

Brief Report: Genomic epidemiology of a densely sampled COVID19 outbreak in China

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Abstract Analysis of genetic sequence data from the pandemic SARS Coronavirus 2 can provide
insights into epidemic origins, worldwide dispersal, and epidemiological history. With few
exceptions, genomic epidemiological analysis has focused on geographically distributed data sets
with few isolates in any given location. Here we report an analysis of 20 whole SARS-CoV 2
genomes from a single relatively small and geographically constrained outbreak in Weifang,
People's Republic of China. Using Bayesian model-based phylodynamic methods, we estimate the
reproduction number for the outbreak to be 2.6 (95% CI:1.5-5). We further estimate the number of
infections through time and compare these estimates to confirmed diagnoses by the Weifang
Centers for Disease Control. We find that these estimates are consistent with reported cases and
there is unlikely to be a large undiagnosed burden of infection over the period we studied.

Introduction

We report a genomic epidemiological analysis of one of the first geographically concentrated
community transmission samples of SARS-CoV 2 genetic sequences collected outside of the initial

32 outbreak in Wuhan, China. These data comprise 20 whole genome sequences from confirmed
33 COVID19 infections in Weifang, Shandong Province, People's Republic of China. The data were
34 collected over the course of several weeks up to February 10, 2020 and overlap with a period
35 of intensifying public health and social distancing measures. Phylodynamic analysis allows us to
36 evaluate epidemiological trends after seeding events which took place in mid to late January, 2020.

37 The objective of our analysis is to evaluate epidemiological trends based on national surveillance
38 and response efforts by Weifang Centers for Disease Control (CDC). This analysis provides an
39 estimate of the initial rate of spread and reproduction number in Weifang City. In contrast to the
40 early spread of COVID19 in Hubei Province of China, most community transmissions within Weifang
41 took place after public health interventions and social distancing measures were put in place. We
42 therefore hypothesize that genetic data should reflect a lower growth rate and reproduction number
43 than was observed in Wuhan. A secondary aim is to estimate the total numbers infected and to
44 evaluate the possibility that there is a large unmeasured burden of infection due to imperfect case
45 ascertainment and a large proportion of infections with mild or asymptomatic illness.

46 To analyze the Weifang sequences, we have adapted model-based phylodynamic methods
47 which were previously used to estimate growth rates and reproduction numbers using sequence
48 data from Wuhan and exported international cases(Volz *et al.*, 2020). This analysis has several
49 constraints and requirements:

50

51 *Importation of lineages from Wuhan.* The outbreak in Weifang was seeded by multiple lineages
52 imported at various times from the rest of China. We use a phylodynamic model that accounts for
53 location of sampling. Migration is modeled as a bi-directional process with rates proportional to
54 epidemic size in Weifang. The larger international reservoir of COVID19 cases serves as a source of
55 new infections and is assumed to be growing exponentially over this period of time.

56 *Nonlinear epidemiological dynamics in Weifang.* The maximum number of daily confirmed COVID19
57 cases occurred on February 5, but it is unknown when the maximum prevalence of infection oc-
58 curred. We use a susceptible-exposed-infectious-recovered (SEIR) model(Keeling and Rohani, 2011)
59 for epidemic dynamics in Weifang. The model accounts for a realistic distribution of generation
60 times and can potentially capture a nonlinear decrease in cases following epidemic peak.

61 *Variance in transmission rates(Lloyd-Smith *et al.*, 2005).*To estimate total numbers infected, the
62 phylodynamic model must account for epidemiological variables which are known to significantly
63 influence genetic diversity. Foremost among these is the variance in offspring distribution (number
64 of transmissions per primary case). We draw on previous evidence based on the previous SARS
65 epidemic which indicates that the offspring distribution is highly over-dispersed. High variance of
66 transmission rates will reduce genetic diversity of a sample and failure to account for this factor
67 will lead to highly biased estimates of epidemic size(Li *et al.*, 2017). Recent analyses of sequence
68 data drawn primarily from Wuhan has found that high over-dispersion was required for estimated
69 cases to be consistent with the epidemiological record(Volz *et al.*, 2020). Models assuming low
70 variance in transmission rates between people would generate estimates of cases that are lower
71 than the known number of confirmed cases. Separately, Grantz *et al.*(Grantz *et al.*, ????) have

72 found that high over-dispersion is required to reconcile estimated reproduction numbers with
73 the observed frequency of international outbreaks. In this study, we elaborate the SEIR model to
74 include a compartment(J) with higher transmission rates. The variance of the implied offspring
75 distribution is calibrated to give similar overdispersion from the SARS epidemic.

76 Results

77 Despite an initial rapid increase in confirmed cases in Weifang in late January and early February, the
78 number of confirmed cases by Weifang CDC show that outbreak peaked quite early and maximum
79 number of cases occurred on February 5. Phylodynamic analysis supports the interpretation that
80 control efforts reduced epidemic growth rates and contributed to eventual control. **Figure 1A**
81 illustrates the phylodynamic model which was co-estimated with the phylogeny which provides
82 estimates of epidemiological parameters summarized in **Table 1**. **Figure 1B** shows the estimated
83 time scaled phylogeny (maximum clade credibility) including 20 lineages sampled from distinct
84 patients in Weifang and 33 genomes sampled from Wuhan and internationally.

85 The estimated number of infections is shown Figure 1C. The time series of confirmed cases
86 should lag the estimated number of infected because of delays from infection to appearance of
87 symptoms and delays from symptoms to diagnosis. We also expect that an unknown proportion of
88 infections will be missed by the surveillance system due very mild, subclinical, or asymptomatic
89 infection. Our estimates do not support the hypothesis that there was a very large hidden burden
90 of infection in Weifang over the period that the sequence data were sampled. Indeed, our central
91 estimate for the number infected on 10th February is only 142 and the credible intervals cover the
92 44 cumulative confirmed cases at the end of February.

Table 1. Summary of primary epidemiological and evolutionary parameters, including Bayesian prior distributions and estimated posteriors. Posterior uncertainty is summarized using a 95% highest posterior density interval.

Parameter	Prior	Posterior mean	95% HPD
Initial infected	Exponential(mean=1)	4.5	0.26-13
Initial susceptible	Log-normal(mean log=6, sd log=1)	660	23-3000
Migration rate ¹	Exponential(mean=10*)	1.6	0.73-2
Reproduction number	Log-normal(mean log=1.03, sd log =0.5)	2.6	1.5-5
Molecular clock rate ²	Uniform(0.0007,0.003)	0.00098	0.00071-0.0015
Transition/transversion	Log-normal(mean log=1, sd log=1.25)	5.5	3.1-9.4
Gamma shape	Exponential(mean=1)	0.74	0.015-3

¹ Units: Migrations per lineage per year.

² Units: Substitutions per site per year.

93 We do not have sufficient data to detect a large decrease in epidemic growth rates as the
94 epidemic progressed. We estimate $R_0 = 2.6$ (95% HPD:1.5-5). The growth rate in estimated
95 infections remained positive but decreased substantially over the sampling period. These estimates
96 correspond to growth during a period when Weifang was implementing a variety of public health
97 interventions and contact tracing to limit epidemic spread. These interventions included public

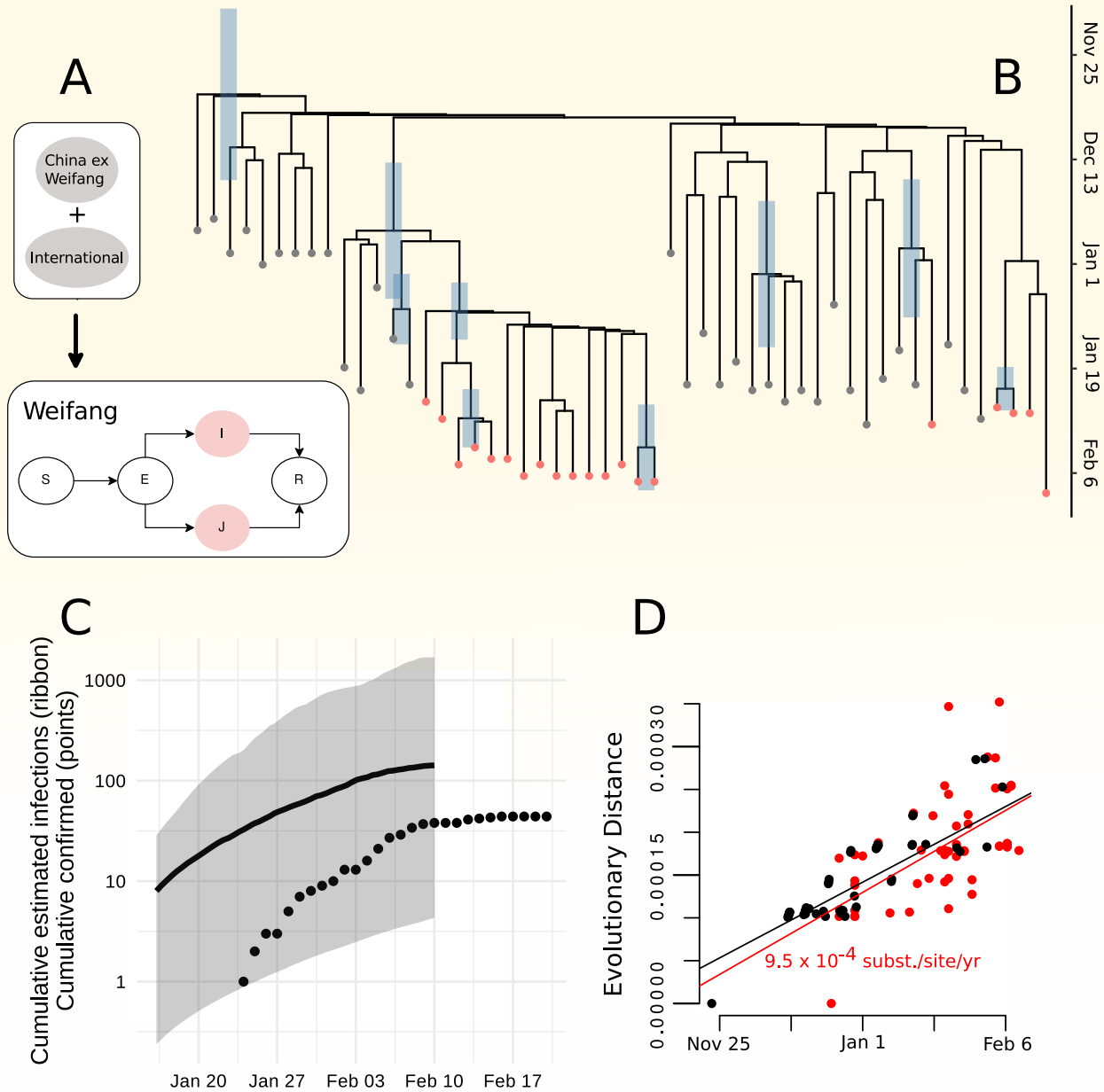


Figure 1. Phylodynamic estimates and epidemiological model. A. Diagram representing the structure of the epidemiological SEIR model which was fitted in tandem with the time scaled phylogeny. Colours correspond to the state of individuals sampled and represented in the tree (B). Note that infected and infectious individuals may occupy a low transmission state (I) or a high transmission rate state (J) to account for high dispersion of the reproduction number. B. A time scaled phylogeny co-estimated with epidemiological parameters. The colour of tips corresponds to location sampling. Red tips were sampled from Weifang, China. The credible interval of TMRCA is shown as a blue bar for all nodes with more than 50% posterior support. C. Cumulative estimated infections through time produced by fitting the SEIR model and the cumulative confirmed cases (points) reported by Weifan CDC. The shaded region shows the 95% HPD and the line shows the posterior median. D. A root to tip regression showing approximately linear increase in diversity with time of sampling.

Figure 1-Figure supplement 1. Maximum likelihood time tree.

Figure 1-Figure supplement 2. Tree posterior density plot.

Figure 1-Figure supplement 3. Tree posterior density plot.

98 health messaging, establishing phone hotlines, encouraging home isolation for recent visitors from
99 Wuhan (January 23-26), optimizing triage of suspected cases in hospitals (January 24), travel
100 restrictions (January 26), extending school closures, and establishing 'fever clinics' for consultation
101 and diagnosis (January 27) (Mao, 2020).

102 As well as providing novel epidemiological estimates, our results point to the significance of
103 realistic modeling for fidelity of phylogenetic inference. The use of a model-based structured coa-
104 lescent prior had large influence over estimated molecular clock rates and inferred TMRCA. **Figure**
105 **Supplement 1** shows that maximum likelihood inference of time-scaled phylogenies produces a
106 distribution of TMRCA which are substantially different than the Bayesian model-based analysis.
107 Choice of population genetic prior will have a large influence on phylogenetic inference based on
108 sparse or poorly informative genetic sequence data. Among the 20 Weifang sequences included in
109 this analysis, there is mean pairwise difference of only three single nucleotide polymorphisms and
110 only approximately twice as much diversity observed among the remainder of the sequences we
111 studied. There is correspondingly low confidence in tree topology (**Figure Supplement 2**), and only
112 three clades had greater than 50% posterior support including one clade which had a monophyletic
113 composition of 13 Weifang lineages. The earliest Weifang sequence was sampled on January 25
114 from a patient who showed first symptoms on January 16. These dates cover a similar range as the
115 posterior TMRCA of all Weifang sequences (**Figure Supplement 3**).

116 Discussion

117 Our analysis of 20 SARS-CoV 2 genomes from Weifang, China has confirmed independent observa-
118 tions regarding the rate of spread and burden of infection in the city. Surveillance of COVID19 is
119 rendered difficult by high proportions of illness with mild severity and an unknown proportion of
120 asymptomatic infection (Guan et al., 2020). The extent of under-reporting and case ascertainment
121 rates has been widely debated. Analysis of genetic sequence data provides an alternative source of
122 information about epidemic size which can be more robust to imperfect case ascertainment. We
123 do not find evidence for a very large hidden burden of infection within Weifang. The relatively low
124 estimate of R_0 is consistent with a slower rate of spread outside of Wuhan and effective control
125 strategies implemented in late January.

126 While the value of pathogen genomic analyses is widely recognized for estimating dates of
127 emergence (Verity Hill, 2020; Gire et al., 2014) and identifying animal reservoirs (Zhou et al., 2020;
128 Dudas et al., 2018), analysis of pathogen sequences also has potential to inform epidemic surveil-
129 lance and intervention efforts. With few exceptions (Stadler, 2020; Bedford, 2020), this potential
130 is currently not being realized for the international response to COVID19. It is worth noting that
131 the analysis described in this report was accomplished in approximately 48 hours and drew on
132 previously developed models and packages for BEAST2 (Bouckaert et al., 2019; Volz and Siveroni,
133 2018). It is therefore feasible for phylodynamic analysis to provide a rapid supplement to epidemio-
134 logical surveillance, however this requires rapid sequencing and timely sharing of data as well as
135 randomized concentrated sampling of the epidemic within localities such as individual cities.

136 **Methods and Materials**

137 **Epidemiological investigation, sampling, and genetic sequencing.** As of 10 February 2020, 136
138 suspected cases, and 214 close contacts were diagnosed by Weifang Center for Disease Control
139 and Prevention. 28 cases were detected positive with SARS-CoV-2. Viral RNA was extracted using
140 Maxwell 16 Viral Total Nucleic Acid Purification Kit (Promega AS1150) by magnetic bead method
141 and RNeasy Mini Kit (QIAGEN 74104) by column method. RT-qPCR was carried out using 2019
142 novel coronavirus nucleic acid detection kit (BioGerm, Shanghai, China) to confirm the presence
143 of SARS-CoV-2 viral RNA with cycle threshold (Ct) values range from 17 to 37, targeting the high
144 conservative region (ORF1ab/N gene) in SARS-CoV-2 genome. Metagenomic sequencing: The
145 concentration of RNA samples was measurement by Qubit RNA HS Assay Kit (Thermo Fisher
146 Scientific, Waltham, MA, USA). DNase was used to remove host DNA. The remaining RNA was used
147 to construct the single-stranded circular DNA library with MGIEasy RNA Library preparation reagent
148 set (MGI, Shenzhen, China). Purified RNA was then fragmented. Using these short fragments as
149 templates, random hexamers were used to synthesize the first-strand cDNA, followed by the second
150 strand synthesis. Using the short double-strand DNA, a DNA library was constructed through end
151 repair, adaptor ligation, and PCR amplification. PCR products were transformed into a single strand
152 circular DNA library through DNA-denaturation and circularization. DNA nanoballs (DNBs) were
153 generated with the single-stranded circular DNA library by rolling circle replication (RCR). The DNBs
154 were loaded into the flow cell and pair-end 100bp sequencing on the DNBSEQ-T7 platform 8 (MGI,
155 Shenzhen, China). 20 genomes were assembled with length from 26,840 to 29,882 nucleotides.
156 The median age of patients was 36 (range:6-75). Two of twenty patients suffered severe or critical
157 illness. Weifang sequences were combined with a diverse selection of sequences from China
158 outside of Weifang and other countries provided by GISAID *Elbe and Buckland-Merrett (2017)*. The
159 new Weifang sequences are deposited in GISAID (EPI_ISL_413691, EPI_ISL_413692, EPI_ISL_413693,
160 EPI_ISL_413694, EPI_ISL_413695, EPI_ISL_413696, EPI_ISL_413697, EPI_ISL_413711, EPI_ISL_413729,
161 EPI_ISL_413746, EPI_ISL_413747, EPI_ISL_413748, EPI_ISL_413749, EPI_ISL_413750, EPI_ISL_413751,
162 EPI_ISL_413752, EPI_ISL_413753, EPI_ISL_413761, EPI_ISL_413791, EPI_ISL_413809).

Mathematical model. The phylodynamic model is designed to account for nonlinear epidemic dynamics in Weifang, a realistic course of infection (incubation and infectious periods), migration of lineages in and out of Weifang, and variance in transmission rates which can influence epidemic size estimates. The model of epidemic dynamics within Weifang is based on a susceptible-exposed-infectious-recovered (SEIR) model. We elaborate the model with with an additional compartment J which has a higher transmission rate (τ -fold higher) than the I compartment. Upon leaving the incubation period individuals progress to the J compartment with probability p_h , or otherwise to I .

The model is implemented as a system of ordinary differential equations:

$$\dot{S}(t) = -\beta(\beta I(t) + \beta \tau J(t)) \frac{S(t)}{S(t) + I(t) + J(t) + R(t)} \quad (1)$$

$$\dot{E}(t) = \beta(\beta I(t) + \beta \tau J(t)) \frac{S(t)}{S(t) + I(t) + J(t) + R(t)} - \gamma_0 E(t) \quad (2)$$

$$\dot{I}(t) = \gamma_0(1 - p_h)E(t) - \gamma_1 I(t) \quad (3)$$

$$\dot{J}(t) = \gamma_0 p_h E(t) - \gamma_1 J(t) \quad (4)$$

$$\dot{R}(t) = \gamma_1(E(t) + J(t)) \quad (5)$$

We also model an exponentially growing reservoir $Y(t)$ for imported lineages in to Weifang. The equation governing this population is

$$\dot{Y}(t) = (\rho - \mu)Y(t). \quad (6)$$

163 Migration is modeled as a bidirectional process which only depends on the size of variables in
164 the Weifang compartment and thus migration does not influence epidemic dynamics; it will only
165 influence the inferred probability that a lineage resides within Weifang. For a compartment X ($E, I,$
166 or J), η is the per lineage rate of migration out of Weifang and the total rate of migration in and out
167 of Weifang is ηX .

168 During phylodynamic model fitting β and ρ are estimated. Additionally, we estimate initial sizes
169 of Y , E , and S . Other parameters are fixed based on prior information. We fix $1/\gamma_0 = 4.1$ days and
170 $1/\gamma_1 = 3.8$ days. We set $p_h = 0.20$ and $\tau = 74$ which yields a dispersion of the reproduction number
171 that matches a negative binomial distribution with $k = 0.22$ if $R_0 = 2$, similar to values estimated for
172 the 2003 SARS epidemic (*Lloyd-Smith et al., 2005*).

173 **Phylogenetic analysis.** We aligned the 20 Weifang sequences using MAFFT (*Katoh and Standley,*
174 *2013*) with a previous alignment of 35 SARS-CoV 2 sequences from outside of Weifang (*Volz et al.,*
175 *2020*). Maximum likelihood analysis was carried using IQTree (*Minh et al., 2019*) with a HKY+G4
176 substitution model and a time-scaled tree was estimated using treedater 0.5.0 (*Volz and Frost, 2017*).
177 Two outliers according to the molecular clock model were identified and removed using 'treedater'
178 which was also used to compute the root to tip regression.

179 Bayesian phylogenetic analysis was carried out using BEAST 2.6.1 (*Bouckaert et al., 2019*) using a
180 HKY+G4 substitution model and a strict molecular clock. The phylodynamic model was implemented
181 using the PhyDyn package (*Volz and Siveroni, 2018*) using the QL likelihood approximation and the
182 RK ODE solver. The model was fitted by running 8 MCMC chains in parallel and combining chains
183 after removing 50% burn-in.

184 The *ggtree* package was used for all phylogeny visualizations (*Yu et al., 2017*).

185 Code to replicate this analysis and and BEAST XML files can be found at <https://github.com/emvolz/weifang-sarscov2>.
186

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201 Chinese Academy of Sciences, Wuhan, China, at the Department of Microbiology, Zhejiang Provincial
202 Center for Disease Control and Prevention, Hangzhou, China, at the Guangdong Provincial Center
203 for Diseases Control and Prevention at the Department of Medical Sciences, at the Shenzhen Key
204 Laboratory of Pathogen and Immunity, Shenzhen, China, at the Hangzhou Center for Disease and
205 Control Microbiology Lab, Zhejiang, China, at the National Institute of Health, Nonthaburi, Thailand,
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207 Control & Prevention, Cheongju, Korea, at the National Public Health Laboratory, Singapore, at the
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214 Medical Research, University of Sydney, Westmead, Australia.

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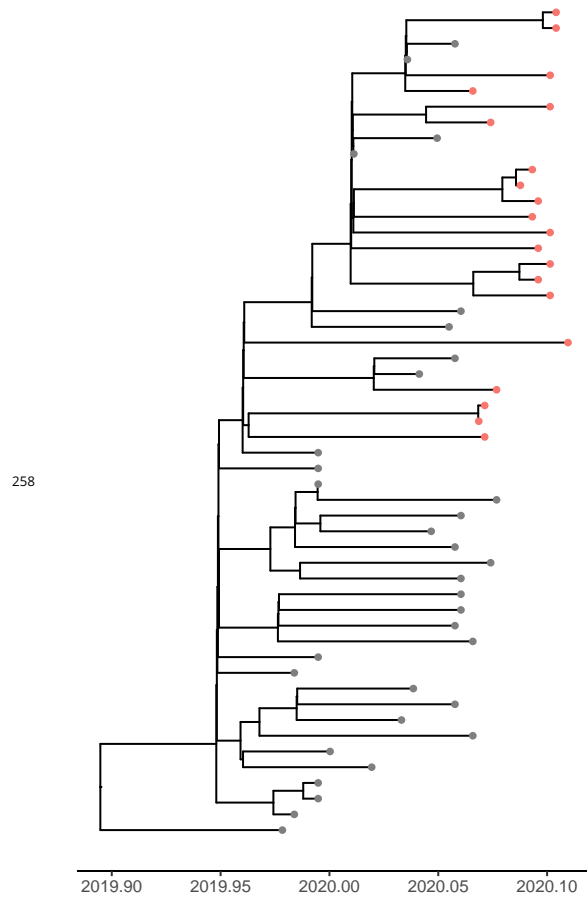


Figure 1-Figure supplement 1. A time scaled phylogeny estimated using IQTree and treedater and using the same data as used for the Bayesian analysis.

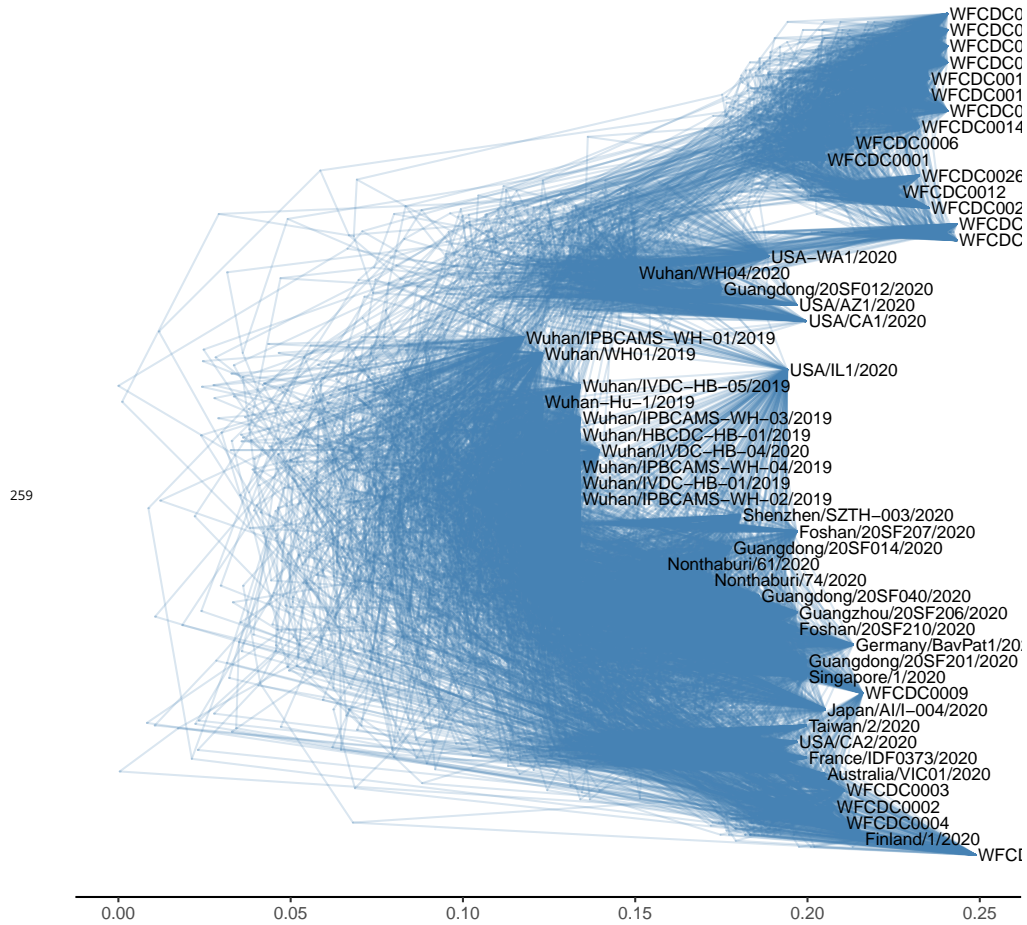


Figure 1-Figure supplement 2. A tree density plot based on the posterior distribution of trees computed in BEAST2.

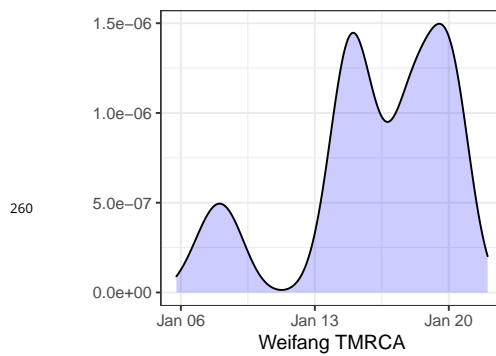


Figure 1-Figure supplement 3. The estimated posterior TMRCA among all Weifang lineages.