

1 **The Effect of Area Deprivation on COVID-19 Risk in Louisiana**

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31 **Abstract**

32 **Purpose:** Louisiana currently has the highest per capita case count for COVID-19 in the
33 United States and disproportionately affects the Black or African American population.
34 Neighborhood deprivation has been observed to be associated with poorer health
35 outcomes. The purpose of this study was to examine the relationship between
36 neighborhood deprivation and COVID-19 in Louisiana.

37 **Methods:** The Area Deprivation Index (ADI) was calculated and used to classify
38 neighborhood deprivation at the census tract level. A total of 17 US census variables
39 were used to calculate the ADI for each of the 1148 census tracts in Louisiana. The
40 data were extracted from the American Community Survey (ACS) 2018. The
41 neighborhoods were categorized into quintiles as well as low and high deprivation. The
42 publicly available COVID-19 cumulative case counts by census tract was obtained from
43 the Louisiana Department of Health website on July 31, 2020. Descriptive and Poisson
44 regression analyses were performed.

45 **Results:** Neighborhoods in Louisiana were substantially different with respect to
46 deprivation. The ADI ranged from 136.00 for the most deprived neighborhood and
47 -33.87 in the least deprived neighborhood. We observed that individuals residing in the
48 most deprived neighborhoods had a 45% higher risk of COVID-19 disease compared to
49 those residing in the least deprived neighborhoods.

50 **Conclusion:** While the majority of previous studies were focused on very limited socio-
51 environmental factors such as crowding and income, this study used a composite area-
52 based deprivation index to examine the role of neighborhood environment on COVID-
53 19. We observed a positive relationship between neighborhood deprivation and COVID-
54 19 risk in Louisiana. The study findings can be utilized to promote public health
55 preventions measures besides social distancing, wearing a mask while in public and
56 frequent handwashing in vulnerable neighborhoods with greater deprivation.

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59 **1. Introduction:**

60 On March 09, 2020, Louisiana reported its first case of COVID-19 and soon
61 thereafter appeared to be a hot spot of the coronavirus pandemic in the US [1]. Within
62 two weeks of the initial confirmed case, the state had one of the world's highest average
63 daily growth rate [2-4]. As of July 31, 2020, the state of Louisiana has the highest per
64 capita case count in the United States with a total of 116,280 confirmed cases and
65 3,835 deaths [2]. The incidence and mortality rates of COVID-19 has been
66 disproportionate across racial and ethnic groups [5, 6]. Specifically, non-Hispanic
67 African Americans have higher rates of incidence, hospitalization, and death from
68 COVID-19 compared to non-Hispanic Whites. In early July, the US Centers for Disease
69 Control and Prevention (CDC) estimated that non-Hispanic African Americans have 4.7
70 times the rate of age-adjusted COVID-19 related hospitalization rates than non-Hispanic
71 Whites [7]. The sources of disparities in COVID-19 outcomes might be explained from a
72 social determinants of health perspective. Non-Hispanic African Americans are more
73 likely to have vulnerable and low-paying jobs that don't allow remote work, which
74 increases risk of contracting COVID-19 [8, 9]. Furthermore, non-Hispanic African
75 Americans are more likely to rely on public transportation and to live in crowded housing
76 or work in crowded worksite that places them an increased risk for COVID-19 disease.
77 African Americans exhibit a greater burden of chronic medical conditions, such as
78 hypertension, diabetes, heart disease, chronic disease, and obesity that increase the
79 severity of COVID-19 illness [10-12]. In Louisiana, 2.9 million people have at least one
80 chronic condition, and a total of 68 percentage of Louisiana adults are overweight or
81 obese [13]. Furthermore, the poverty rate is much higher among African Americans as

82 those of non-Hispanic Whites, and are concentrated in neighborhoods with high poverty
83 [14, 15]. The neighborhood socioeconomic status (SES) is linked to access to health
84 care services, people residing in low SES neighborhoods are less likely to have access
85 to health care services, which further increases the risk of adverse health outcomes
86 related to COVID-19, such as higher hospitalizations and mortality [16, 17].

87 Preliminary reports show a relationship between the neighborhood of residence
88 and COVID-19 disease, hospitalization and death [18-20]. Those who reside in deprived
89 neighborhoods, defined by low income and education, higher unemployment, and
90 substandard living conditions have a greater risk of poor health outcomes such as
91 obesity, diabetes, cancer, and heart diseases [21-23]. Higher incidence and mortality
92 from COVID-19 have been observed in low-income or deprived neighborhoods [24-26].
93 A study conducted by Bilal et al. reported a 36% higher incidence of COVID-19 in
94 deprived neighborhoods compared to less deprived neighborhoods [25]. Systemic
95 health, social, and income inequities are considered as the primary reasons that have
96 contributed to the increased risk of contracting COVID-19 in persons residing in
97 deprived neighborhoods [7, 27, 28].

98 Risk factors leading to COVID-19 disease, hospitalization, and mortality are not
99 only at the individual or biological level; neighborhood-level factors and their interactions
100 with individual-level factors are also responsible for the observed disparities. Lack of
101 access to health care, unemployment, less education, and poor housing conditions
102 significantly increase the risk of COVID-19 infection [28-31]. These determinants of
103 health can be studied collectively as neighborhood or area deprivation.

104 Socioeconomic characteristics of residential neighborhoods influence health-related
105 behaviors, conditions, and health outcomes [32, 33]. Deprived neighborhoods are
106 correlated with health risk behaviors, overcrowding, less social cohesion, and higher
107 levels of environmental pollutants, and has been identified as a critical social
108 determinant of health [34-37]. Low socioeconomic status (SES), often regarded as a
109 fundamental cause of disease, has been shown to increase the risk of COVID-19
110 because it impacts access to fundamental resources that an individual or a
111 neighborhood may require to avoid COVID-19 [24, 38].

112 Neighborhoods with a higher number of people per household or room tend to
113 have a higher rate of confirmed COVID-19 cases than neighborhoods with fewer
114 residents [25, 39, 40]. Individuals who share a room or live in overcrowded housing and
115 the use of public transportation often spread the disease rapidly as distancing
116 preventive measures are impossible to adopt.

117 In this study, we used the Area Deprivation Index (ADI) to measure
118 neighborhood deprivation. The ADI is a composite measure of neighborhood
119 socioeconomic disadvantage, created by Gopal K Singh in 2003 [41]. The ADI,
120 composed of 17 education, employment, housing-quality, and poverty census derived
121 measures, is a robust metric measuring many relevant social determinants of health
122 that may help explain the socio-biologic mechanisms of disease [41, 42]. We
123 hypothesize that deprived Louisiana neighborhoods have a higher risk of COVID-19
124 reported cases than less deprived neighborhoods. To date, few studies in the US and
125 none in Louisiana have assessed the role of social determinants of health on COVID-19
126 disease. The studies that exist are limited, examining only a couple of specific risk

127 factors, such as overcrowding and income. The use of the Area Deprivation Index (ADI)
128 in the present study includes 17 neighborhood-level factors and provides a robust
129 measure of neighborhood deprivation. The primary purpose of this paper is to
130 investigate the relationship between neighborhood deprivation and COVID-19 risk in
131 Louisiana.

132 **2. Materials and methods:**

133 **2.1 Study Data**

134 Publicly available data on cumulative COVID-19 cases by census tract was
135 obtained from the Louisiana Department of Health website on July 31, 2020 [2]. There
136 are 64 parishes (counties) and 1,148 census tracts in Louisiana. All 64 parishes have
137 reported cases of COVID-19. Because the census tract is considered a good proxy for
138 neighborhood, census tract was selected as the unit of analysis for this study.[43] We
139 extracted the American Community Survey (ACS) 2018 data for census tract level
140 measures for Louisiana [44].

141 **2.2 COVID-19**

142 The main outcome in this study was COVID-19 cases per 1,000 persons in
143 Louisiana census tracts as of July 31, 2020.

144 **2.3 Neighborhood Deprivation**

145 Neighborhood deprivation was measured by the ADI, as described by Singh in
146 2003 [41]. ADI is a validated, factor-based deprivation index that uses 17 census
147 derived measures of poverty, education, housing, and employment indicators at the

148 census tract level to classify the neighborhoods [41, 45]. More-disadvantaged
149 neighborhoods are those with a higher ADI score.

150 The census indicators used in the calculation of ADI include educational
151 distribution (percentage of the population with less than 9 years and with 12 or more
152 years of education), median family income, median home value, median gross rent,
153 median monthly mortgage, income disparity, unemployment, percent employed person
154 in white-collar occupation, percent families below poverty, percent population below
155 150% poverty threshold, single-parent household rate, homeownership rate, percent
156 household without a telephone, percent household without a motor vehicle, percent
157 occupied housing units without complete plumbing, and household crowding [41, 45].

158 Calculation of ADI score:

159 Data from the Census Bureau's American Community Survey (ACS) 2018 was
160 used to calculate the ADI score. The 17 US census indicators were multiplied by the
161 Singh's coefficients (factor weights) for all census tracts in Louisiana [41, 46].

162 The base score of each indicator was summed to get the total base score for a census
163 tract. Each census tract's base score was standardized by dividing the difference
164 between the individual census tract base score (b) and the Louisiana census tract
165 population mean (p), by Louisiana census tract population standard deviation (S_p) [46].

166
$$\text{Standard base}_j = \frac{b - p}{S_p}, \quad j = 1, 2, \dots, k,$$

167 where j represents the j^{th} census tract, and k is the total number of census tracts in Louisiana.
168 Finally, the standardized values were adjusted to a base mean of 100 and a standard deviation
169 of 20 as suggested by Knighton et al [46].

$$170 \quad ADI_j = (Standard\ base_j + 100) * 20.$$

171 The details of ADI calculation and a list of variables included in the calculations can be
172 found in Knighton et al [46].

173 Based on the ADI scores, the census tracts were categorized into quintiles of
174 deprivation; they were also dichotomized as either low deprivation or high deprivation.
175 The median Louisiana ADI was used to dichotomize the census tracts. Census tracts
176 with missing values for the indicators were excluded while calculating the ADI.

177 **2.3 Statistical Analysis**

178 SAS 9.4 software was used for statistical analyses. Heat maps were created
179 using ArcGIS software. Mean, standard deviation, median and interquartile range (IQR)
180 of census indicators by ADI Quintiles (least deprived: Q1 and most deprived: Q5) were
181 calculated for all census tracts in Louisiana. Poisson regression analysis was performed
182 to estimate risk ratio. An offset variable was used, and the model was corrected for over
183 dispersion.

184 **3. Results**

185 There was a substantial difference between the ADI of the least deprived and most
186 deprived neighborhoods. The overall median (IQR) ADI for Louisiana was 104.32
187 (76.00), with the most deprived neighborhood having an ADI of 136.00, and the least
188 deprived neighborhood having an ADI of -33.87. While the median ADI of the least

189 deprived neighborhood was 76.00, the median ADI of the most deprived neighborhood
 190 was 118.45 (Table 1).

191 Table 1: Area Deprivation Index (ADI) distribution in Louisiana Census Tracts (N=1127)

	LA (Overall)	Q1 (Least Deprived)	Q2	Q3	Q4	Q5 (Most Deprived)
Mean	100	69.29	96.25	104.26	110.85	119.30
Std Dev.	20.00	20.09	3.03	1.98	1.91	4.61
Median	104.32	76.00	96.64	104.32	110.94	118.48
IQR	18.82	20.09	5.02	3.50	3.46	5.37
Minimum	-33.87	-33.87	89.82	100.88	107.65	114.01
Maximum	136.00	89.61	100.86	107.62	114.00	136.00

192
 193 Table 2 shows the median and interquartile range of census indicators that were
 194 used in the calculation of ADI. The most deprived neighborhoods in Louisiana had
 195 31.02% of families below poverty. Similarly, more than 15.47% of occupied housing
 196 units lacked a motor vehicle. The unemployment rate was more than twice as high in
 197 the deprived neighborhoods as the less deprived neighborhoods. Almost 3% of
 198 households in the most deprived neighborhoods had more than one person per room.
 199 Similarly, the median home value in the most deprived neighborhood was substantially
 200 lower than those in the least deprived neighborhoods (\$74,550 vs \$273,900). These
 201 results suggest that poor people with lower levels of education were clustered together
 202 in Louisiana.

203

204 Table 2: Median and IQR values of census tract level indicators in Louisiana.
205

Indicators	Least Deprived Neighborhoods (Q1)		Most Deprived Neighborhoods (Q5)	
	Median	IQR	Median	IQR
Percent of population aged ≥ 25 years with < 9 years of education (%)	1.71	2.29	6.68	5.97
Percent of population aged ≥ 25 years with greater or equal to a high school (%)	94.57	5.40	75.48	10.50
Percent of employed person ≥16 years of age in white-collar occupations (%)	49.79	14.50	20.13	10.63
Median family income (\$)	96,071	29,940	32,410	11,959
Income disparity*	0.83	0.49	1.82	0.47
Median home value (\$)	273,900	112,600	74,550	21,750
Median gross rent (\$)	1106	280	687	203
Median monthly mortgage (\$)	1803	575	881	171
Percent of owner-occupied housing units (%)	71.19	30.11	47.18	28.01
Percent of civilian labor force population ≥ 16 years of age unemployed (%)	4.09	3.36	10.61	9.03
Percent of families below the poverty level (%)	4.95	5.71	31.02	16.70
Percent of the population below 150% of the poverty threshold (%)	14.44	12.07	53.15	15.06
Percent of single-parent households with children < 18 years of age (%)	6.94	5.87	20.33	11.64
Percent of occupied housing units without a motor vehicle (%)	4.11	6.53	15.47	13.78
Percent of occupied housing units without a telephone (%)	1.50	1.60	3.25	3.15
Percent of occupied housing units without complete plumbing (%)	0.00	0.00	0.00	0.92
Percent of occupied housing units with more than one person per room (%)	0.62	1.48	2.87	3.88

206 **Income disparity was defined as the log of 100*ratio of the number of households with*
207 *<\$10,000 income to the number of households with \$50,000+ income*

208
209
210

211 Table 3: Relationship between the ADI and COVID-19 in Louisiana census tracts
212 (N=1127)

ADI	N	RR	95% CI	p-value
Low Deprived Neighborhoods	563	Reference	-	-
High Deprived Neighborhoods	564	1.45	(1.31-1.59)	<0.0001

213
214 From the Poisson regression analysis (Table 3) we observed that census tracts
215 with high neighborhood deprivation was associated with an increased risk of COVID-19
216 in Louisiana. There was a 45% higher risk of COVID-19 disease among individuals
217 residing in most deprived neighborhoods compared to those in the least deprived
218 neighborhoods (RR=1.45, 95% CI=1.31-1.59).

219 In figure 1, the census tracts in red represent the most deprived neighborhoods,
220 while the census tracts in green are the least deprived neighborhoods in Louisiana. In
221 figure 2, the census tracts in yellow represent census tracts with fewer COVID-19 cases
222 per 1,000 persons as of July 31, 2020, while the census tracts in brown and dark brown
223 represent higher COVID-19 cases per 1,000 persons. Figure 3 shows the distribution of
224 ADI and COVID-19 cases per 1,000 persons simultaneously in Louisiana by census
225 tracts.

Figure 1: ADI in Louisiana census tracts

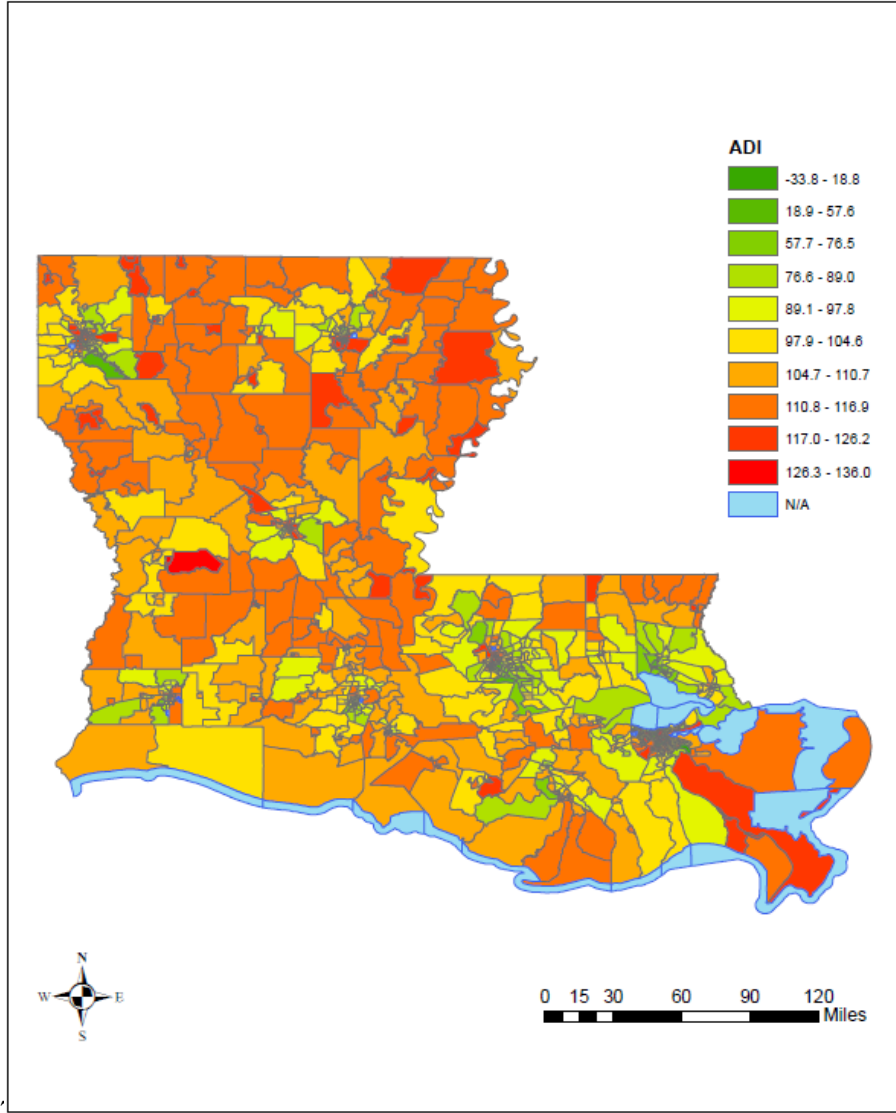


Figure 2: COVID-19 cases per 1,000 persons in Louisiana by census tract

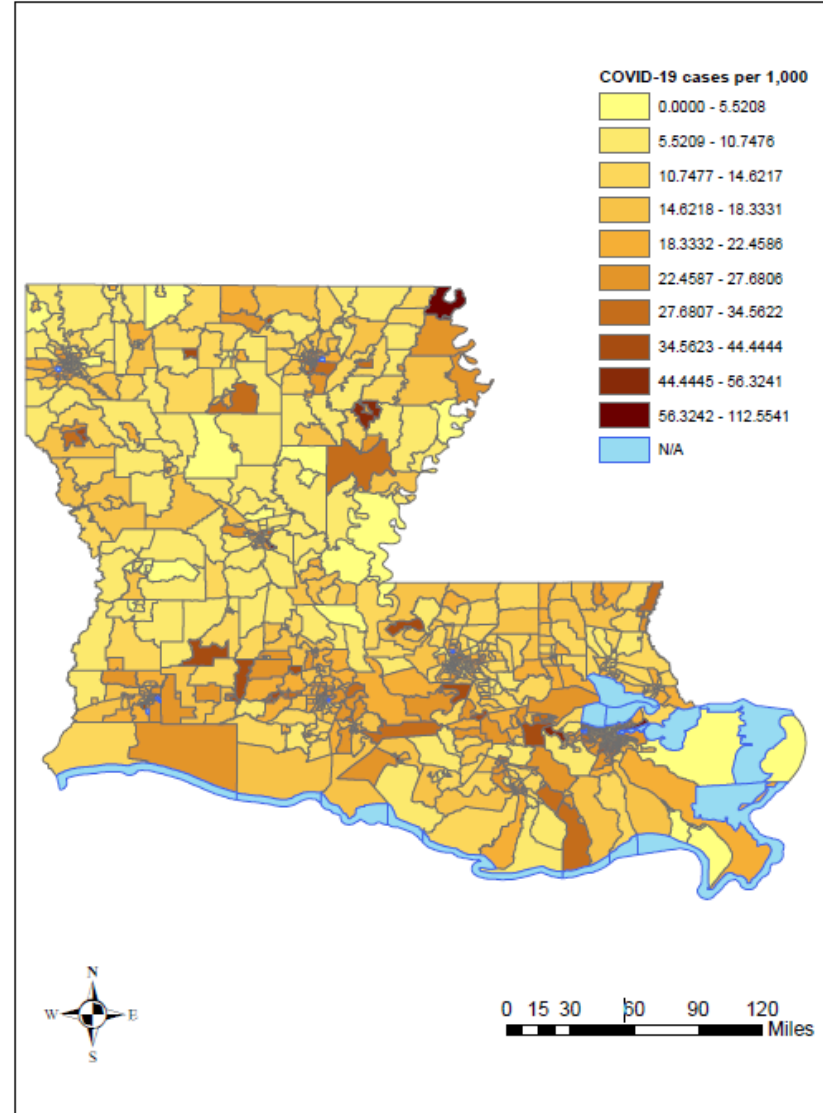
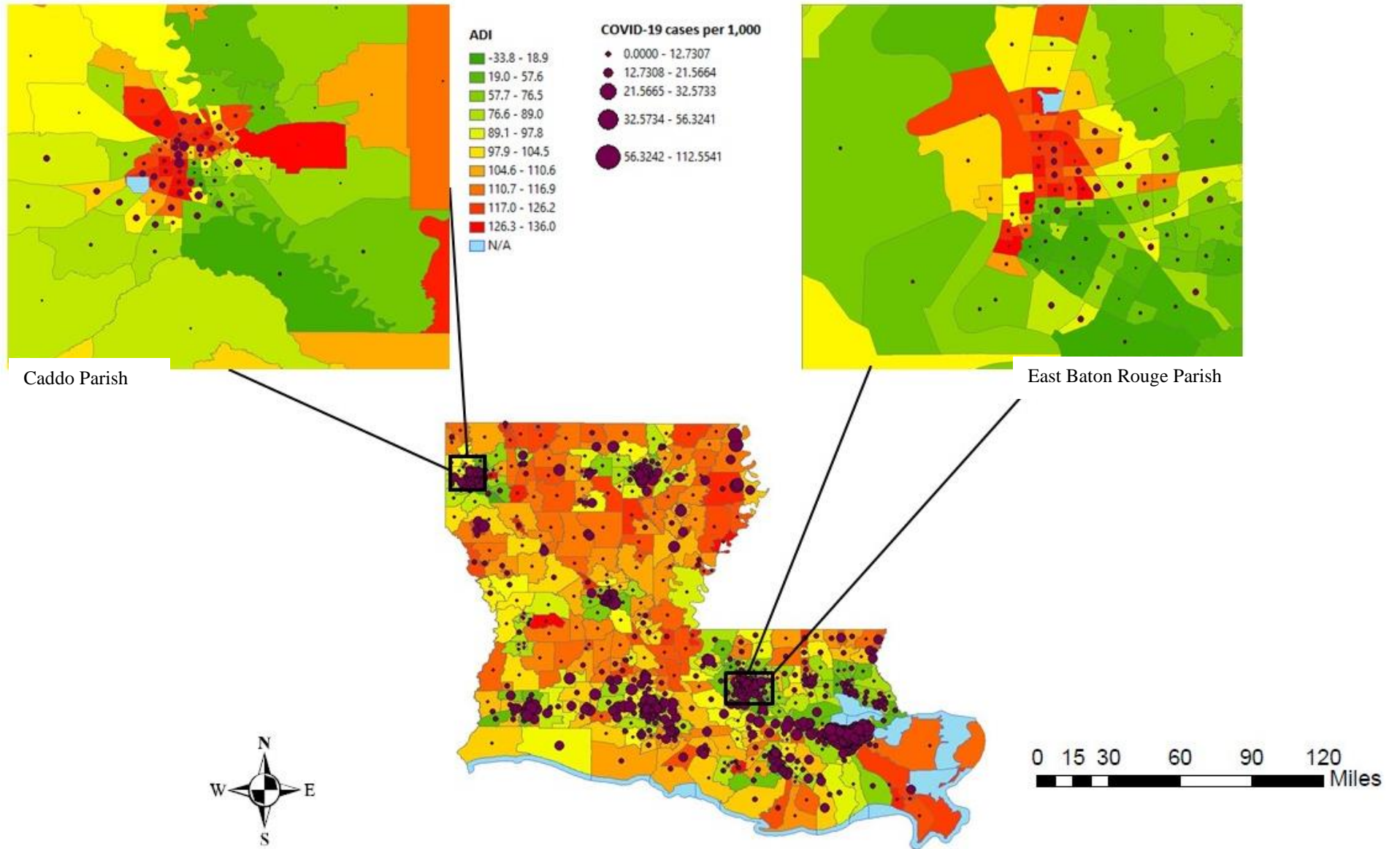


Figure 3: ADI and COVID-19 cases per 1,000 persons in Louisiana by census tracts



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232 **4. DISCUSSION**

233 To our knowledge, this is the first study to investigate the role of neighborhood
234 deprivation on COVID-19 in Louisiana. While previous studies were limited to a very few
235 socio-environmental factors, we used a composite area-based deprivation index to
236 identify neighborhood deprivation in Louisiana, US. The ADI includes 17 US census
237 indicators and could serve as an important tool in assessing the role of the
238 neighborhood on COVID-19 disease. Our findings demonstrated the increased rate of
239 COVID-19 disease among individuals who live in the most deprived neighborhoods
240 compared to individuals residing in the least deprived neighborhoods. A New York study
241 showed higher infection rates in low-income communities in New York City [47, 48]. The
242 neighborhood or built environment can impact health status either by influencing
243 environmental quality or by influencing behaviors that impact the transmission of
244 COVID-19. One of the major factors that might have fueled the spread of COVID-19
245 disease in poor neighborhoods is likely to be overcrowded living spaces. A study
246 conducted by Emeruwa et al. observed a strong association between neighborhood
247 socioeconomic status and household crowding and COVID-19 cases in New York City
248 [48]. The odds of infection were twice as high among individuals who lived in
249 households with greater crowding (interdecile OR, 2.27 [95% CI, 1.12-4.61]). Similarly,
250 a study in California showed 3.7 times the rate of confirmed COVID-19 cases in
251 overcrowded neighborhoods compared to less crowded neighborhoods.[49] These
252 findings illustrate how the housing environment plays an important role in disease
253 dynamics and in determining the health of individuals. Neighborhood socioeconomic

254 status and overcrowded housing may explain why non-Hispanic African American and
255 Hispanic populations are at higher risk of getting COVID-19.

256 In addition to overcrowding and neighborhood-level SES, the disparities in
257 COVID-19 cases between neighborhoods might be directly related to the nature of
258 residents' occupations, a lack of telecommunication infrastructure, use of public
259 transportation, and utility disruptions. Areas with concentrated poverty and extreme
260 racial segregation had a higher incidence of COVID-19 [5, 50, 51]. Since low-income
261 individuals often lack private vehicles, and may rely on public transportation, this in turn
262 increases the risk of contracting COVID-19. An early study in China observed a positive
263 association between the frequency of public transportation use and cumulative cases of
264 COVID-19 [52]. However, the results may not be generalizable to areas where public
265 transportation is not available, especially in rural Louisiana. In New York, Carrion et al.
266 also found higher subway ridership among individuals who reside in neighborhoods
267 where COVID-19 cases were higher [24]. Another risk factor that could potentially
268 increase the individual's risk of contracting infection is occupation. Although many
269 individuals have been practicing social distancing by working from home, 71% of
270 American workers cannot work from home [53]. Individuals in certain blue-collar jobs
271 tend to have a higher incidence of and mortality from COVID-19 [54]. The symptomatic
272 cases of COVID-19 are easily picked up and can help prevent the spread of disease by
273 isolating themselves, however, asymptomatic cases and symptomatic individuals who
274 don't get paid sick leave or are essential workers are likely to spread the disease more
275 rapidly. As of July 29, 2020, there were more than 100 worksite outbreaks in Louisiana
276 [2]. The majority of studies have emphasized how adversely affected by COVID-19

277 certain racial and ethnic communities are; however, these groups of people may have
278 differential exposure to the virus due to long-standing systemic health and social
279 inequalities.

280 This study has several limitations. Due to a lack of data, we were unable to
281 account for COVID-19 testing per census tract in our statistical analysis or perform a
282 time series analysis of COVID-19 case counts. Similarly, we couldn't include the data on
283 COVID-19 testing in a deprived neighborhood and the availability of free testing clinics
284 in our analysis. This study is limited only to COVID-19 cases per 1,000 persons in
285 Louisiana census tracts, the severe outcomes such as hospitalizations including
286 Intensive Care Units (ICUs) admissions, and mortality were not assessed. Another
287 limitation is that the impact of race couldn't be examined due to the lack of data at the
288 census tract by race.

289 A key strength of this study is the use of the ADI to characterize neighborhood
290 disadvantage. The ADI is a validated and becoming more widely used composite index
291 of neighborhood disadvantage. The ADI provides a robust method to identify and
292 classify deprived neighborhoods. The use of the most relevant social determinants of
293 health in the calculation of ADI allows for better contextualization of the neighborhood.
294 Despite these limitations, we believe that this study contributes to the literature on social
295 determinants of health and COVID-19 disease in the neighborhood by establishing the
296 relationship between the neighborhood deprivation and COVID-19 cases in Louisiana.
297 Findings may help authorities to prioritize the public health response especially by
298 increasing free testing sites and contact tracing in the targeted areas. In addition, it is
299 important to promote public health preventions measures for case isolation and

300 quarantine of close contacts besides social distancing, wearing a mask while in public
301 and frequent handwashing to ultimately reduce the spread of COVID-19 in the most
302 vulnerable populations.

303 **5. Conclusion**

304 We observed a great disparity in deprivation among Louisiana neighborhoods. We also
305 found an association between neighborhood deprivation and the COVID-19 cases per
306 1,000 persons in Louisiana. There have been many studies on how COVID-19 is
307 clustered in neighborhoods, however, future studies should explore specific
308 mechanisms behind this association.

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