoV-2 infected samples, collected over a course of 19 months from the state of Telangana, India. We present the major trends of dominant lineages propagating in Telangana and corresponding trends in other pan-Indian regions and worldwide, across this time period. We also report a comprehensive map of all nucleotide variations (NVs) in the viral genome during this period and their possible association with vaccine escape. Finally, we have analysed specific virus genomic positions involved in generating intra-host diversity.

## Materials and Methods

### Sample collection strategy, dataset structure and features

A total of 3543 samples (1407 females and 2091 males (information unavailable for 45 samples)), representing the period April 1, 2020 to October 31, 2021, and belonging to Telangana, India, were analysed in this study (Table S1A). The sample collection strategy for the period April, 2020 till February, 2021 was unchanged from our previous study[14]. For the subsequent period, Nasopharyngeal/Oropharyngeal swabs were collected from several RT-PCR based testing centres as well as multi-speciality hospitals across Telangana, as per guidelines established by the Indian SARS-CoV-2 Genome Consortium (INSACOG)[15]. In addition, samples received in the Covid-19 testing laboratory in CDFD, Hyderabad, were also included in the study. The work was initiated following approvals from the Institutional Bioethics committee and Biosafety committee. The sample collection peaked during the months of June and July, 2020, followed by a hiatus, and subsequently increased from March, 2021 onwards, roughly coinciding with the first and second waves of the pandemic, respectively. Of the total cases, 360 represented the age group <18 years, 2674 represented age group 18-60 years, 355 represented the age group > 60 years, while age was not documented for 154 cases. The dataset also comprised of two independent sets of cases belonging to local isolated transmission clusters (so called ‘super-spreader’ events) (Table S1A).

Cases were classified as vaccination breakthroughs if they reported infection  $\geq 14$  days of receiving second dose of either ChAdOx1 or BBV152 vaccine or  $\geq 21$  days of receiving first dose[16][17]. The dataset included a total of 313 vaccination breakthrough cases, of which 244 belonged to Telangana, India, 18 to Uttar Pradesh, India (obtained from the Banaras Hindu University), and 51 to Chennai, Tamil Nadu, India (obtained from the Department of Public Health and Preventive Medicine, State Public Health Laboratory) (Tables S1B, S1C). Of these, 154 were completely vaccinated, 149 had received only one vaccination dose, while the status of 10 was unavailable. The majority of cases (228/313; 72.8%) received the ChAdOx1 nCov-19 vaccine<sup>18</sup> (commercial name – Covishield) developed at Oxford University (Oxford, United Kingdom), while a small proportion (36/313; 11.5%) received the BBV152[19] (commercial name – Covaxin) developed by Bharat

Biotech Ltd, India (Table S1A-C). The vaccine identity was unknown for 49 samples. The vaccination dates were unavailable for 12 partially vaccinated and 2 completely vaccinated cases and therefore these 14 samples were excluded during NV analysis of vaccination breakthrough cases

For a pan-India and worldwide analyses of widespread lineages, a dataset comprising of 31546 (India) and 678438 (world) consensus genomes (*fasta* files) for the period March 2021 to October, 2021 were accessed from the publicly available Global Initiative on Sharing All Influenza Data[20] (GISAID, <https://www.gisaid.org/>) repository; accession IDs for all the sequences submitted to GISAID, from this study are listed in Table S2.

### **SARS-CoV-2 RNA extraction and sequencing**

Total RNA was isolated in a Biosafety level 2 (BSL-2) environment following standard protocols using the RNA isolation kit (MagRNA-II Viral RNA Extraction Kit, Cat. No. G2M030620; Genes2Me, Gurgaon, India; Molecular RNA Extraction Kit, Cat. No. COVEX 100PS, Q-lineBiotech, India; Nucleic acid extraction kit, Cat. No. A200-96; Zybion Inc., China) as per manufacturer's instructions. Each RNA sample was subjected to RT-PCR for multiple viral genes (including E-gene and RNA-dependent RNA polymerase (RDRP) gene) using the nCoV-19 RT-PCR detection kit (Cat. No. NCoV-19ER100PS, Q-lineBiotech, India) or the ViralDetect-II multiplex real time PCR kit for COVID-19 (which also detected the N-gene; Cat. No. G2M020220; Genes2Me, Gurgaon, India). Samples exhibiting a Ct value of <30 (E and RdRp genes) were selected for whole genome sequencing.

The synthesized cDNA was amplified using a multiplex polymerase chain reaction (PCR) protocol, producing 98 amplicons across the SARS-CoV-2 genome (<https://artic.network/>). The primer pool included additional primers targeting human RNA, producing an additional 11 amplicons. The amplified products were processed for tagmentation and indexing PCR for Illumina Nextera UD Indexes Set A, B, C, D (Illumina Inc., San Diego, California, United States) (384 indexes, 384 samples). All samples were processed as 96-well plate batches that consisted of one each of COVIDSeq positive control HT (CPC HT) and one no template control (NTC); these 96 libraries were pooled, quantified (Qubit 2.0 (Invitrogen, Massachusetts, United States) and fragment sizes were analyzed in Agilent TapeStation 4200 (Agilent

Technologies Inc., Santa Clara, California, United States). The pooled library was further normalized to 10nM concentration and 10 $\mu$ l of each normalized pool containing index adapter set A, B, C, and D were combined in a new microcentrifuge tube to a final concentration of 2nM. The pooled libraries were denatured and sequencing was performed on Nextseq 2000 using the P2 100 Cycle kit with 1x101bp Sequencing chemistry. About 50-100Mb data was generated for each sample.

### ***In silico* workflow for processing genome sequencing data**

The raw sequencing data in *fastq* format was subjected to quality checks including filtering bad quality reads, determination of sequencing depth and adapter trimming using Trimmomatic[21]. All reads shorter than 30 bases or with a Phred quality score  $< 20$ , were discarded. 18 samples were rejected because of low overall sequencing depth and poor quality. The trimmed reads were then aligned to the reference Wuhan sequence (NCBI ID - NC\_045512.2) using bwa-mem[22] algorithm. Post alignment filtering and quality assessment was performed using samtools[23]. Single nucleotide variants (SNVs) were identified using iVar[24] which works on the *mpileup* output from samtools. The variants were annotated using SnpEff[25] and further filtered to remove all problematic sites documented to be prone to accumulate sequencing errors by multiple sources as recommended earlier (<https://virological.org/t/issues-with-sars-cov-2-sequencing-data/473>). Sequences with high coverage, low N content, and associated with complete metadata were included for further analysis. Reads were assembled to generate consensus *fasta* file using samtools mpileup and the consensus module of iVar with a base assigned as consensus if it had a minimum depth of at least 10 reads (setting `ivarMinDepth=10`). Sequences where the N content was  $> 30\%$  and sequence length  $< 27,000$  were rejected. Lineage assignment was done on consensus *fasta* files using Pangolin[26] version (v3.1.16).

All alleles with an allele frequency of  $> 90\%$  were classified as NVs. For analysis of NV cross-correlation, a methodology similar to the one reported in our previous study[14], was used. Briefly, a binary matrix was constructed for each sample with all NVs found in  $> 5\%$  samples as columns, indicating whether an NV of interest was present or absent in a sample. NVs exhibiting low standard deviation across samples

were not included in the analysis. Pairwise Pearson correlation coefficients were estimated for this binary matrix using *cor* function in R. p-values indicating significance of association between each pairwise correlation coefficient was estimated using the *cor.test* function with *chi-square* test. This matrix was then used to visualize the NV cross-correlation maps in R *corrplot* function[27]. Odds ratio for estimating the association likelihoods of genomic alterations with vaccination breakthrough cases were estimated by creating contingency matrices for each NV identified in >5% of vaccinated samples and were compared with multiple random subsamples of non-vaccinated cases starting March 2021 onwards.

The estimation of intra-host Single Nucleotide Variations (iSNVs) was carried out using Lofreq[28], a variant caller with a high sensitivity to predict iSNVs with an allele frequency as low as 1%[28]. The minor alleles with allele frequencies between 2% and 50%, and minimum sequencing depth of 100x, were classified as iSNVs, and were used for further analysis.

All statistical tests and analyses were performed using custom R scripts. All structural representations were generated in PyMOL (The PyMOL Molecular Graphics System, Schrödinger, LLC).

## Results

### **B.1.617.2 ('Delta') displaced all previously circulating lineages from March 2021 onwards**

We identified a clear shift in the dominant lineages present in Telangana, India, from 2020 to 2021 current year (Figures 1a and S1a; the complete distribution of all lineages in the dataset is provided as supplementary Table S3). The B.1.1.306 and B.1.1.326 lineages (both detected first in India and supposed to have transmission links to Zambia, Somalia and Bahrain ([https://cov-lineages.org/lineage\\_list.html](https://cov-lineages.org/lineage_list.html))) were dominant from May, 2020 to September, 2020. The period October, 2020 to March, 2021, witnessed an upsurge of B.1.36.29 (assigned as 'Indian Lineage' by Pangolin[26] and pangoleARN[29]). December, 2020, witnessed the emergence of the Kappa (B.1.617.1) lineage in the state population, and was present till April 2021. However, 'Alpha' (B.1.1.7), which was the first lineage classified by WHO as a variant of concern (VoC), appeared in the population in February 2021 and was identified in samples till March 2021, consistent with other reports[30]. Spread of the Alpha lineage was higher and lasted longer in north India, appearing as early as January, 2021, and present till May, 2021, while south India witnessed a higher prevalence of the Kappa variant (B.1.617.1) (Figures S1a,b). However, from March, 2021 onwards, the Delta variant (B.1.617.2) constituted an overwhelming majority of lineages detected in the state, replacing all other virus lineages circulating previously, indicative of its massive spread (Figures 1a and S1a). As expected, the extent of its spread in Telangana almost paralleled its surge in the rest of the country (Figure S1b). Lineage analyses on the two sample sets representing local transmission clusters (Table S1a) did not reveal enrichment of a specific lineage compared to other samples analysed from same period in parallel (data not shown). The spread of Delta in India pre-dated its spread in other countries (Figure 1b). Following its gradual rise in Asia, the Delta lineage spread to Europe, North and South Americas, with the highest spike in June – August, 2021 (Figure 1b). By the end of June, 2021, Delta was the major lineage in all geographical regions of the world[15].

In July 2021, the Delta variant was further classified (by Pangolin) into several sub-lineages (<https://cov-lineages.org/>) indicative of appearance of additional NVs (<https://outbreak.info>). From July 2021 onwards, AY.20, AY.39, and AY.44 were the



major Delta sub-lineages observed in Telangana (Figure 1a), though the fraction of Delta sub-lineages was higher in most Indian states compared to Telangana (Figure S1b). Moreover, the major sub-lineages in other states varied from July 2021 onwards (Figure S1b).

The distribution of Delta sub-lineages also differed worldwide (Figure 1c). From mid-April, 2021 onwards, AY.4 was most prominent in Europe, followed by AY.43 from July 2021; while AY.103, AY.44, AY.3 and AY.25 were more common in North America from June 2021. The sub-lineage AY.29 was highly prevalent in Oceania from July 2021 (Figure S1c), while Asian countries (excluding India) displayed a mix of various sub-lineages with AY.29 becoming prevalent between June to September, 2021 (Figure 1c). Similar to the distribution pattern observed in India, a mix of different Delta sublineages including AY.36, AY.40, AY.45, AY.46, and AY.91 were observed in sequences from Africa during May-October 2021. Given the consistently increasing repertoire of Delta sub-lineages, constant lineage re-assignment by Pangolin and the fact that a mere assessment of the lineages *per se* may be insufficient to comprehend the complete catalogue of SARS-CoV-2 NVs, we proceeded to perform a detailed analysis of NV profiles obtained from the samples from Telangana, India.

### **Genome-wide analysis reveals a sudden change in the landscape SARS-CoV-2 NVs coinciding with the second wave**

Study of independent NVs, which may not be included as part of the NV signature of a lineage, and could have arisen due to positive selection, may be critical from the perspective of public health surveillance. A monthly assessment revealed a significant shift in the NVs observed in Telangana during the course of last one year (Figure S2). Overall, a few NVs were consistently present in the population starting from April, 2020, namely A23403G (D614G, S), C241T, C3037T (P924F, ORF1a: nsp3), and C14408T (P4720L, ORF1b: nsp12) (Figure S2). Genes coding for envelope and membrane proteins (E and M genes, respectively) and the accessory protein ORF6, remained relatively free from high frequency NVs till October 2021. The genomic landscape of the virus was marked by the presence of few high frequency NVs in the period from April, 2020 to July, 2020 (Figure S2). The period from August, 2020 to February, 2021 witnessed appearance and subsequent

disappearance of many moderately frequent NVs, especially in ORF1ab. However, March, 2021 onwards, a larger number of NVs became evident at moderate to high frequencies throughout the genome (Figure S2). Specifically, the S protein, nucleocapsid (N), ORF7a/b, and nsp3 accumulated several missense NVs (Figure 2). The S protein NVs included T19R, T95I, G142D, del156-157, A222V, L452R, T478K, D614G, P681R, and D950N, along with the ubiquitous D614G (Figure 2). With the exception of T95I, G142D and A222V, all other NVs were consistently present in >75% of samples till October 2021 and were further associated with the appearance of Delta lineage in the population (Figure S3). Beginning May, 2021, additional NVs appeared in the S protein which resulted in bifurcation of Delta into various sub-lineages (Figures 2 and S3). Notably, the frequency of T95I was highest in AY.20 compared to Delta and other sublineages while the frequency of G142D was highest in Kappa lineage (B.1.617.1) compared to other lineages observed thereafter. A222V was another alteration shared by both Delta and AY.44 though it was present in higher frequencies in AY.44 compared to Delta. Interestingly, an observation which clearly stood out from this analysis is that AY.20, AY.39 and AY.44 sub-lineages harbored several NVs (including M153I, A243P, L244F, V1104L, V1176F (in AY.20), S221A, A1080S (in AY.39), G181V (AY.20, AY.39), and T1117I, D1260E (in AY.44)) in the N-terminal domain (NTD), receptor binding domain (RBD) and the region between the two heptapeptide repeat sequences HR1 and HR2, which were either absent or present in lower frequencies in Delta (Figure S3).

A striking observation in the N protein was the disappearance of RG203KR (triplet 28881-3GGG>AAC) from January, 2021 onwards (Figure 2). We observed the simultaneous appearance of R203M in the Alpha as well as in the Delta and its sub-lineages. Furthermore, the Delta lineage was marked additionally by N protein NVs D63G, G215C, and D377Y. More importantly, several N protein NVs were restricted to Delta sub-lineages (being absent in Delta itself) including L161F, K361Q and K369T (AY.39), and A252S (AY.20) (Figure S3).

Among the non-structural proteins that constitute ORF1a/b, nsp3 is the largest, and exhibited an increase in frequency of several NVs (I880V, A1306S, P1640L, P2046L, P2287S) from March, 2021; most of them being associated with Delta and its sub-lineages (Figures 2 and S3). The accessory proteins 7a and b, relatively free of NVs till December, 2020, accumulated distinct high frequency NVs including V82A (7a),

T120I (7a), and T40I (7b) from January 2021 (Figures 2 and S3). Similarly, the NV landscape of ORF3a was significantly altered post-December, 2020 and ORF1b:nsp12 (RNA dependent RNA polymerase) accumulated the G5068S NV in addition to the ubiquitously present P4720L (Figure S4). The frequencies of all these NVs in ORF7a/b, ORF3a, and nsp12, were consistently high in samples classified as either Delta or its sub-lineages AY.20 , AY.39, and AY.44, potentially reflecting an extended genomic variation footprint not observed in previous lineages (Figures S3, S4). Furthermore (and similar to the observations made with respect to lineages), an inspection of the NVs in the samples collected from two local ‘super-spreader’ events (Table S1A) did not reveal enrichment of any specific NVs.

In a nutshell, the Delta sub-lineages were marked by presence of several NVs, over and above those that defined the Delta. Secondly, AY.20 and AY.39 had relatively higher numbers of NVs compared to other sub-lineages observed in the state. Since the emergence of vaccine breakthrough cases coincided roughly with emergence of Delta and its sub-lineages, we proceeded to investigate whether the lineages or the NVs associated with them, showed a higher likelihood of occurrence in vaccination breakthrough cases.

### **Association of specific genomic NVs with vaccination breakthrough cases**

A large fraction (70%) of vaccination breakthrough cases belonged to the Delta lineage, followed by AY.44 (5.2%), AY.20, AY.43, and AY.39 (5% each) (Figure S1d). Interestingly, 57%, 39%, 29%, and 22% of all samples classified as AY.32, AY.43, AY.35, and AY.20 respectively, belonged to vaccination breakthrough cases (Figure S1d). We next analysed all S protein NVs present in >3% of vaccinated cases. T19R, deletion EFR156G (156-157del), L452R, T478K, D614G, P681R, and D950N, present in high frequency in the total dataset, were also present with highest frequencies (>80%) in vaccinated cases (Figure S5), as expected. In addition to these, T95I, which was found to be present in slightly higher frequencies in samples belonging to AY.20 compared to the Delta, was found in 50.8% of all vaccinated samples. Another S protein alteration V1104L (located in the S2 subunit), was present in ~7.5% of all vaccinated cases. Intriguingly, frequency of this NV was higher in AY.20 associated samples than in Delta itself (Figure S3). A separate analyses of partial and completely vaccinated cases identified S221A (2.3% cases)

as an exclusive event in completely vaccinated cases (Figure 3a). Similarly, T1117I (~3.9% cases) and H1101Y (~1.9% cases), were identified exclusively in partially vaccinated cases (Figure 3a). We computed odds ratios to estimate significance of association of genome-wide NVs with vaccination breakthrough cases. V1104L (S), S26L (ORF3a), V82A (ORF7a), R203M (N), and T3646A (ORF1ab) exhibited odds ratios ranging between 1.5-3.0 (p-value < 0.05, at 95% confidence interval (Figure 3b); details of upper and lower confidence interval limits are provided in supplementary Table S4), thereby providing further support towards favourable occurrence of these NVs in vaccination breakthrough cases

### **NV cross-correlation maps reveal presence of extended NV signatures associated with different lineages**

An NV (missense only) cross-correlation map arranged by hierarchical clustering revealed several clusters highlighting the frequency of co-occurring NVs within samples. The first major cluster immediately apparent from the cross-correlation map (Figure 3c, grey lines at the sides) was formed by an extensive set of co-occurring NVs that highlight the Delta lineage (<https://outbreak.info/situation-reports/delta>). Within this large cluster however, we identified presence of two smaller (sub) clusters. One of these (black outline; Figure 3c) encompassed S protein NVs P681R, L452R, T478K, D950N, and T19R which were observed in high frequency in samples belonging to the Delta lineage (Figure S3). This sub-cluster additionally displayed enriched co-occurrence of NVs in other genes namely T120I, V82A (ORF7a), S26L (ORF3a), del 119/120 (ORF8), I82T (M) and D63G and D377Y (N), indicative of a potential extended genomic signature of the Delta lineage. Interestingly, this sub-cluster was mutually exclusive with another set of NVs (N440K (S), S2P, S194L (N), Q57H, stop gained E261\* (ORF3a)) that were part of the B.1.36.29 lineage (Figure 3c, the lineage defining NVs highlighted by red outline) which was abundant before the emergence of Delta as mentioned above (Figures 2 and S3). The Delta defining cluster was also negatively correlated to the double amino acid changes in N protein R203K, G204R (brown rectangle; Figure 3c) that was prevalent before the emergence of Delta, indicating a completely unique signature formed by NVs in Delta, mutually exclusive to that of previously circulating viral lineages in the population.

The second sub-cluster (pink outline; Figure 3c) included T95I (S), G215C (N), T40I (ORF7b), and several NVs located in ORF1ab including A1306S, P2046L, P2287S (nsp3), T3255I (nsp4), T3646A (nsp6; also favourably associated with vaccination breakthrough cases (Figure 3b)), and A6324V (nsp14). These NVs were found to be present in high proportion (>90%) of samples associated with Delta sub-lineages AY.20, AY.39, and AY.44 (Figure S3). Though these NVs also exhibited positive correlation with the NVs in the Delta sub-cluster (Figure 3c; black outline) a stronger correlation among the NVs within this new cluster points to a divergent evolution of these sub-lineages in the population. Furthermore, several NVs associated within this Delta sub-lineage sub-cluster displayed positive associations with a set of NVs formed by ORF8 (D119V, del 119/120), and ORF1ab (P5406L, G5068S) (green rectangle; Figure 3c), reflecting additional set of co-occurring common NVs occurring in Delta sub-lineages (AY.20, AY.29, AY.44) (Figure S4).

We extended the analysis to vaccine breakthrough cases and observed a positive cross-correlation between S26L (ORF3a), P4720L (ORF1ab) and D614G (S) (correlation coefficient  $r^2 > 0.75$ ) which was absent in non-vaccinated cases (Figure S6). Interestingly, S26L (ORF3a) also exhibited highly significant association with vaccination breakthrough cases (Figure 3b). Another positive cross-correlation was observed among V82A (ORF7a) and S protein NVs, L452R, T478K ( $r^2 > 0.75$ , as opposed to  $r^2 < 0.75$  in non-vaccinated Delta samples), and T19R, del156-157, T95I ( $r^2 > 0.5$ ;  $< 0.5$  in non-vaccinated cases). Finally, another instance of increased positive correlation in vaccination breakthrough cases was formed by R203M (N), V82A, T120I (ORF7a) compared to non-vaccinated cases (Figure S6). This aligns well with our previous observation, where both R203M and V82A display favourable odds of occurrence in vaccination breakthrough cases (Figure 3b). Overall, the findings suggest that the genomic alterations in S protein (L452R, T478K, P681R) which have previously been reported to increase escape from neutralizing antibodies and potentially associate with vaccination breakthroughs[7],[10] might arise in tandem with genomic changes located in non-S genes which are involved in modulating the downstream processes in viral life cycle (ORF1ab, N) and regulating host immune response (ORF7a/b, ORF3a), thus increasing the probability of virus survival and causing breakthroughs.

## **SARS-CoV-2 exhibits genomic plasticity as multiple sites contribute to generation of intra-host variants**

Since virus genomic diversity arises within a host, it becomes important to uncover minor alleles originating in the form of iSNVs. A total of 545 samples (15.4% of the total dataset) exhibited iSNVs of which a majority (234; 43% (6.6% of total dataset)) harboured minor allelic variants at a single genomic position and 165 samples (30% (4.6% of the total dataset)) exhibited iSNVs at two positions (Figure 4a).

Interestingly, 11 samples contained more than 9 sites with minor alleles. However, the Ct values in 7 of these 11 cases were > 22 (Table S5) indicating possible false calls due to sequencing error(s), as reported earlier[31–33]. Presence of >9 iSNVs sites in rest of the 3 samples could probably reflect mixed infections, as reported earlier[31]. N, nsp11, nsp9, and ORF3a exhibited higher frequency of iSNVs (when normalized to gene size) compared to other proteins (Table S6).

We next endeavoured to map co-occurrence of NVs and iSNVs in the genome. Across the 545 samples, a total of 229 genomic loci were involved in iSNVs, of which 113 loci were found to also share NVs (Figure 4b). This observation stands in contrast to total number of genomic loci which formed NVs across the dataset (5224), of which only 229 sites shared iSNVs. In order to investigate whether any iSNV site(s) which shared NVs coincided with mutation ‘hotspot’ region(s), we mapped all genomic positions which shared iSNV and NVs and estimated the sample frequencies of major and alternative alleles at these loci (Figure S7). The analysis revealed that a very few (9053 (nsp4), 11201, 11332, 11418 (nsp6), 21618, 23403, 23604 (S), 26767 (N), and 28881 (N)) shared sites exhibited NVs (major alleles) which were widespread (sample frequency > 45%) in the dataset suggesting that these loci could be mutation hotspots.

Another critical, and arguably more important insight iSNV analysis provides is by enabling an effective temporal tracking of a novel minor allele from the time of its emergence. This can potentially identify an important NV before it becomes widespread in the population; hence being of great benefit for public health surveillance. To this end, we evaluated changes in sample and allele frequencies of minor alleles identified in iSNV sites (Figure 4c). Results revealed few iSNVs in ORF1ab (683T, 5584G, 12946C, and 19684T), ORF3a (25489T, 25506T, 25506G,

25677T, 25855C, and 25907T), and multiple positions in N (28290T, 28725T, 29000T, 29032T, 29034T, 29140T, and 29179T) where the sample and allele frequencies consistently increased from the time of their emergence (Figure 4c). Allele frequencies of minor alleles at all these iSNV positions (with a few exceptions discussed below) reached 80% over time attesting to a transition from iSNV to NV. Furthermore, most of these iSNVs emerged in January 2021 (with few emerging in December 2020 or February 2021), indicating towards a possible escape from transmission bottleneck. Few notable examples include 25907T (leading to 172V in ORF3a, present in 53% of total samples) and 29034T in N (leading to 254V, present in 24% of all samples).

In addition, a few iSNVs exhibited a significant increase in sample frequency across a long time period, despite exhibiting an allele frequency of < 50% (Figure 4c). A notable example being all alternative alleles at position 5270 (nsp3), which exhibited allele frequency <16%, but were nonetheless detected in a significant fraction of samples (across the 3543 samples, 5270A in 33% cases, 5270C in 20%, 5270G in 5%) (Figure 4c). Another such instance was observed at position 29033 (N; 29033T in 6%, 29033A in 1.2%) (Figure 4c).

We next focused on S protein iSNVs, expected to be important in shaping virus transmission and immune escape. In addition, S protein iSNVs are reported to be rare events due to evolutionary constraints with many getting lost attesting to a narrow transmission bottleneck[34]. A few minor alleles 19I (C21618), 30H (A21650), 879S (G24197), 1101Y (C24863), 1118Y (C24914), and 1252C (C25317), which were first observed in independent samples in January 2021, were consistently present in samples till October 2021 as well (sample distribution and allele frequency shown in Figure 4c). Among these, the S protein iSNVs associated minor alleles that exhibited an increased sample frequency from the time of their emergence were 30H, 1101Y, and 1252C; the distribution of their allelic frequencies is shown in Figure 4c. However, the allelic frequencies of 30H and 1252C, in all the samples in which they were detected, was < 50% (with the exception of one sample which harbored the 1252C allele at allele frequency > 75%). On the contrary, from June to September 2021, the sample frequency of 1101Y increased from 0.6% to 3%, with a concordant increase in corresponding allele frequency (Figure 4c). Interestingly, the

H1101Y NV was also present in >5% of all partially vaccinated samples. Subsequent analysis on the potential functional impact of these iSNVs is currently underway.



## Discussion

SARS-CoV-2 continues to cause significant morbidity and mortality worldwide making it important to perform regular genomic surveillance to detect emerging virus NVs. The estimated mutation rate of SARS-CoV-2 is about  $1.1 \times 10^{-3}$  substitutions/site/year[35]. The constant virus evolution leading to emergence of new variants is shaped by several factors including host genetics and immune response[36] [37], strong selection pressure created due to neutralizing antibodies[38], etc. The infection rate reduced significantly across India after peaking in August, 2020. However, India witnessed a ferocious 'second wave' of infection during February to June, 2021, with number of deaths several folds higher than that observed in the first wave[39]. The massive spread has mostly been attributed to the Delta variant, which has been shown to be associated with higher transmissibility rates than previously circulating Alpha and Kappa lineages[40], [41]. The Delta rapidly displaced all previously circulating viral lineages owing perhaps to its increased fitness ( $R_0$  ( $R_e$ ) 60-70%) with viremia 1000 folds higher than most previous lineages[41]. The increased adaptability of a pathogen virus under 'waning' immune pressure or partial immunization, has been reported in other studies[42]. While other widely transmitted lineages such as the Alpha emerged in other countries before vaccination programs were implemented[5], the countrywide spread of the Delta probably occurred during the implementation of vaccination in India. This suggests that higher mutation accumulation observed in this variant could be a result of increased selection pressure under a modified host microenvironment which the virus was exposed to. This suggestion assumes significance given the Delta variant's ultrafast replication speeds which makes it detectable within 4 days after exposure[41]. Despite the preponderance of Delta during the second wave, the spread of specific virus lineage(s)/sub-lineages did exhibit differences in various geographical regions within India. Under these circumstances, it becomes imperative to study the evolution of the virus within a specific demography over an extended time period.

From June 2021 onwards, the Delta variant itself was divided into several sub-lineages (based on Pangolin classification) but we have presented results mainly for the more frequently observed ones namely AY.20, AY.39, and AY.44. The preferential occurrence of certain sub-lineages over others in different states within

India and in different countries indicates a potential role of population-specific host genetic factors which might govern the favourable spread of one sub-lineage over another. We have however not evaluated the association between host genetics and viral sub-lineages in this study. Although there are multiple reports of the association of a few S protein NVs (especially T478K, P681R, and L452R) with viral transmissibility and immune escape[10][43], we have performed an extensive analyses on the entire landscape of SARS-CoV-2 genomic NVs in this study. Although these NVs have been reported to occur in samples belonging to Delta lineage (as documented in GISAID, and <https://outbreak.info/situation-reports/delta>), their association with vaccination breakthrough events were not reported thus signifying the importance of our analysis. However, absence of information on neutralization antibody levels in vaccinated individuals did make it difficult for us to establish a strong association with vaccination breakthrough cases. Also, keeping in mind the interpretation pitfalls that may be created due to the small size of vaccination breakthrough cases, we are currently validating the results presented here on a larger sample set.

The NV cross-correlation analysis is a powerful tool to establish moderately or tightly linked genome-wide signatures. Our analyses revealed the complete footprint of genomic alterations affiliated to specific viral lineages. The cross-correlation analysis not only described the entire set of alterations associated with Delta and its sub-lineages, but also revealed several NVs that were completely mutually exclusive with those associated with previously circulating lineages. Another significant observation made possible through the cross-correlation analysis was the preferential co-occurrence of specific NVs in Delta sub-lineages but absent from Delta itself; which could not be deduced from a linear analysis of NV timeline. The analyses also suggested higher enrichment of certain co-occurring NVs in vaccination breakthrough (compared to other) cases.

Previous studies have shed evidence on how iSNVs impart genomic plasticity[44] and direct viral genome evolution through inter-host transmission cycles[45,46]. Due to lack of data on donor-recipient pairs and primary contacts (including family members) of infected individuals, we were unable to perform iSNV bottleneck estimation in this study. Moreover, despite having a substantial dataset size, the information output is hampered by lack of clinical information like infection

symptoms, hospitalization status, etc. Nevertheless, few observations are worth highlighting. Firstly, we did not detect significant correlation between samples showing iSNVs and their vaccination status or their age or with a specific lineage (data not shown). Secondly, we identified specific iSNVs like the 25907T in ORF3a that exhibited increased sample and allele frequencies with time. Interestingly, the 172V alteration generated from 25907T has been shown to improve protein stability, owing to increased local hydrophobic interactions, in recent studies[47]. The stability of ORF3a, plays a crucial role in its functionality as an apoptosis inducing protein leading to cell death[48] and membrane rearrangement during SARS-CoV-2 infection[49].

The iSNV analysis has potentially revealed an important S protein allele viz. 1101Y, where the 'Y' allele frequency showed an upward trend from April 2021 and was labelled as an NV as its frequency became >50%. Further, this NV was also preferentially associated with partially vaccinated samples. Interestingly, H1101Y, V1104L, and T1117I are located between the two heptapeptide repeat sequences HR1 and HR2 within the S2 subunit of the S protein (Figure S8). Earlier reports have suggested that both V1104L and H1101Y could increase local stability and alter the surface character of the S protein, thereby aiding in favourable evolution of the virus[50,51]. We therefore recommend to include H1101Y and V1104L under active surveillance.

This study provides fresh insights into how the virus genome landscape has evolved over the duration of 19 months in a localized population in India. The novelty of the study stems from its all-inclusive approach and the identification of missense NVs in the context of cross-correlation and intra-host diversity analysis. More importantly, we laid greater emphasis on individual NVs rather than the lineages per se; given the recent and frequent 're-classifications' of SARS-CoV-2 lineages by Pangolin[29]. Our study has facilitated better understanding of how different aspects of virus genome dynamics are inter-linked. Future functional studies on important NVs identified in this study may reveal their possible role(s) in virus transmission and vaccine escape. Given the ongoing immunization program, it may be worthwhile to perform similar studies in other regions of India.

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## **Author Contributions**

M.D.B. and A.G. conceptualized the study. A.G., M.D.B., and R.B. developed the methodology. A.G. carried out the formal analysis. Writing (Original draft preparation) was carried out by A.G., M.D.B., and A.G. worked on reviewing and edited the draft, R.B. provided suggestions on editing the draft.

## **Data availability**

All the raw sequencing data used in this study have been submitted to the Sequencing Read Archive (SRA) with project accession ID PRJNA691556.

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## List of figures

Figure 1: Comparative timeline of major SARS-CoV-2 lineage distribution in Telangana, India. (a) Frequency distribution of the major lineages per month from March, 2021 (a complete timeline starting from April 2020 is provided in Figure S1a). The black and red lines show the frequency distribution trend of the Delta and Delta plus its sub-lineages in India (excluding Telangana), respectively; white points show the corresponding monthly frequency. (b) Timeline of Delta variant distribution (blue) and total (grey) cases in India and rest of the world (all data were obtained from GISAID). (c) Timeline of changing frequency of Delta sub-lineages across the world. Only those sub-lineages present in >5% of total sequences submitted from the region were included. In panels b) and c), while estimating frequencies for the Asian countries, Indian sequences were excluded.

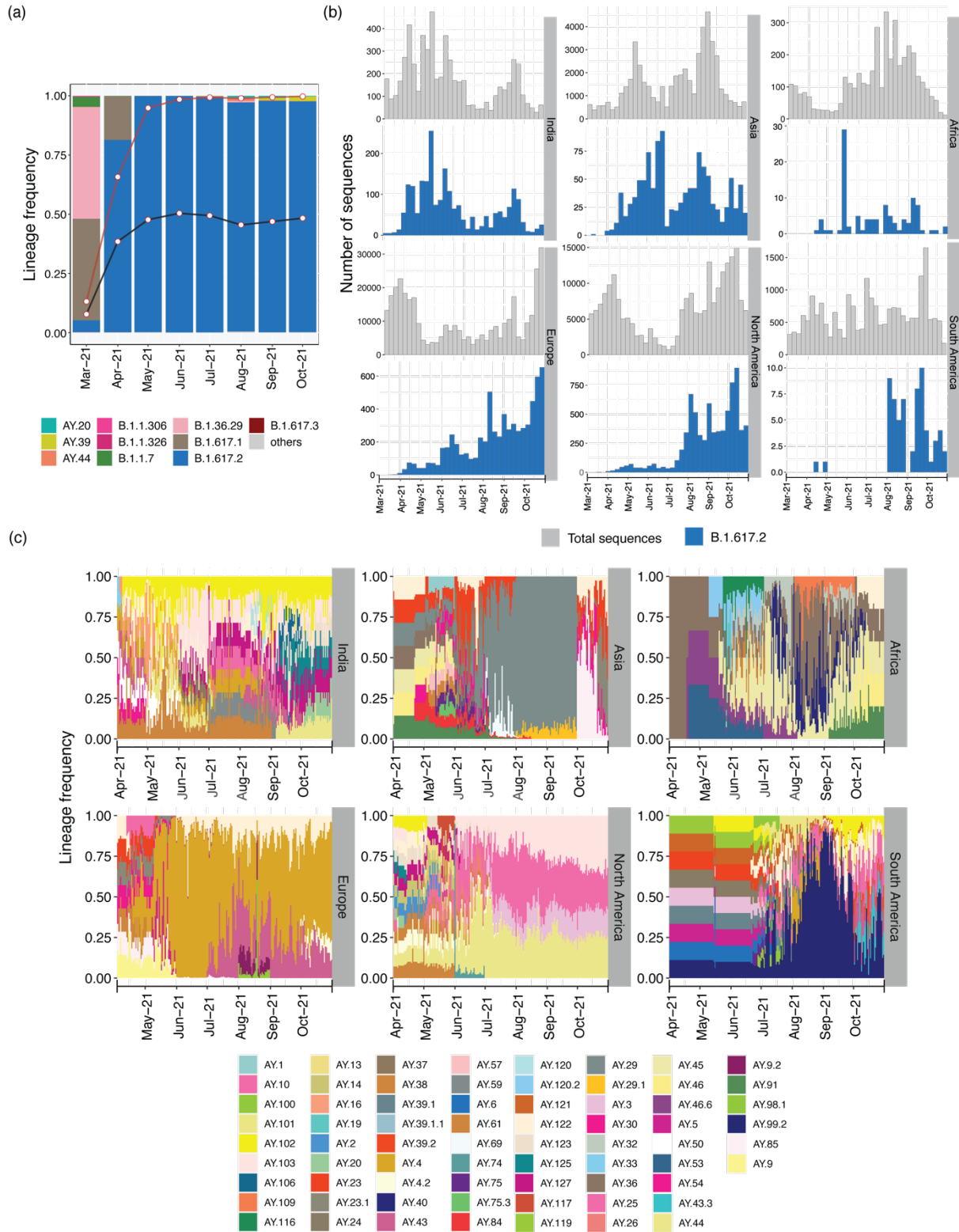


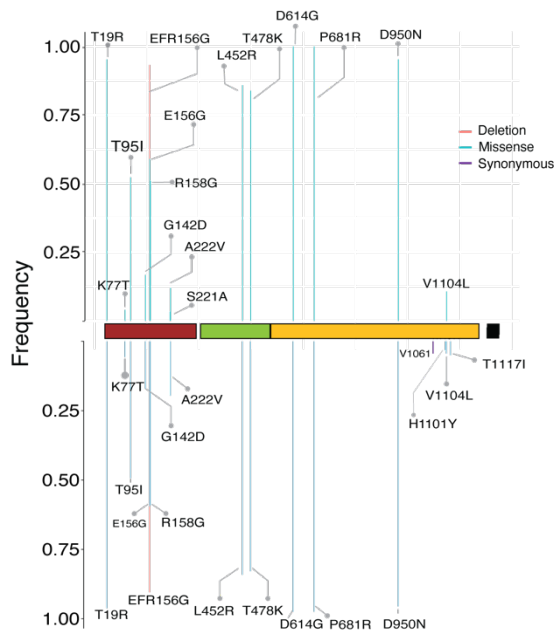
Figure 2: Monthly timeline of SARS-CoV-2 NV frequencies; variants present in <3% of samples were excluded. Key domains in the S protein are indicated at the top: NTD, N-terminal domain (brown); RBD-receptor binding domain (green); S2 subunit containing SD1 (subdomain 1), SD2 (subdomain 2), and S1/S2 cleavage sites (orange); CTD-C-terminal domain (black).



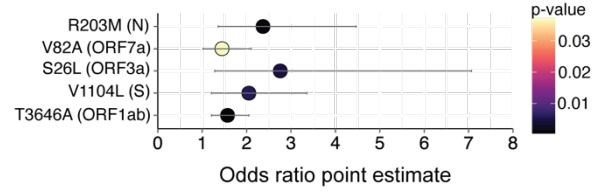


Figure 3: NV frequency in vaccinated cases and pairwise cross-correlation analysis. (a) Frequency of S protein amino acid alterations present in >3% of completely (top) and partially (bottom) vaccinated cases. (b) odds ratio indicating the extent of association of specific variants with vaccination breakthrough cases. (c) Pairwise cross-correlation plot between all non-synonymous missense NVs present in >3% of all the SARS-CoV-2 samples identified from Telangana, India during April 2020 till October, 2021; the size of all coloured 'squares' are inversely proportional to the corresponding p-value of the correlation. Positions with p-values > 0.05 appear as blank (or white). The colour key for positive (blue) and negative (red) correlation is given below the plot.

(a)



(b)



(c)

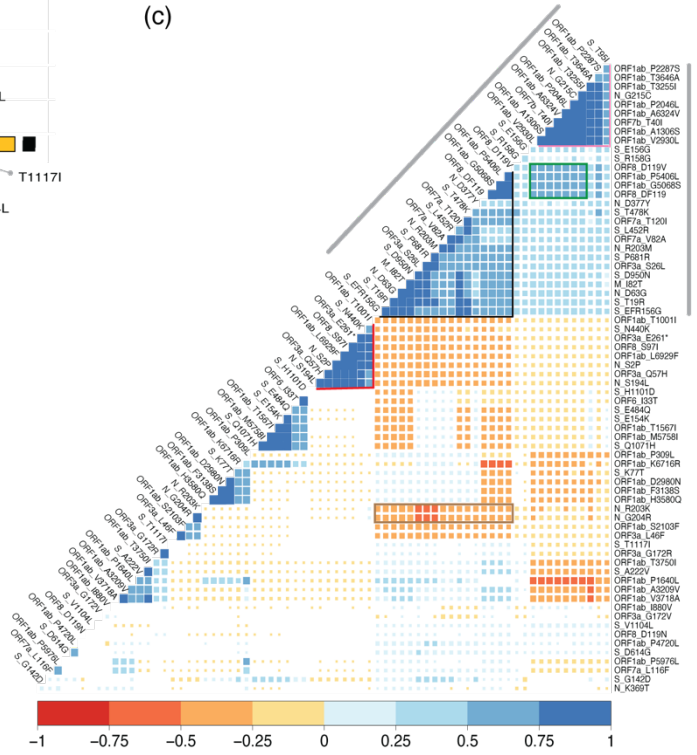
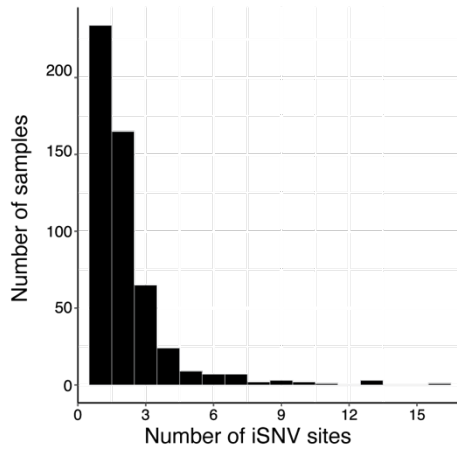
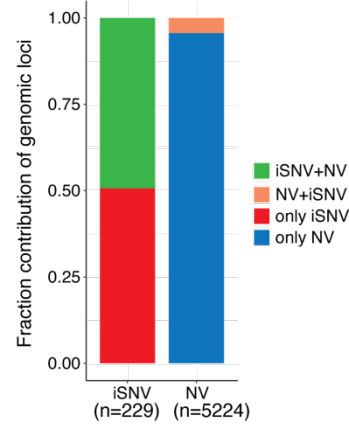


Figure 4: SARS-CoV-2 iSNVs identified from Telangana, India. (a) Distribution of number of iSNVs in samples. (b) Distribution of genomic loci with shared and unique iSNVs and NVs. (c) Timeline of allele frequency changes in the minor alleles in ORF1ab, ORF3a, N, and S proteins. Box plots indicate allelic frequency distribution while the points represent samples in which the allele was identified. Only those alternate alleles whose allele frequencies were either consistent or increased during the indicated timeline are shown.

(a)



(b)



(c)

