1 ddPCR: a more sensitive and accurate tool for SARS-CoV-2

2 detection in low viral load specimens

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28 Word count (abstract): 246

30 Word count (main text): 2114

32 Abstract

Background: Real-Time PCR (RT-PCR) is widely used as the gold standard for clinical detection of SARS-CoV-2. However, due to the low viral load in patient throat and the limitation of RT-PCR, significant numbers of false negative reports are inevitable, which should not be ignored.

Methods: We explored the feasibility of droplet digital PCR (ddPCR) to detect SARS-CoV-2 from 57 clinical pharyngeal swab samples and compared with RT-PCR in terms of the sensitivity and accuracy. Among 57 samples, all of which were reported as negative nucleic acid by officially approved clinical RT-PCR detection, 43 samples were collected from suspected patients with fever in clinic, and 14 were from supposed convalescents who were about to discharge after treatment. The experiment was double-blind.

Results: The lower limit of detection of the optimized ddPCR is at least 500 times lower than that of RT-PCR. The overall accuracy of ddPCR for clinical detection is 94.3 %. 33 out of 35 negative pharyngeal swab samples checked by RT-PCR were correctly judged by ddPCR based on the follow-up investigation. In addition, 9 out of 14 (64.2 %) supposed convalescents with negative nucleic acid test twice by RT-PCR were positive by ddPCR detection.

50 **Conclusions:** ddPCR shows superiority for clinical detection of SARS-CoV-2 to 51 reduce the false negatives, which could be a powerful complement to the current 52 standard RT-PCR. Before the ddPCR to be approved for diagnosis, the current clinical 53 practice that the convalescent continues to be quarantined for 2 weeks is reasonable 54 and necessary.

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56 Key words: SARS-CoV-2; droplet digital PCR; RT-PCR; clinical detection

58 Introduction

59 The recent outbreak of coronavirus disease 2019 (COVID-19) caused by the infection of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) poses a great 60 threat to public health all over the world.^{1,2} On February 28, 2020, the world health 61 organization (WHO) has upgraded the global risk level of this viral pneumonia from 62 "high" to "very high". According to WHO and Chinese Center for Disease Control 63 and Prevention (CDC), the current gold standard for the diagnosis of SARS-CoV-2 64 infection is based on the real-time fluorescent quantitative PCR (RT-PCR), which 65 means that the nucleic acid of SARS-CoV-2 could be detected in patient specimens 66 using RT-PCR.^{3,4} However, the disadvantages of insufficient detection of RT-PCR are 67 more and more prominent, especially the problem of detection dynamic range in the 68 69 clinical application. At present, it has been found in clinical practice that some 70 patients had fever, and chest CT showed symptoms of suspected viral pneumonia such 71 as lower lobe lesions of the lungs, but the nucleic acid test of pharyngeal swab did not show positive results until 5-6 days after the onset of viral pneumonia. It was 72 estimated that only 30 %-60 % positive results can be obtained among COVID-19 73 patients that further confirmed by chest CT.⁵ This might be explained by the relatively 74 75 low viral load in the throat of patients and the sensitivity limitation of RT-PCR technology, which inevitably produced the false negatives during the clinical 76 diagnosis, leading to a potential risk of viral transmission. Besides, supposed 77 convalescent, who is about to discharge, also need multiple tests with negative results 78 for confirmation. Therefore, it is a pressing needs for a more sensitive and accurate 79 80 detection method for the pathogenic detection.

81

Digital PCR is based on the principles of limited dilution, end-point PCR, and Poisson statistics, with absolute quantification as its heart.⁶ It has broader dynamic range without external interference and robustness to variations in PCR efficiency. ^{7–9} In 2011, Hindson developed the droplet digital PCR (ddPCR) technology based on traditional digital PCR.¹⁰ The reaction mixture can be divided into tens of thousands of nanodroplets during the process. These vast and highly consistent oil droplets

substantially improve the detection dynamic range and accuracy of digital PCR in a 88 low-cost and practical format.¹¹ In recent years, this technology has been widely used, 89 such as analysis of absolute viral load from clinical samples, analysis of gene copy 90 91 number variation, rare allele detection, gene expression, microRNA analysis and genome edit detection et al.^{12,13,14,15} Here, taking the advantages of ddPCR, we 92 optimized the preparation of pharyngeal swab samples, and develop a workflow of 93 94 ddPCR to detect SARS-CoV-2 using Chinese CDC approved primer and probe sets. 95 Based on the results of this optimized ddPCR system, we showed that the overall accuracy of the ddPCR for clinical pathogen detection is 94.3 %, and 64.2 % of 96 97 supposed convalescents with two consecutive negative nucleic acid tests by RT-PCR 98 still carry SARS-CoV-2.

99

100 Materials and methods

101

102 **Ethics statement**

103 This study was approved by the Ethics Committee of the Renmin Hospital and 104 Zhongnan Hospital of Wuhan University. The analysis was performed on existing 105 samples collected during standard diagnostic tests, posing no extra burden to patients, 106 as described previously.²

107

108 Specimen collection and RNA extraction

109 Pharyngeal swab samples were obtained from clinical suspected patients with fever or 110 rehabilitation quasi-discharged patients of COVID-19 at Renmin Hospital and 111 Zhongnan Hospital of Wuhan University according to the interim guidance of WHO. 112 Pharyngeal swabs were soaked in 500 µl PBS and vortexed with diameter of 3 mm 113 beads (Novastar, China) for 15 seconds immediately. Total RNA was extracted from 114 the supernatant using QIAamp viral RNA mini kit (Qiagen) following manufacturer's 115 instruction. First strand cDNA was synthesized using PrimeScript RT Master Mix 116 (TakaRa) with random primer and oligo dT primer.

118 **Primers and probes**

- 119 The primers and probes targeted the ORF1ab and N of SARS-CoV-2 according to
- 120 Chinese CDC. Target 1 (ORF1ab), forward: 5'-CCCTGTGGGTTTTACACTTAA-3',
- 121 reverse: 5'-ACGATTGTGCATCAGCTGA-3', probe:
- 122 5'-FAM-CCGTCTGCGGTATGTGGAAAGGTTATGG-BHQ1-3';
- 123 Target 2 (N), forward: 5'-GGGGAACTTCTCCTGCTAGAAT-3',
- 124 reverse: 5'-CAGACATTTTGCTCTCAAGCTG-3',
- 125 probe: 5'-FAM-TTGCTGCTGCTTGACAGATT-TAMRA-3'.¹⁶
- 126

127 Droplet Digital PCR workflow

128 All the procedure follow the manufacture instructions of the QX200 Droplet Digital 129 PCR System using supermix for probe (no dUTP) (Bio-Rad). Briefly, the TaqMan 130 PCR reaction mixture was assembled from a $2 \times$ supermix for probe (no dUTP) 131 (Bio-Rad), 20× primer and probes (final concentrations of 900 and 250 nM, 132 respectively) and template (variable volume) in a final volume of 20 μ l. Twenty 133 microliters of each reaction mix was converted to droplets with the QX200 droplet 134 generator (Bio-Rad). Droplet-partitioned samples were then transferred to a 96-well 135 plate, sealed and cycled in a T100 Thermal Cycler (Bio-Rad) under the following 136 cycling protocol: 95 °C for 10 min (DNA polymerase activation), followed by 40 cycles of 94 °C for 30 s (denaturation) and 60 °C for 1 min (annealing) followed by an 137 infinite 4-degree hold. The cycled plate was then transferred and read in the FAM 138 139 channels using the QX200 reader (Bio-Rad).

140

141 **RT-PCR**

The primers and probes used in ddPCR are also used in RT-PCR. A 30-μl reaction was
set up containing 10 μl of RNA, 18.5 μl of reaction buffer provided with the one step
RT-PCR system and 1.5 μl enzyme mix (BGI BIOTECHNOLOGY). Thermal cycling
was performed at 50 °C for 20 min for reverse transcription, followed by 95°C for 10
min and then 40 cycles of 95 °C for 15 s, 60 °C for 30 s in BIO-RAD CFX96 Touch
RT-PCR system.

148

149 Data statistical analysis

150 Analysis of the ddPCR data was performed with Quanta Soft analysis software 151 v.1.7.4.0917 (Bio-Rad) that accompanied the droplet reader calculate the 152 concentration of the target DNA sequences, along with their Poisson-based 95 % confidence intervals. The positive populations for each primer/probe are identified 153 154 using positive and negative controls with single (i.e., not multiplexed) primer-probe 155 sets. The concentration reported by QuantaSoft equals copies of template per microliter of the final $1 \times ddPCR$ reaction, which was also used in all the results. In 156 157 addition, plots of linear regression were conducted with GraphPad Prism 7.00, and 158 probit analysis for lower limit of detection (LLoD) was conducted with StatsDirect 159 software v3.2.9. Lower limit of quantitation (LLoQ) and LLoD were defined as the lowest concentration at which 95 % and 50 % of positive samples were detected, 160 161 respectively.

162

163 **Results**

164 Comparison of the lower limit between ddPCR and the standard RT-PCR

165 Using a manual threshold to define positivity, 9 % of negative controls (3/32) were 166 scored as positive due to one single positive droplet (data not shown). The presence of two positive droplets or more was not observed for negative controls. Serial dilutions 167 of a positive control DNA fragment of SARS-CoV-2 were tested with primers/probe 168 sets targeting ORF1ab and N of SARS-CoV-2, respectively for ddPCR. It shows good 169 170 linearity (R2: 0.9932 and 0.9824, respectively) (Fig. 1A and 1B). Reportable range of ddPCR is from 10 copies/µl to 2500 copies/µl for both ORF1ab and N primes/probe 171 172 sets. In contrast, the dynamic range of RT-PCR is from 50 copies/ μ l to 10⁵ copies/ μ l for both ORF1ab and N primes/probe sets (Fig. 1C and 1D). To define the limit of 173 quantification of ddPCR, five low concentrations of plasmid control were analyzed 174 with 8 replicates. The lower limit of quantitation (LLoQ) of the optimized ddPCR is 175 176 1.003 copies/µl and 0.415 copies/µl for ORF1ab and N primers/probe sets, respectively. The lower limit of detection (LLoD) of the optimized ddPCR is 0.109 177

copies/µl and 0.021 copies/µl for ORF1ab and N primers/probe sets, respectively (Fig.
2), which is at least 500 times lower than the RT-PCR detection kit used in current
clinical test. Therefore, the ddPCR is more sensitive for samples with low level
analyte.

182

183 Detection of SARS-CoV-2 from patient specimens with ddPCR

184 57 clinical pharyngeal swab samples (Fig. 3), which were judged to be negative by 185 both officially approved clinical RT-PCR detection and the commercial RT-PCR detection kit for double check (generally referred to as RT-PCR), were tested with 186 187 ddPCR in double-blind. We did not know any information, results of clinical 188 diagnosis and status of enrolled patients during the tests. The follow-up investigation 189 revealed those information after ddPCR tests. Compared with the information and 190 clinical diagnosis, our results show that the overall accuracy of the optimized ddPCR 191 is 94.3 % and 64.2 % of supposed convalescents are still carrying SARS-CoV-2. 192 Details are as follows (Fig. 3) (Table 1 and 2):

Firstly, among 27 febrile suspected patients whose SARS-CoV-2 nucleic acid were negative initially tested by RT-PCR, 25 out of 27 were detected with ddPCR as positive and 2 out of 27 were negative. However, all 27 patients were diagnosed with SARS-CoV-2 infection by chest CT as well as RT-PCR in subsequent follow-up investigations, and all of them were hospitalized. As a result, 92.6 % of patients with false negative nucleic acid test could be identified as positive by the optimized ddPCR (Table 1).

Secondly, pharyngeal swabs of 8 febrile patients with negative results tested by RT-PCR were also tested negative by ddPCR. In the follow-up investigation COVID-19 was excluded based on the normal results of chest CT and RT-PCR (Table 1).

Thirdly, pharyngeal swabs collected from 8 febrile suspected patients in the clinic recently with negative nucleic acid tests by RT-PCR, were detected positive by ddPCR. However, chest CT of these 8 patients did not show any abnormalities upon their first visit the clinic. According to official clinical guidelines, these 8 patients

were home quarantined and no further followed-up by us (Table 1).

Finally, pharyngeal swabs of 14 supposed convalescent were tested negative in two consecutive tests by RT-PCR (Table 2). However, using ddPCR, 9 out of 14 were positive with a positive rate of 64.2 %. Therefore, the current clinical practice that the convalescent continues to be quarantined for 2 weeks is reasonable and necessary. In conclusion, compared with RT-PCR, ddPCR show superiority for clinical detection of SARS-CoV-2 to reduce the false negatives, which could be a powerful complement to the current standard RT-PCR.

216

217 Discussion

218 More and more nucleic acid detection kits have been developed for SARS-CoV-2 219 recently based on RT-PCR to meet the requirement of large-scale clinical molecular 220 diagnosis. It has been reported that 6 kinds of RT-PCR detection kits were compared 221 and analyzed for their detection performance. Results showed that there are 222 differences in the detection ability of these kits for weakly positive samples, and the accuracy, sensitivity and reproducibility of some reagents are not ideal ¹⁷ In the 223 224 meantime, many efforts have been focusing on developing better and complementary 225 technology for clinical diagnosis of SARS-CoV-2, due to the limited sensitivity and 226 precision of RT-PCR for viral quantitation. Different from RT-PCR that the data are 2.2.7 measured from a single amplification curve and a Cq value, which is highly 228 dependent on reaction efficiency, primer dimers and sample contaminants, ddPCR is 229 measured at reaction end point which virtually eliminates these potential pitfalls. 230 Results in this work proved that ddPCR is more sensitive (Fig. 1) and accurate for low 231 viral load diagnosis (Fig. 2), which can greatly reduce the false negatives detection 232 (Fig 3).

Based on two primers/probe sets targeting ORF1ab and N of SARS-CoV-2, results showed that N primers/probe set was more sensitive compared to that of ORF1ab. Among 42 samples that were judged as positive with ddPCR, 40 in 42 were detected as positive by N primers/probe set, and 12 in 42 were detected as positive by ORF1ab primers/probe set. This could be explained by the subgenomic RNA discontinuous

replication and transcription model of coronavirus. The genome RNA of SARS-CoV-2 encodes single copy of ORF1ab and N, respectively. In contrast, a nested set of around 10 subgenomic RNAs (sgRNAs), each of which encodes one copy of N, are synthesized by viral replication and transcription complex in a manner of discontinuous transcription .^{18,19,20} Therefore, the copy numbers of N gene is significantly higher than that of ORF1ab gene in SARS-CoV-2 infected cells.

Although 2 patients, who were clinically confirmed by chest CT and RT-PCR subsequently, were reported as negative nucleic acid in pharyngeal swabs by our ddPCR, leading to 2 false negative reports by ddPCR in 35 cases (5.7 % missing rate), the overall accuracy of SARS-CoV-2 detection is significantly improved, which will benefit to the early diagnosis, intervention and treatment.

249 Notably, 64.2 % supposed convalescent patients, who are negative for pharyngeal 250 swab nucleic acid tests twice by RT-PCR, are still carrying SARS-CoV-2 based on our 251 work. Although there is no evidence that such COVID-19 convalescent carrying 252 SARS-CoV-2 will be infectious to other healthy person, the risk still exists. Therefore, 253 the current clinical practice that the convalescent continues to be quarantined for 2 254 weeks is reasonable and necessary. And we recommend that ddPCR could be a 255 complement to the current standard RT-PCR to re-confirm the convalescent, which 256 will benefit to reduce the risk of the SARS-CoV-2 epidemic and social panic.

257

258 Author Contributions

YC, KL conceptualized the study design. TS, WH, LD, TC, YX, and GC recruited the patients, collected specimens, collected demographic, clinical data; XL, MG, QZ, XW, YY, MS, DG and ZH did the laboratory tests. JF, YL and QZ plotted the figures; XL, MG, JF and YC analyzed the data; ZH, XK, YL, YlL and YC interpreted the results; JF wrote the initial drafts of the manuscript; YC and KL revised the manuscript and FL and KX commented on it. All authors read and approved the final report.

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267 Funding

268 This study was supported by National Science and Technology Major Project 269 (#2018ZX10733403 and #2018YFA0900801), China NSFC grants (#81672008) and 270 Hubei Natural Science Foundation (#2018CFA035), Basic Scientific Research 271 Foundation of Central Universities (#2042019gf0026), Ministry of Science and 272 Technology of China, the National Mega Project on Major Infectious Disease Prevention (#2017ZX10103005) and National Key Research and Development 273 274 Program of China (#2018YFE0204500). None of the funders had any role in the study 275 design and the collection, analysis, and interpretation of data or in the writing of the 276 article and the decision to submit it for publication. The researchers confirm their independence from funders and sponsors. 277

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279 Acknowledgement

280 We are grateful to Taikang Insurance Group Co., Ltd and Beijing Taikang Yicai 281 Foundation for their great support to this work.

282

Declaration of interests 283

284 No authors have received research funding from the company whose commercial 285 products were used in this work. All authors report no competing interests. All authors 286 have completed the Unified Competing Interest form.

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363 Figure legends

Figure 1. Plot of results from a linearity experiment to determine reportable range of 364 365 ddPCR and RT-PCR targeting for ORF1ab and N of SARS-CoV-2. (A and B) 366 Expected values (converted to log10) were plotted on the X axis versus measured 367 values (converted to log10) on the Y axis using Graph Pad Prism for ddPCR targeting 368 ORF1ab and N. (C and D) Expected values (converted to log10) were plotted on the 369 X axis versus measured Ct values on the Y axis using Graph Pad Prism for RT-PCR 370 targeting ORF1ab and N. Data are representative of three independent experiments 371 with 3 replicates for each concentration.

372

373 Figure 2. Probit analysis sigmoid curve reporting the lower limit of quantitation 374 (LLoQ) and the lower limit of detection (LLoD) of ddPCR. Replicate reactions of 375 SARS-CoV-2 (A) ORF1ab and (B) N were done at concentrations around the 376 detection end point determined in preliminary dilution experiments. The X axis shows 377 expected concentration (copies/ μ l). The Y axis shows fraction of positive results in all 378 parallel reactions performed. The inner line is a probit curve (dose-response rule). The 379 outer lines are 95 % CI. Data are representative of three independent experiments with 380 8 replicates for each concentration.

381

Figure 3. Information diagram of detection results with ddPCR and subsequent
clinical diagnosis for both convalescent and febrile suspected patients.

Tables

Table 1. Detection results of ddPCR for febrile and suspected patients of COVID-19.

Detient		Result of official	Result of nucleic	Result of ddPCR				Dispesition of	
Patient	Patient status	nucleic acid test by	acid test by	(copies/µl)		Judgment result of			
Number		RT-PCR	RT-PCR in lab	ORF1ab	Ν	ddPCR	CT	Hospital	
P1	Fever, suspected	Negative	Negative	0	0.1	Positive	Viral pneumonia	Hospitalized	
P2	Fever, suspected	Negative	Negative	0	0.1	Positive	Viral pneumonia	Hospitalized	
P3	Fever, suspected	Negative	Negative	0	0.1	Positive	Viral pneumonia	Hospitalized	
P4	Fever, suspected	Negative	Negative	0	0.09	Positive	Viral pneumonia	Hospitalized	
P5	Fever, suspected	Negative	Negative	0	0.07	Positive	Viral pneumonia	Hospitalized	
P6	Fever, suspected	Negative	Negative	0	0.18	Positive	Viral pneumonia	Hospitalized	
P7	Fever, suspected	Negative	Negative	0.15	0.68	Positive	Viral pneumonia	Hospitalized	
P8	Fever, suspected	Negative	Negative	0.08	0.66	Positive	Viral pneumonia	Hospitalized	

P9	Fever, suspected	Negative	Negative	0	0.08	Positive	Viral pneumonia	Hospitalized
P10	Fever, suspected	Negative	Negative	0	0.18	Positive	Viral pneumonia	Hospitalized
P11	Fever, suspected	Negative	Negative	0	0.23	Positive	Viral pneumonia	Hospitalized
P12	Fever, suspected	Negative	Negative	0.1	0.19	Positive	Viral pneumonia	Hospitalized
P13	Fever, suspected	Negative	Negative	0	0.18	Positive	Viral pneumonia	Hospitalized
P14	Fever, suspected	Negative	Negative	0	0.09	Positive	Viral pneumonia	Hospitalized
P15	Fever, suspected	Negative	Negative	0	0.37	Positive	Viral pneumonia	Hospitalized
P16	Fever, suspected	Negative	Negative	0	0.09	Positive	Viral pneumonia	Hospitalized
P17	Fever, suspected	Negative	Negative	0	0.16	Positive	Viral pneumonia	Hospitalized
P18	Fever, suspected	Negative	Negative	0.19	0.09	Positive	Viral pneumonia	Hospitalized
P19	Fever, suspected	Negative	Negative	0.1	0	Positive	Viral pneumonia	Hospitalized
P20	Fever, suspected	Negative	Negative	0	0.1	Positive	Viral pneumonia	Hospitalized
P21	Fever, suspected	Negative	Negative	0	0.33	Positive	Viral pneumonia	Hospitalized

P22	Fever, suspected	Negative	Negative	0.22	0.71	Positive	Viral pneumonia	Hospitalized
P23	Fever, suspected	Negative	Negative	0	0.16	Positive	Viral pneumonia	Hospitalized
P24	Fever, suspected	Negative	Negative	0	0.09	Positive	Viral pneumonia	Hospitalized
P25	Fever, suspected	Negative	Negative	0	0.16	Positive	Viral pneumonia	ਸਕ Hospitalized ਫਿ ਕ
P26	Fever, suspected	Negative	Negative	0	0	Negative	Viral pneumonia	Hospitalized
P27	Fever, suspected	Negative	Negative	0	0	Negative	Viral pneumonia	Hospitalized
P28	Fever, suspected	Negative	Negative	0	0.17	Positive	Normal	ې Home Quarantine خ
P29	Fever, suspected	Negative	Negative	0	0.06	Positive	Normal	Home Quarantine
P30	Fever, suspected	Negative	Negative	0	0.06	Positive	Normal	Home Quarantine
P31	Fever, suspected	Negative	Negative	0.08	0.2	Positive	Normal	Home Quarantine
P32	Fever, suspected	Negative	Negative	0	0.19	Positive	Normal	Home Quarantine.
P33	Fever, suspected	Negative	Negative	0	0.27 9	Positive	Normal	Home Quarantine

P34	Fever, suspected	Negative	Negative	0.15	0.8	Positive	Normal	Home Quarantine
P35	Fever, suspected	Negative	Negative	0	0.1	Positive	Normal	Home Quarantine
P36	Fever, suspected	Negative	Negative	0	0	Negative	Normal	Excluded
P37	Fever, suspected	Negative	Negative	0	0	Negative	Normal	Excluded
P38	Fever, suspected	Negative	Negative	0	0	Negative	Normal	Excluded
P39	Fever, suspected	Negative	Negative	0	0	Negative	Normal	Excluded
P40	Fever, suspected	Negative	Negative	0	0	Negative	Normal	Excluded
P41	Fever, suspected	Negative	Negative	0	0	Negative	Normal	Excluded
P42	Fever, suspected	Negative	Negative	0	0	Negative	Normal	Excluded
P43	Fever, suspected	Negative	Negative	0	0	Negative	Normal	Excluded

Table 2. Detection results of ddPCR for supposed convalescent patients who is about to be discharged after treatment.

-						
		Result of official	Result of ddPCR		Judament result of	
Patient	Patient status	nucleic acid test by	nucleic acid test by Result of nucleic		es/µl)	ddPCR
Number		real time PCR	acid test by			-
		(Positive/Negative)	RT-PCR in our lab	ORF1ab	Ν	(Positive/Negative)
P44	Supposed convalescent	Negative	Negative	0	0.12	Positive
P45	Supposed convalescent	Negative	Negative	0	0.11	Positive
P46	Supposed convalescent	Negative	Negative	0.57	0.6	Positive
P47	Supposed convalescent	Negative	Negative	0	0.45	Positive
P48	Supposed convalescent	Negative	Negative	0	0.8	Positive
P49	Supposed convalescent	Negative	Negative	0.09	0	Positive
P50	Supposed convalescent	Negative	Negative	0	0.11	Positive
P51	Supposed convalescent	Negative	Negative	0.19	5.3	Positive
P52	Supposed convalescent	Negative	Negative	0.07	0.07	Positive

P53	Supposed convalescent	Negative	Negative	0	0	Negative
P54	Supposed convalescent	Negative	Negative	0	0	Negative
P55	Supposed convalescent	Negative	Negative	0	0	Negative
P56	Supposed convalescent	Negative	Negative	0	0	Negative
P57	Supposed convalescent	Negative	Negative	0	0	Negative





