1	Serotype patterns of pneumococcal disease in adults are correlated with
2	carriage patterns in older children
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22	morbidity
23	
24	40-word summary of the article's main point: Serotype-specific rates of invasive
25	pneumococcal disease in adults are better correlated with serotype-specific carriage
26	patterns in older children (36-59 months of age) than those in infants.

27 ABSTRACT

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Background. The importance of specific serotypes causing invasive pneumococcal disease (IPD) differs by age. Data on pneumococcal carriage in different age groups, along with data on serotype-specific invasiveness, could help to explain these age-related patterns and their implications for vaccination.

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Methods. Using pneumococcal carriage and disease data from Israel, we evaluated the association between serotype-specific IPD in adults and serotype-specific carriage prevalence among children in different age categories, while adjusting for serotype-specific invasiveness. We used a sliding window approach to estimate carriage prevalence using different age groupings. Deviance Information Criterion was used to determine which age groupings of carriage data best fit the adult IPD data. Serotype-specific disease patterns were further evaluated by stratifying IPD data by comorbidity status.

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Results. The relative frequency of serotypes causing IPD differed between adults and children, and also differed between older and younger adults and between adults with and without comorbidities. Serotypes over-represented as causes of IPD in adults were more commonly carried in older children as compared to younger children. In line with this, the serotype-specific frequency of carriage in older children (aged 36-59 months), rather than infants, best correlated with serotype-specific IPD in adults.

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49 Conclusions. These analyses suggest that older children, rather than infants, are the main
50 drivers of disease patterns in adults. These insights could help in optimizing vaccination
51 strategies to reduce disease burden across all ages.

52 INTRODUCTION

Streptococcus pneumoniae (pneumococcus) is a frequent colonizer of the upper respiratory 53 54 tract of healthy children and is also a major cause of disease globally. The burden of 55 pneumococcal disease disproportionally affects infants and the elderly and those with certain 56 underlying comorbidities [1]. Pneumococcal conjugate vaccines (PCVs) provide protection 57 against 10 or 13 of the 90+ immunologically-distinct polysaccharide capsular types 58 (serotypes), preventing disease and reducing colonization of the nasopharynx in vaccinated 59 individuals. Since children who carry pneumococcus are the main source of exposure for 60 adults [2-4], vaccinating children with PCVs has also resulted in the near-elimination of 61 invasive pneumococcal disease (IPD) caused by vaccine-targeted serotypes (VTs) among 62 adults [5].

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64 Even before the introduction of PCVs, there were important differences in the distribution of 65 serotypes causing IPD between children and adults [6]. For instance, VTs caused a larger 66 fraction of IPD in children. After introduction of PCVs, non-vaccine serotypes (NVTs) have 67 increased in frequency among colonized children. This "serotype replacement" [7] has had a 68 modest effect on IPD rates in children but has substantially reduced the indirect benefits for 69 adults that result from vaccinating infants. Older adults and those with certain underlying 70 diseases have proven particularly susceptible to disease caused by emerging NVTs [8–10]. 71 In some countries, the increase in the incidence of NVTs in adults has offset declines in the 72 incidence of VTs [11].

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Variations in vaccine approach and schedule could have important implications for preventing IPD in adults. Currently, the number and timing of doses used in children differs between countries, as does the use of a booster dose. For instance, the United Kingdom recently decided to move to a reduced-dose schedule of PCVs in infants, where children receive a single priming dose and a single booster dose [12]. For such a strategy to be effective in maintaining indirect protection of unvaccinated individuals, it is important that

vaccine-derived protection is maintained among the children responsible for transmission in
the population. Thus, identifying those age groups driving transmission is critical. Moreover,
these issues are increasingly important as new 15- and 20-valent conjugate vaccines move
towards licensure.

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It is generally assumed that most exposure to pneumococcus in adults results from contact with children [13]. However, children are not all equally likely to carry and transmit pneumococcus, and some groups of children (e.g., preschoolers) might be more influential due to different contact patterns and intensity of carriage [13–15]. Recent work suggests that older children, rather than infants, transmit pneumococcus to adults [13–15].

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In this study, we sought to understand drivers of the variations in the distribution of serotypes causing IPD by age and in those with and without comorbidities. We evaluated variations in the serotype distribution in IPD by age and comorbidity, and we tested which age groups of children had serotype patterns in carriage that were most closely correlated with IPD rates in adults. These analyses could help in optimizing vaccine strategies to reduce pneumococcal disease across all age groups.

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99 METHODS
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101 Data sources

PCV7 was introduced in Israel in July 2009 using a 2+1 schedule with a catch-up campaign for children <24 months of age. PCV7 was replaced in the schedule by PCV13 starting in November 2010 (without a catch-up). Details of the carriage and IPD data used in this study have been previously described [14,16,17]. Briefly, the carriage data were collected from children visiting the emergency department (ED) at Soroka University Medical Center, the only emergency department in southern Israel. The first 4 Jewish and first 4 Bedouin

children <5 years of age visiting the ED each day for any complaint were enrolled in the
study to obtain a nasopharyngeal swab. IPD data were obtained from a nationwide
surveillance system [16,18]. The carriage and IPD studies were approved by the Sheba
Medical Center and the Soroka University Medical Center Institutional Review Boards (IRB).
Data in the current study was de-identified before analysis.

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114 Carriage and disease data were divided into 4 equal time periods (Early-PCV7: November 115 2009 to July 2011, Early PCV13: August 2011 to February 2013, Late-PCV13: March 2013 116 to October 2014, Stable-Post-PCV period: November 2014 to June 2016). IPD data from 117 4,304 individuals were stratified by age group (<5 [n=1,180], 5-17 [n=299], 18-39 [n=407], 118 40-64, [n=943] 65-79 [n=816], 80+ [n=658] years) and according to comorbidity status (no 119 risk, at risk, or high risk for pneumococcal disease [18]) based on recommendations for 120 receipt of PPV23. Risk group analyses were conducted on individuals aged 18+ years who 121 had information on comorbidity status, serotype, and sample collection date. Period 4 for the 122 subset of data with comorbidities ended December 2015 (as compared to June 2016 for the 123 full dataset).

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The carriage data were stratified by both ethnicity (Jewish versus Bedouin) and according to presence or absence of recorded complaints that could be caused by pneumococcus (bacteremia, conjunctivitis, influenza, LRI, meningitis, otitis media, pneumonia, sepsis, URI) to confirm results were not sensitive to these factors.

129

130 Modeling the relationship between carriage in children and invasive disease in adults

The goal for these analyses was (1) to evaluate variations in serotype-specific IPD incidence by age and (2) to determine whether carriage data from specific age groups better correlated with IPD incidence. To do this, we used a previously-described Poisson regression model [19]. The outcome variable was the number of IPD cases caused by each serotype in the relevant age group and time period. The covariates in this initial model were the serotype-

136 specific carriage prevalence in the corresponding time period in Jewish children <5 years of 137 age (log transformed) and the serotype-specific invasiveness for children (log-transformed). 138 A serotype-specific random intercept was included to account for unexplained serotype-139 specific variations in IPD rates. This random intercept can be interpreted as a log(rate ratio) 140 which indicates how much more or less disease was caused by that serotype in adults 141 based on the carriage prevalence in children and invasiveness patterns in children. Analyses 142 were stratified by age or by co-morbidity status. To account for uncertainty in the predictors 143 (serotype-specific carriage and invasiveness when sparse serotype-specific data is 144 available), we fit this model within a Bayesian framework. Further details on the model 145 structure and the priors are reported in [19].

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Serotype-specific invasiveness estimates for children <5 years of age, and their uncertainty, were estimated using a separate Bayesian statistical model [19]. Invasiveness was calculated by dividing serotype-specific IPD incidence by the serotype-specific carriage prevalence in <5 year olds [20]. The hierarchical structure of this model effectively allowed us to obtain an estimate of invasiveness (with uncertainty) even for serotypes with few cases of disease or few carriers. The models were fit using JAGS v4.2.0 [21] in RStudio v1.0.143 [22], using R v3.5.1 (https://www.r-project.org/).

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Because the IPD data were primarily available for the Jewish population, only carriage and
IPD data from Jewish residents were used in the subsequent analyses.

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158 Stratification of carriage in children by age group to better explain adult IPD patterns

The goal for this analysis was to determine whether serotype-specific carriage prevalence in any particular age group better explained patterns of IPD observed in adults and in different risk groups. To accomplish this, the carriage data from Jewish children were further stratified by age category (≤12 months, <18 months, <24 months, <36 months, 13-59 months, 18-59 months, 24-59 months, and 36-59 months; **Table 1**). The models of IPD described above

were re-fit using these subsets of carriage prevalence data. Deviance Information Criteria (DIC) was computed for each model [23]. This Bayesian model comparison metric accounts for the fit of the model to the data while penalizing more complex models. A lower DIC value for a model among a group of competitors indicates that it has an improved balance between model fit and complexity.

- 169
- 170
- 171 **RESULTS**
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173 Characteristics of the data

174 Nasopharyngeal swabs from 10,379 individuals <5 years of age were collected between 175 November 2009 and June 2016. Of these, 48% tested positive for pneumococcal carriage. 176 Prevalence was higher in Bedouin children and among those presenting with a complaint 177 that could potentially have been caused by pneumococcus (e.g., otitis media, pneumonia) 178 (Table 1). Carriage prevalence increased through the first year of life, then stabilized, 179 declining slightly after 48 months of age in both study populations, though more sharply in 180 Jewish children (**Supplementary Figure S1**). More swabs were collected from younger than 181 older children (Supplementary Figure S2). The serotype distribution was broadly similar in 182 pneumococcal-positive swabs obtained from children with respiratory complaints and those 183 obtained from children without respiratory complaints (Supplementary Figure S3). There 184 were some differences in serotype distribution between Jewish and Bedouin children 185 (Supplementary Figure S4). For instance, serotype 23A was more common in Jewish 186 children in periods 2-4 and 17F more common in Bedouin children.

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There were 4,303 cases of IPD among individuals >5 years of age, with 1,474 (34%) \geq 65 years of age. Of those aged 18 years and older, 2,347 individuals had a recorded comorbidity status (no risk, at risk, or high risk for pneumococcal disease [18]) based on recommendations for receipt of PPV23

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193 Variations in serotype-specific disease patterns by age and comorbidity group

194 For most serotypes, the observed IPD incidence in adults was similar to what would be 195 expected based on the carriage prevalence and invasiveness estimates from children <5 196 years of age (random intercept close to zero) (Figure 1, results for adults aged 80 years and 197 older; Supplementary Figure S5, results for all age groups). Some serotypes however, 198 caused more disease in certain age groups compared to what would be expected based on 199 carriage patterns in children (random intercepts above zero). This was notable for serotype 200 1, which was most over-represented among 5-17 year olds (with similar patterns observed 201 for serotypes 2 and 17F), and serotype 8, which was most represented among 18-39 year 202 olds (Figure 2). Both serotypes demonstrated declining gradients with older age, where the 203 number of IPD cases in older age groups was closer to the expected level. In contrast, 204 serotypes 3, 6C, 15A and 31 were over-represented as causes of IPD more among older 205 adults than among younger adults. Conversely, serotypes 21 and 27 were under-206 represented as causes of disease in all adults >40 years of age.

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Similar patterns were seen when stratifying by comorbidity status (**Supplementary Figures S6 and S7**). For instance, serotype 3 and 8 were over-represented in adults with and without comorbidities, but the effect was more dramatic in those with comorbid conditions. Likewise, serotype 8 was most notable among those without comorbid conditions. We also considered whether the age or comorbidity patterns were confounding each other. Even after stratifying by comorbidity and by age, some serotypes, and particularly serotype 3, were still overrepresented among older adults (**Supplementary Figure S8**).

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216 Serotype prevalence in carriage differs between younger and older children

We next compared the prevalence of serotypes colonizing children <24 months of age versus those carried by children 24-59 months of age (**Figure 3**). Serotypes 5, 1, 7F, 3, 27, 33A, 6D, 35A, 23F, 14, 34, 18C, 22F, 12A, 24A, 37, 4, 20, 31, 19A were all at least 50%

more prevalent in children 24-59 months of age than in those <24months of age. In contrast,
serotypes 6A, 10A, 13, 19F, and 21 were at least 25% less prevalent in the children aged
24-35 months.

223

224 Comparing the serotypes that were more prevalent in carriage in older versus younger 225 children with the serotypes that were over-represented as causes of IPD in adults, several 226 serotypes at the extremes stand out (**Figure 4**). In particular, serotype 1 was carried more in 227 the older children than in the younger children and was also among the most over-228 represented serotypes causing IPD among the 5-17 and 18-39 year old adults. Likewise, 229 serotype 3 was more common in carriage among older children and was over-represented 230 as a cause of disease among adults 40+ years of age.

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232 While we did not have adult carriage data from Israel, previous studies from the Netherlands 233 have collected carriage data from both children [24] and adults [25]. Notably, there was a 234 correlation between the serotypes that were over-represented as causes of IPD in adults in 235 Israel and the serotypes that were more common among adult carriers compared with 236 pediatric carriers in the Netherlands (Supplementary Figure S9). In addition, serotypes that 237 were over-represented as causes of IPD in adults in Israel were also those over-represented 238 as causes of IPD in adults in the Netherlands (as compared to <5 year olds) [10], both prior 239 to and following PCV-implementation (Supplementary Figure S10). Interestingly, these 240 correlations were stronger when comparing all adults >65 years of age in the Netherlands to 241 adults aged 65-79 years in Israel than when compared to all adults aged >65 years in Israel, 242 perhaps reflecting some differences between the older age groups in these two countries.

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244 Serotype-specific patterns of carriage in older children best correlate with IPD in 245 adults

We considered whether carriage prevalence in certain age groups better correlated with IPD in adults, after adjusting for invasiveness. Rather than using arbitrary age groupings, we

calculated serotype-specific prevalence for age-bins of different widths. We then evaluated which of these age groupings best correlated with the patterns of serotype-specific IPD in older ages groups. DIC scores obtained from each model demonstrated that serotype patterns in carriage among 36-59 month old children best explained serotype-specific IPD patterns in all of the adult age groups (**Table 2**). Serotype patterns in 24-59 month old children also correlated well with serotype-specific IPD patterns in children and young adults. Patterns were consistent when stratifying by those with or without respiratory complaints.

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256

257 DISCUSSION

258 While the serotypes colonizing children are broadly similar around the world, the serotypes 259 causing disease in children and adults vary between age groups and settings [6,26]. 260 Although children <5 years of age are often considered as a single homogenous group when 261 evaluating carriage patterns, our analyses demonstrate that serotype prevalence differs by 262 age. Among the Jewish population in Israel, serotype-specific carriage patterns differ 263 between children <24 months of age and those 24-59 months of age, and the patterns in 264 older children better correlated with patterns of IPD in adults. This finding, along with recent 265 work evaluating the post-vaccine trajectories of carriage and IPD [14], suggests that 266 preschoolers might play a more important role in transmission to adults than infants and 267 toddlers. This has important implications when considering the possible public health impact 268 of different dosing schedules and the timing of booster doses. If older children are important 269 for transmission, then it is critical to ensure that they are protected adequately against 270 colonization.

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Importantly, these results highlight that serotype-specific IPD patterns in adults do not always reflect carriage patterns in children (considered the reservoir of pneumococcus in the population). For example, the current high rates of IPD in adults that started in 2015/16 caused by serotypes 8 and 12F were not predicted by carriage surveillance in children in

England and Wales [11], nor in the Netherlands [27]. For any strategy aiming to prevent pneumococcal disease, the presence of a large pneumococcal reservoir in older children or adults is an essential factor to consider. Carriage-based surveillance should be designed to address this possibility and to include older children or adults when the goal is to understand or predict indirect effects of PCVs. Further work is needed to understand the implications of these serotype/age patterns for vaccination strategies.

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This study focused on the Jewish population in Israel, a population with relatively low transmission of pneumococcus. In this population, the older children attending daycare or preschool have more opportunities for transmission than those at home in isolation (predominantly infants) [13,15]. The situation could differ in populations with higher intensity transmission [28]. Populations with higher intensity transmission tend to have a higher residual burden of vaccine targeted serotypes [28].

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290 The intensity of transmission might also influence the age distribution of serotypes in 291 carriage, and subsequently, in disease. A population with higher intensity transmission would 292 lead to earlier first carriage episodes and more frequent exposure, as has previously been 293 observed in Bedouin versus Jewish children in Israel [29]. An earlier age distribution of 294 carriage in a high transmission setting leads to stronger immunity against the dominant 295 strains (negative frequency dependent selection [30]), potentially allowing the weaker 296 serotypes to colonize older children who are more important for transmission. Conversely, in 297 a low transmission setting, the immunity against the dominant serotypes might be lower, 298 meaning that weaker serotypes are pushed to a later age when general immunity against 299 pneumococcus is high, effectively restraining them within the population. The introduction of 300 vaccines against the dominant serotypes can shift the age distribution of sub-dominant 301 serotypes, influencing disease patterns in adults.

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303 Serotypes over-represented as cause of disease in younger adults were also over-304 represented in adults considered low risk of pneumococcal disease and vice versa, but the 305 patterns differed by serotype. For instance, serotypes 1 and 3 had opposite patterns among 306 adults. Serotype 1 was most over-represented in younger individuals and those without co-307 morbidities, and serotype 3 was most over-represented in older age groups. Serotype 1 has 308 previously been reported to more commonly affect younger individuals, appearing to have 309 only brief periods of colonization before causing disease, while also primarily infecting 310 previously healthy individuals (in line with younger age) [31]. For this reason, it has been described to act as a "primary pathogen" [31]. When stratifying <18 year olds into smaller 311 312 age groups, this association with young age was not due to higher rates in 5-10 year olds 313 but rather in the older portion of this group. This points to something specific to the 10-18 314 year old lifestyle, promoting high circulation, perhaps similar to what is observed for 315 meningococcal disease. With such short periods of colonization, one could expect very few 316 transmission opportunities to parents and even less (and therefore between) elderly 317 individuals (who with younger exposure may also be better protected). In line with this, 318 higher incidence of serotype 1 disease is seen in areas of intense transmission (crowding).

319

320 In conclusion, we identified age-related differences in serotype-specific disease, with certain 321 serotypes over- or under-represented in different age groups but also co-morbidity status. 322 These differences are likely explained by differences in susceptibility or exposure to specific 323 serotypes; enhanced carriage data from older children or adults and elderly would help to 324 further understand these patterns. These findings hold importance for those considering new 325 vaccination strategies for infants and for the next generation of adult-specific pneumococcal 326 vaccinations. This issue will gain urgency as current vaccine-serotypes continue to decline 327 and non-vaccine-serotypes increase as cause of disease in adults.

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330 **DECLARATIONS**

331

332	Competing interests. ALW declares to have received consulting fees for participation in
333	advisory boards for Pfizer. GRY has received consulting fees and research funding from
334	Pfizer and research support from GSK. RD has received consulting fees from Pfizer, MSD
335	and MeMed; research grants from Pfizer and MSD; and speaker fees from Pfizer. DMW has
336	received consulting fees from Pfizer, Merck, GSK, and Affinivax and has received research
337	funding through grants from Pfizer to Yale. All other co-authors declare no potential conflict
338	of interest.

339

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348

Author's contributions. RD and DMW conceived the study. GRY, NGL, RD and DMW managed the study and collected the data. ALW, JLW, GRY and DMW performed the analyses and interpreted the data. ALW and DMW drafted the manuscript. All authors amended and commented on the final manuscript.

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Table 1. Characteristics of the Data				
	Total number of swabs	Number of swabs positive for		
	collected, n	pneumococcus, n (%)		
All	10379	4957 (48)		
Jewish	4464	1900 (43)		
<12 months	1862	717 (39)		
<18 months	2491	1006 (44)		
<24 months	3082	1295 (43)		
<36 months	3757	1611 (43)		
13-59 months	2602	1183 (45)		
18-59 months	1973	894 (45)		
24-59 months	1382	605 (44)		
36-59 months	707	289 (41)		
Bedouin	5915	3057 (52)		
Respiratory complaint	4279	2194 (51)		
Without respiratory complaint	6118	2763 (45)		

Table 2. Deviance Information Criteria (DIC) for each model which varied by Jewish child age group						
	≤5 years	5-17 years	18-39 years	40-64 years	65-79 years	≥80 years
Overall carriage data	431.34	548.46	525.97	502.32	500.12	541.80
≤12m	372.05	498.34	480.88	462.45	480.29	517.08
<18m	390.27	519.60	502.09	483.37	504.06	528.75
<24m	405.89	538.67	506.53	495.84	483.53	531.24
<36m	437.15	539.01	531.78	508.30	495.63	535.28
13-59m	409.54	593.61	516.02	489.72	489.30	528.40
18-59m	376.82	519.78	492.94	450.96	482.43	527.10
24-59m	357.72	479.66	468.79	443.35	471.71	513.82
36-59m	313.42	425.54	407.27	386.70	433.85	444.09



log rate ratio for serotype-specific dlsease compared to expected

453

Figure 1. Over-representation of serotypes causing IPD in older adults. The numbers denote serotype-specific random intercepts from a model fit to IPD data from 80+ year old adults in Israel. Values above zero indicate that the serotype is over-represented as a cause of IPD in this age group based on how frequently they are carried in children <5 years of age and their invasiveness in <5 year olds. Values below zero indicate the serotype is underrepresented in IPD. For each serotype the 95% (thinner line) and 68% (thicker line) credible intervals are shown. PCV13 vaccine serotypes are denoted by *.



461

Figure 2. Over-representation of serotypes causing IPD in different age groups. The colors reflect serotype-specific random intercepts from a model fit to IPD data from different age groups in Israel. Darker red represents serotypes in that age strata over-represented as causes of IPD based on how frequently they are carried in children <5 years of age and their invasiveness in <5 year olds. Darker blue indicates serotypes under-represented as causes



476

1.5

1.0

0.5

477 Figure 3. Ratio of serotype-specific carriage prevalence in children aged 24-59 months

23A 1 NT26B²¹23B¹⁶A 1 NT26B²¹23B¹⁶A 11A 35Bref 78 9N08B 9N08B 33F ²¹ 33F ²¹ 13 6A95A

12A

4 37 №2F,

6644

478 of age compared to children aged under 24 months.

479

- 481
- 482 483
- 484
- 485



487	Figure 4. Comparison of serotypes over-represented in IPD in adults with the carriage
488	patterns between older and younger children. The values on the x-axis are the serotype-
489	specific random intercept, which are a measure of how over-represented the serotype is as a
490	cause of IPD in adults. The values on the y-axis denote the serotype-specific log-ratio of
491	prevalence in Jewish children aged >24 months as compared to <24 month olds. Circle size
492	was calculated from the square root of IPD cases, with circle color darkening towards red
493	also denoting higher IPD incidence
494	
495	
496	
497	SUPPLEMENTARY FIGURE LEGENDS
498	
499	Supplementary Figure S1. Pneumococcal carriage prevalence by age for Bedouin (red)
500	and Jewish (blue) children.
501	
502	Supplementary Figure S2. Age distribution of (A) all swabs obtained in the current study for
503	determination of pneumococcal carriage prevalence and (B) for those which tested positive
504	for Streptococcus pneumoniae.
505	
506	Supplementary Figure S3. Serotype-specific carriage prevalence in swabs obtained from
507	children with a respiratory-related complaint as compared to those without a respiratory
508	complaint, per study period.
509	
510	Supplementary Figure S4. Serotype-specific carriage prevalence in swabs obtained from
511	Bedouin children as compared to Jewish children, per study period.
512	
513	Supplementary Figure S5. Over-representation of serotypes causing IPD in different
514	age groups of adults. The numbers denote serotype-specific random intercepts from a

515 model fit to IPD data from 80+ year old adults in Israel. Values above zero indicate that the 516 serotype is over-represented as a cause of IPD in this age group based on how frequently 517 they are carried in children <5 years of age and their invasiveness in <5 year olds. Values 518 below zero indicate the serotype is under-represented in IPD. For each serotype the 95% 519 (thinner line) and 68% (thicker line) credible intervals are shown.

520

521 Supplementary Figure S6. Over-representation of serotypes causing IPD for 522 individuals over 18 years of age, stratified according to comorbidity status (no risk, at 523 risk, or high risk for pneumococcal disease) based on recommendations for receipt of 524 **PPV23.** The numbers denote serotype-specific random intercepts from a model fit to IPD 525 data from 80+ year old adults in Israel. Values above zero indicate that the serotype is over-526 represented as a cause of IPD in this age group based on how frequently they are carried in 527 children <5 years of age and their invasiveness in <5 year olds. Values below zero indicate 528 the serotype is under-represented in IPD. For each serotype the 95% (thinner line) and 68% 529 (thicker line) credible intervals are shown.

530

531 Supplementary Figure S7. Heatmap constructed from serotype-specific random 532 intercepts based on carriage and IPD data from Jewish children for individuals aged 533 18+ years, stratified by comorbidity status (no risk, at risk, or high risk for 534 pneumococcal disease) based on recommendations for receipt of PPV23. Darker blue 535 represents serotypes in that risk strata under-represented in IPD as expected based on 536 carriage and disease data from children; darker red represents serotypes in that risk strata 537 over-represented in IPD. Serotypes over-represented as cause of disease in younger adults 538 were also over-represented in individuals considered low risk (#). Conversely, serotypes 539 which were over-represented in older adults were over-represented in all risk groups (*). 540 Serotypes under-represented in all adults age groups as compared to based on carriage and 541 disease in children were also under-represented in all risk groups (&).

542

543 Supplementary Figure S8. Heatmap constructed from serotype-specific random 544 intercepts based on carriage and IPD data from Jewish children for all adult age 545 groups over 18 years of age, stratified by both age and co-morbidity status. Darker 546 blue represents serotypes in that age- and risk-strata under-represented in IPD as expected 547 based on carriage and disease data from children; darker red represents serotypes in that 548 age- and risk-strata over-represented in IPD. Certain serotypes were over-represented as 549 cause of disease in both younger and healthier individuals (#), or just younger individuals 550 regardless of risk status (&). Conversely, other serotypes remained prominent as cause of 551 disease in older age groups, regardless of risk status (*).

552

Supplementary Figure S9. Serotype-specific random effects for adults aged 65 years and older from Israel were compared to the ratio of serotype-specific carriage prevalence in adults over 50 years of age vs that in children under 5 years of age in the Netherlands, both prior to and following the introduction of PCV7 into the Dutch National Immunization Program.

558

Supplementary Figure S10. Serotype-specific random effects for adults over 65 years of age from Israel were compared to the ratio of serotype-specific disease in adults over 50 years of age and children under 5 years of age in the Netherlands, both (A) prior to and (B) following the introduction of PCV7 into the Dutch National Immunization Program. Serotypes over-represented as cause of disease in older adults from Israel were also those overrepresented in IPD in older adults in the Netherlands.

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