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6 **Title**

7 **Monitoring Disease Transmissibility of 2019 Novel Coronavirus Disease in Zhejiang, China**

8 Ka Chun Chong, Wei Cheng, Shi Zhao, Feng Ling, Kirran N. Mohammad, Maggie Haitian

9 Wang, Benny Chung Ying Zee, Lei Wei, Xi Xiong, Hengyan Liu, Jiangxuan Wang, Enfu Chen

10 **Affiliations:**

11 The Chinese University of Hong Kong, Hong Kong SAR, China (K.C. Chong, S. Zhao, K. N.

12 Mohammad, M.H. Wang, B.C.Y. Zee, L. Wei, X. Xiong, H. Liu, J. Wang); Zhejiang Province

13 Centre for Disease Control and Prevention, Hangzhou, Zhejiang Province, China (W. Cheng, F.

14 Ling, E. Chen); Shenzhen Research Institute, The Chinese University of Hong Kong, Shenzhen,

15 China (K.C. Chong, S. Zhao, M.H. Wang, B.C.Y. Zee,)

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17

18 **Abstract**—48 words

19 We monitored the transmissibility of 2019 novel coronavirus disease in Zhejiang

20 accounting the transmissions from imported cases. Even though Zhejiang is one of the worst-

21 affected provinces, an interruption of disease transmission (i.e. instantaneous reproduction

22 numbers <1) was observed in early/mid-February after an early social-distancing response to the

23 outbreak.

24

25 **Text**—1187 words

26 In December 2019, a novel strain of coronavirus emerged and caused an outbreak in
27 Wuhan, Hubei province, China (1). The disease responsible for the outbreak has been officially
28 named by the World Health Organization (WHO) as COVID-19. Common clinical manifestation
29 of COVID-19 includes fever, fatigue, dry cough, dyspnea and muscle ache (2).

30 The current global scale health crisis began on 31 December 2019, the day WHO was
31 notified of several unusual cases of pneumonia in Wuhan (3). Despite the suspected source of
32 transmission (Huanan Seafood Wholesale Market) was shut down the next day, number of cases
33 continued to expand at an alarming rate (3). On 9 January 2020, the first related death was
34 recorded in Wuhan (4); meanwhile, the disease spread outside Hubei as people travel around and
35 outside the country. As of 22 February 2020, the epidemic registered 76,392 cases with 2,348
36 deaths in mainland China and spread to 28 countries that reported a total of 1,402 cases (5).

37 To halt the spread of COVID-19, the Chinese government imposed a complete lockdown
38 in Wuhan and other cities in Hubei province on 23 January 2020 aiming to quarantine the
39 epicenter of the outbreak (6). Meanwhile, a number of provinces/cities in China have imposed
40 restrictions on entry by travelers from Wuhan in response to the epidemic.

41 Owing to the frequent travel connections between Wuhan and Zhejiang (an eastern
42 province with 57 million residents), Zhejiang is the third worst-affected province with 1,205
43 cases confirmed to date, and the first province to declare the highest provincial level public
44 health emergency in response to the outbreak (23 January 2020); officials closed non-essential
45 public venues, banned funerals and weddings, and ordered suspension of work. Arrivals with
46 travel history to other provinces within last 14 days shall be quarantined. Starting from 1

47 February to 6 February 2020, all the 11 cities in Zhejiang imposed lockdown for partial districts
48 (Zhoushan, Jiaxing, Quzhou, Shaoxing, Lishui, Jinhua, and Huzhou) and a complete lockdown
49 for all districts (Hangzhou, Ningbo, Wenzhou, and Taizhou). During the lockdown period, only
50 one person per household is allowed to leave home once every two days for supplies (7). In this
51 study, we estimated the instantaneous reproduction number (R_t) of COVID-19 in Zhejiang to
52 monitor the impact of control measures on disease transmissibility over time.

53 The Study

54 We analyzed the data of confirmed cases, including both local and imported cases from
55 26 December 2019 to 25 February 2020, from all cities in Zhejiang. Confirmed cases were tested
56 positive for COVID-19, either through real-time reverse-transcription–polymerase-chain-
57 reaction (RT-PCR) assay or genetic sequencing, in accordance with the WHO guidelines. Cases
58 with travel history to other provinces (with ≥ 1 case reported) 14 days prior to symptoms onset
59 are defined as import cases. Epidemiological data of confirmed cases were recorded to the
60 database of Zhejiang Provincial Center for Disease Control and Prevention. Data were reviewed
61 independently by at least two staffs to ensure data accuracy.

62 R_t is defined as the average number of secondary cases generated by a primary case at
63 time t in a population and it is a time-varying measure of disease transmissibility when
64 intervention measures are in place for outbreak control. If R_t is below unity, a disease
65 transmission is unlikely to be sustained and the outbreak shall be under control. R_t can thus be
66 used to monitor the disease evolution in a population over time (8). In this study, we employed
67 two different methods to estimate R_t : 1. Wallinga and Teunis approach (M1) (9,10) and 2.
68 susceptible-exposed-infectious-recovered (SEIR) model-based approach (M2), controlling for
69 transmission arising from imported cases. In M1, we assumed serial interval follows a lognormal

70 distribution with mean 7.5 days and standard deviation 3.4 days (11), whereas in M2, we
71 assumed the mean latent duration and mean infectious duration to be 5.2 (11) and 2.3 days
72 respectively. Using the time series data of local and imported cases, we formulated the
73 likelihoods and solved the model parameter R_t (additional details in the Appendix).

74 The first imported case of COVID-19 had illness onset on 1 January 2020. As
75 importation of infections continued, the first local case had symptoms onset on 10 January 2020
76 (Figure 1). Over the study period, R_t attained peak values of 2.08 (95% confidence interval [CI]:
77 1.49 to 1.72) and 1.88 (95% CI: 1.38 to 2.41) on 16 January 2020 for method M1 and M2
78 respectively (Figure 2), and a majority of cases (60%) was still the imported cases. The R_s
79 remained stable and kept above 1.40 until 28 January 2020, five days after the declaration of the
80 highest-level public health emergency. Although the number of local cases had gradually
81 surpassed that of imported cases, the R_s decreased over time. After the lockdown of cities, the
82 upper bounds of CI of R_t for M1 and M2 were below the threshold of unity on 6 February 2020
83 and 12 February 2020 respectively (M1: 0.91 [95% CI: 0.87 to 0.96] and M2: 0.94 [95% CI: 0.90
84 to 0.98]). The R_s were to be 0.63 (95% CI: 0.60 to 0.65) for M1 and 0.78 (95% CI: 0.75 to 0.82)
85 for M2 on 20 February 2020, the day the last cases were reported to date. Overall, the estimates
86 from two different methods were consistent and showed a similar trend of R_t . An assumption of
87 gamma-distributed serial interval was tested and the results were consistent (Appendix Figure).

88 Conclusions

89 We examined the R_t of COVID-19 to monitor the impact of control measures on disease
90 transmissibility. Taking transmission arising from imported cases into account, disease
91 transmissibility of COVID-19 reached its peak on 16 January 2020 before Zhejiang declared the
92 highest-level public health emergency and the government imposed a lockdown in Wuhan. As

93 suggested by other investigations, approximately 35% of cities in China have $\geq 50\%$ chance of
94 having a COVID-19 case imported from Wuhan within 3 weeks before the lockdown (12). A fast
95 growth in disease transmission during the initial phase of epidemic can thus be expected,
96 especially in areas that have tight travel connections with the source region (13). Since R_t would
97 surpass unity upon the emergence of only a few imported cases, COVID-19 was able to sustain
98 in Zhejiang during the initial phase of the epidemic. Therefore, early preparation is strongly
99 recommended to countries with limited imported cases at the moment.

100 We showed the transmission of COVID-19 reduced and remained at a low level not only
101 after the lockdown of Wuhan, but also after the implementation of strict social-distancing
102 measures in Zhejiang. As a result, disease transmission in the population was interrupted ($R_t < 1$)
103 within several weeks alongside a drop in local cases. The decline in disease transmissibility
104 might be attributed to the early declaration of public health emergency in Zhejiang, followed by
105 implementation of large-scale lockdowns which seemed to interrupt and slow down the
106 transmission of COVID-19 in the population. Our findings could thus inform high-risk regions
107 on disease control planning in a timely manner.

108 One key limitation of our analysis is that the assumption of serial interval in the
109 estimation is based on earlier estimates from Li *et al.* (11). The estimates of R_t shall be improved
110 if more updated knowledge of the pathogen are available.

111

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117 **Ethics**

118 As this investigation was a part of the surveillance of emerging infectious diseases of Zhejiang
119 Provincial Center for Disease Control and Prevention (CDC), ethics approval and informed
120 consent were exempted by CDC. The data were analyzed anonymously.

121 **Author Bio**

122 Dr. Chong is an Assistant Professor in the School of Public Health and Primary Care, The
123 Chinese University of Hong Kong. He is also a Biostatistician in the Centre for Clinical Research
124 and Biostatistics, Faculty of Medicine, The Chinese University of Hong Kong. His primary
125 research interests are infectious disease epidemiology and environmental health.

126 **Address for correspondence**

127 Jiangxuan Wang, School of Public Health and Primary Care, The Chinese University of Hong
128 Kong, Hong Kong SAR, China; email: jxwang@link.cuhk.edu.hk; Enfu Chen, Zhejiang
129 Province Centre for Disease Control and Prevention, Hangzhou, Zhejiang Province, China;
130 email: enfchen@cdc.zj.cn

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171 data-driven correlational report. *Travel Med Infect Dis*. 2020 Jan-Feb;33:101568.

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173 Figure 1. Number of imported cases and local cases of COVID-19 in Zhejiang against symptom-
174 onset date

175 Figure 2. Estimated instantaneous reproduction number (R_t) (solid line) and 95% confidence
176 intervals (dotted lines) using (A) Wallinga and Teunis approach (M1) and (B) susceptible-
177 exposed-infectious-recovered (SEIR) model-based approach

Number of confirmed cases

140

120

100

80

60

40

20

0

15-Dec-19

22-Dec-19

29-Dec-19

05-Jan-20

12-Jan-20

19-Jan-20

26-Jan-20

02-Feb-20

09-Feb-20

16-Feb-20

23-Feb-20

Symptom onset date

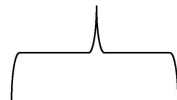
First case with illness
onset on 12/26/19



- Lockdown in Wuhan
- Zhejiang declared level one public health emergency response (i.e. closed non-essential public venues, banned funerals and weddings, and ordered suspension of work)



All the 11 cities were
partially or completely
lockdown



import local

