

1 **Title:**

2       Assessment and forecasting the spread of SARS-CoV-2 outbreak in Changsha,  
3 China: Based on a SEIAR Dynamic Model

4

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23 **ABSTRACT**

24 **Background:** A new human coronavirus named SARS-CoV-2 emerged during  
25 December 2019 in Wuhan, China. Cases have been exported to other Chinese cities and  
26 abroad, which may cause the global outbreak. Chang Sha is the nearest provincial  
27 capital city to Wuhan, the first case of COVID-19 in Changsha was diagnosed on  
28 January 21, 2020. Estimating the transmissibility and forecasting the trend of the  
29 outbreak of SARS-CoV-2 under the prevention and control measures in Changsha could  
30 inform evidence based decisions to policy makers.

31 **Methods :** Data were collected from the Health Commission of Changsha and Hunan  
32 Center for Disease Control and Prevention. A Susceptible-exposed-infections/  
33 asymptomatic- removed (SEIAR) model was established to simulate the transmission of  
34 SARS-CoV-2 in Changsha. Berkeley Madonna 8.3.18 were employed for the model  
35 simulation and prediction, while the curve fitting problem was solved by the  
36 Runge-Kutta fourth-order method, with a tolerance of 0.001.

37 **Results:** In this study, we found that  $R_t$  was 2.05 from January 21 to 27 and reduced to  
38 0.2 after January 27, 2020 in Changsha. The prediction results showed that when no  
39 obvious prevention and control measures were applied, the total number of patients in  
40 Changsha would reach the maximum (2.27 million) on the 79th day after the outbreak,  
41 and end in about 240 days; When measures have not been fully launched, the total  
42 number of patients would reach the maximum (1.60 million) on the 28th day after the  
43 outbreak, and end in about 110 days; When measures have been fully launched, the total  
44 number of patients would reach the maximum (234) on the 23rd day after the outbreak,

45 and end in about 60 days.

46 **Conclusions:** Outbreak of SARS-CoV-2 in Changsha is in a controllable stage under  
47 current prevention and control measures, it is predicted that the cumulative patients  
48 would reach the maximum of 234 on February 12, and the outbreak would be over on 20  
49 March in Changsha. With the fully implementation of prevention and control measures,  
50 it could effectively reduce the peak value, short the time to peak and duration of the  
51 outbreak.

52

53 **Keywords:** SARS-CoV-2; COVID-19; SEIAR model; Effective regeneration number  
54 ( $R_t$ ); Forecast

55

## 56 **BACKGROUND**

57 A new human coronavirus, named as severe acute respiratory syndrome  
58 coronavirus 2 (SARS-CoV-2) by International Committee on Taxonomy of Viruses on  
59 February 11, 2020, emerged during December 2019 in Wuhan, the capital of Hubei  
60 province in China [1]. On January 31, 2020, the world health organization (WHO)  
61 suggested that there was possible sustained human-to-human transmission of  
62 SARS-CoV-2, and announced that this outbreak in China became an Public health  
63 emergencies of international concern (PHEIC), which may cause the global outbreak [2].  
64 As of February 17, 2020 (Beijing time), 72528 cases of SARS-CoV-2, which have been  
65 named as Coronavirus disease 2019 (COVID-19) have been reported in China,  
66 including 1870 deaths, and cases have been detected in at least 25 regions or countries

67 outside China, including Japan (n=520), Singapore (n=77), Thailand(n=35), South  
68 Korea (n=29), Malaysia (n=22) and so on [3]. Data is growing day by day, public health  
69 concerns are being paid globally on this outbreak of SARS-CoV-2.

70 Changsha, the provincial capital of Hunan in China, with more than 8 million  
71 residents, which is the nearest provincial capital city to Wuhan (Figure 1). The first case  
72 of COVID-19 in Changsha was diagnosed on January 21, 2020, the first level response  
73 to major public health emergencies was launched on January 24, and a series of  
74 preventive and control measures like isolation of patients, screening of close contacts,  
75 media publicity and health education, closure of public places and transportation,  
76 prohibiting big parties and visits, personal protection and so on were taken to deal with  
77 it. Up to now, the cumulative case of COVID-19 in Changsha was 241, ranking the 7th  
78 in cities of China except Hubei province [3].

79 The genetic features and some clinical findings of the infection in Wuhan have  
80 been reported recently [4-7]. It's been a month since the first patient of COVID-19 was  
81 reported in Changsha, however, the effect of preventive and control measures, the future  
82 epidemic trend in Changsha were unclear. In this study, we analysed the epidemiological  
83 characteristics of patients, developed a Susceptible-exposed-infections/asymptomatic-  
84 removed (SEIAR) dynamic model to estimate the transmissibility and dynamic of the  
85 transmission of the virus, evaluate the effect of the prevention and control measures, and  
86 forecast the trend of the epidemic situation of SARS-CoV-2 in Changsha, in order to  
87 inform evidence based decisions to health decision and policy makers.

88

## 89 **METHODS**

### 90 **Data source**

91 The reported case of COVID-19 from January 21 to February 17, 2020 in  
92 Changsha were collected from the Health Commission of Changsha [8]. The proportion  
93 of recessive infection in Hunan were collected from the Hunan Center for Disease  
94 Control and Prevention. The onset date of the first case was on January 21, 2020, and  
95 the epidemic curve from January 21 to February 17, 2020 was collected for our study,  
96 the simulation time step was one day.

### 97 **Models and statistical analysis**

#### 98 **SEIAR model**

99 A SEIAR model was established to simulate the transmission of SARS-CoV-2 in  
100 Changsha. Population in this model was divided into five categories according to the  
101 disease status (Figure 2): susceptible (S), exposed (E), infected (I), asymptomatic (A)  
102 and recovered (R). The model was developed based on the following facts or  
103 assumptions, which assumed that some individuals moved among categories because of  
104 infection or recovery: 1) The population was defined as closed and stable; 2) Susceptible  
105 person (S) was assumed to have an equal infected rate ( $\beta$ ) with the symptomatic infected  
106 person (I) and  $\kappa\beta$  with asymptomatic infected person (A); 3) After infected, the  
107 exposed person (E) would turn to I or A after a certain exposed period ( $1/\omega$ ), the number  
108 of newly I and A per unit time was  $\omega E$ ; 4)  $\gamma$  meant the removal rate, the number of  
109 newly recovered individuals (R) per unit time was  $(\gamma_1 A + \gamma_2 I)$ ; 5) The fatality rate were

110 ignored, because it was very low in Changsha.

111 The corresponding model equations were as follows,  $dS/dt$ ,  $dE/dt$ ,  $dI/dt$ ,  $dA/dt$  and

112  $dR/dt$  denoted the number of individuals (n) at time t in the corresponding categories:

$$\begin{cases} dS / dt = -\beta SI - \kappa\beta SA \\ dE / dt = \beta SI + \kappa\beta SA - pwE - (1-p)wE \\ dA / dt = pwE - \gamma_1 A \\ dI / dt = (1-p)wE - \gamma_2 I \\ dR / dt = \gamma_1 A + \gamma_2 I \end{cases} \quad (1)$$

117 The effective regeneration number ( $R_t$ ) was calculated by the following formula

118 according to the model:

$$R_t = \beta S_i \left( \frac{1-p}{\gamma_2} + \frac{\kappa p}{\gamma_1} \right) \quad (2)$$

## 120 **Parameter estimation**

121 There were six parameters in all models in this study, which were infection rate ( $\beta$ ),

122 the ratio of transmission probability of A to I ( $\kappa$ ), the proportion of asymptomatic

123 infection rate of people ( $P$ ), latency coefficient ( $\omega$ ), removal rate of A ( $\gamma_1$ ) and removal

124 rate of I ( $\gamma_2$ ), all parameters and initial values of each categorie were list in [Table 1](#).

125 a) As of February 12, 2020, 972 cases with COVID-19 and 121 cases with

126 asymptomatic infection were reported in Hunan province, Changsha is the

127 provincial capital of Hunan, so the the proportion of asymptomatic infection rate of

128 people in our model was  $P=121/(972+121)=11\%$ .

129 b) The mean incubation period was 5.2 days (95% confidence interval [CI]: 4.1 –7.0)

130 [\[4\]](#), we set the same value (5.2 days) of the incubation period in our study, so,

131  $\omega=1/5.2$ .

132 c)  $\gamma$  meant the removal rate,  $1/\gamma$  meant the infective period. While once symptomatic  
133 infected person was diagnosed, they would be isolated, the  $1/\gamma_2$  represented the time  
134 from onset to diagnosis of symptomatic infected person. From the actual data, we  
135 have calculated that the average time from onset to diagnosis was 3 days, so,  $\gamma_2=1/3$ .  
136 d) While those asymptomatic infected person would not be easily found and isolated,  
137 the recovery day was equal to 14 days [9], so, the infective period of asymptomatic  
138 infection was  $\gamma_1=1/14$ .

### 139 **Simulation methods**

140 Considering the implementation degree of the prevention and control measures, we  
141 divided the time into two periods based on the measures in Changsha. The first period  
142 was from January 21 to 27, 2020, when the prevention and control measures have not  
143 been fully launched, the second period was from January 28 to February 17, 2020, when  
144 the prevention and control measures have been fully launched.

145 We fitted the data from the outbreak of SARS-CoV-2 in Changsha to a SEIAR  
146 model curve to estimate  $\beta$  and  $\kappa$  in these two periods respectively. Berkeley Madonna  
147 8.3.18 and Microsoft Office Excel 2010 software were employed for the model  
148 simulation and data management, respectively. Graphpad prism 5 was used for the  
149 figure development, while the curve fitting problem was solved by the Runge-Kutta  
150 fourth-order method, with a tolerance of 0.001. A Goodness of fit test ( $\chi^2$  test) was  
151 performed using the IBM-SPSS software, in which the significance level was  $\alpha = 0.05$ .

### 152 **Forecast**

153 Three prediction models based on different parameters were established, Berkeley  
154 Madonna 8.3.18 was used for model forecast, the peaks and duration of the epidemic in  
155 three models were compared.

156 a) The first prediction model was to forecast the epidemic situation in Changsha based  
157 on the parameters in the early stage of Wuhan ( $R_0=2.68$ ) [10], that was, the  
158 parameters when almost no prevention and control measures were taken.

159 b) The second prediction model was to forecast the epidemic situation in Changsha  
160 according to the parameters obtained by model fitting from January 21 to 27, 2020,  
161 when the prevention and control measures have not been fully launched.

162 c) The third prediction model was to forecast the epidemic situation in Changsha  
163 according to the parameters obtained by model fitting from January 28 to February  
164 17, 2020, when the prevention and control measures have been fully launched.

165

## 166 **RESULTS**

### 167 **Epidemiological features of the outbreak of SARS-CoV-2 in Changsha**

168 From January 21 to February 17 in the year 2020, 241 patients of COVID-19 in  
169 Changsha were reported. The sex ratio of men to women was 120:121, the oldest and  
170 youngest were 84 and 3 years old, respectively, the median age was 45 years old. 40  
171 people were from Wuhan or other areas in Hubei, accounting for 16.6%. The median  
172 time of patients from onset to diagnosis in Changsha was 3 days, and 217 patients just  
173 had mild symptoms at the time of diagnosis, which accounting for 90.0%. Among the  
174 eight districts under the jurisdiction of Changsha, Furong, Kafu and Yulu district  
175 reported the largest number of patients, a total of 166 cases, which accounting for 68.9%.



176 As of February 17, 2020, 92 patients were discharged from hospital, 2 died, 147 patients  
177 were in hospital now, 10 of them were serious. The distribution of time among patients  
178 was shown in [Figure 3](#).

179

### 180 **The effect of prevention and control measures in Changsha at different time**

181 We divided the time into two periods based on the prevention and control measures  
182 in Changsha, which was from January 21 to 27, and from January 28 to February 17,  
183 2020. The results of curve fitting of the outbreak data in Changsha and SEIAR model  
184 showed that the simulated result agreed well with the reported data when  $\beta_1$  equal to  
185  $5.02 \times 10^{-7}$ ,  $R_1$  equal to 2.05,  $\kappa_1$  equal to 0.93 from January 21 to 27, 2020, and  $\beta_2$   
186 equal to  $6.00 \times 10^{-8}$ ,  $R_2$  equal to 0.20,  $\kappa_2$  equal to 0.95 from January 27 to February 17,  
187 2020 ( $R^2=0.97$ ,  $P<0.001$ ). The model fitting diagram was shown in [Figure 4](#).

188

### 189 **Forecast of the outbreak of SARS-CoV-2 in Changsha**

190 The prediction results showed that when no obvious measures were applied, the  
191 total number of patients in Changsha would reach the maximum on the 79th day after  
192 the outbreak, which was 2.27 million patients, and the outbreak would end in about 240  
193 days; When prevention and control measures have not been fully launched, the total  
194 number of patients in Changsha would reach the maximum on the 28th day after the  
195 outbreak, which was 1.60 million patients, and the outbreak would end in about 110  
196 days; When prevention and control measures have been fully launched, the total number  
197 of patients in Changsha would reach the maximum on the 16th day after the fully  
198 intervention, that is, on the 23rd day after the outbreak (February 12, 2020), which  
199 was 234 patients, and the outbreak would end in about 60 days (March 20, 2020). The

200 forecast results were shown in [Figure 5](#).

201

## 202 **DISCUSSION**

203 In this study, we found that the number of male patients was similar to female,  
204 which was different from the patients in Wuhan [\[5,6\]](#), but the same with the results of  
205 the whole country [\[11,12\]](#), which may be due to the different study sites and the number  
206 of cases, further studies are needed to find out whether there are differences between  
207 men and women. People of all ages were generally susceptible to SARS-CoV-2, and the  
208 median age of patients were 45 years old, which is consistent with the results of other  
209 provinces in China [\[11,12\]](#). 16.6% patients were from Wuhan or other areas in Hubei,  
210 90.0% patients just had mild symptoms at the time of diagnosis. Since the sealed off  
211 Wuhan on January 23, there have been few, almost none people from Wuhan to  
212 Changsha, so, some early patients may have come from or contact with Wuhan or other  
213 areas in Hubei, but the latter patients were mostly local transmission patients. Since the  
214 first case of COVID-19 in Changsha was diagnosed on January 21, 2020, a series of  
215 preventive and control measures were taken to deal with it, most of the patients were  
216 found and diagnosed at an earlier time, which would reduce the proportion of severe  
217 patients and reduce the harm of this disease.

218 The proportion of asymptomatic infection of SARS-CoV-2 was low, just accounting  
219 for 11.1%, which was higher than Severe acute respiratory syndrome (SARS) and  
220 Middle east respiratory syndrome (MERS) [\[13,14\]](#), but lower than influenza [\[15\]](#). The  
221 probability of transmission in asymptomatic infected patients were not very different

222 from that of symptomatic infected patients ( $\kappa=0.93$  to  $0.95$ ), which is what we need to  
223 pay attention to. So, in the later stage, we should carry out nucleic acid testing to find  
224 out more asymptomatic infected people, especially from the key groups, such as close  
225 contacters of patients, medical workers, employees returning to enterprise and students  
226 returning to school from Wuhan or other areas of Hubei, to reduce the impact of  
227 asymptomatic infection on the re-outbreak or epidemic of SARS-CoV-2.

228 To control the transmission of virus, it is important to decrease  $R_t$ . Due to the  
229 different research places and time, the  $R_0$  or  $R_t$  obtained by scholars were quite different,  
230 which ranged from 1.10 to 6.47 in China [16-19]. The number of patients is changing  
231 rapidly, the measures taken in different places and time are not all the same, so, it is  
232 necessary to adjust the model and parameters according to different situations of  
233 different regions. In this study, we found that  $R_t$  was 2.05 from January 21 to 27 in  
234 Changsha, which was lower than the  $R_0$  in Wuhan at the early time, and  $R_t$  reduced to  
235 0.2, lower than 1 after January 27, 2020, which meant that the SARS-CoV-2 in  
236 Changsha was in a controllable stage under current prevention and control measures.

237 The prediction results of the model showed that the cumulative patients of  
238 COVID-19 in Changsha would reach the maximum value of 234 on February 12, which  
239 was fitting well to the actual situation, and the outbreak of SARS-CoV-2 in Changsha  
240 would end around March 20. Compared with no or initial measures, with the fully  
241 implementation of the epidemic prevention and control measures in Changsha, it could  
242 effectively reduce the peak value, short the time to peak and duration of the outbreak,  
243 reduce the harm brought by the epidemic. Scientific and effective prevention and control

244 provides a reference for dealing with new infectious diseases in the future.

245 The actual situation was complex and changeable, differences remained between  
246 the simulation and the actual outbreak, which is the limitation in our study. After  
247 February 17, the resumption of work in enterprises and schools may have some impact  
248 on the development of the epidemic, so the model and parameters need to be adjusted  
249 according to the actual situation.

250

## 251 **CONCLUSIONS**

252 The proportion of asymptomatic infections of SARS-CoV-2 in Changsha was low,  
253 but the probability of transmission was not very different from that of patients; Outbreak  
254 of SARS-CoV-2 in Changsha is in a controllable stage under current prevention and  
255 control measures, it is predicted that the cumulative patients would reach the maximum  
256 of 234 on February 12, and the outbreak would be over on March 20 in Changsha. With  
257 the fully implementation of prevention and control measures, it could effectively reduce  
258 the peak value, short the time to peak and duration of the outbreak.

259

## 260 **List of abbreviations**

- 261 1. Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)
- 262 2. World health organization (WHO)
- 263 3. Public health emergencies of international concern (PHEIC)
- 264 4. Coronavirus disease 2019 (COVID-19)
- 265 5. Susceptible-exposed-infections/asymptomatic- removed (SEIAR)

- 266 6. Regeneration number ( $R_t$ )
- 267 7. Confidence interval ( $CI$ )
- 268 8. Severe acute respiratory syndrome (SARS)
- 269 9. Middle east respiratory syndrome (MERS)

270

271 **Declarations**

272 **Ethics approval and consent to participate**

273 Not applicable

274

275 **Consent for publication**

276 Not applicable

277

278 **Availability of data and materials**

279 All data and material in our study were availability

280 The reported case of COVID-19 in Changsha were collected from the Health

281 Commission of Changsha. [http://wsjkw.changsha.gov.cn/ztl\\_1/fkxxgzbd/index.html](http://wsjkw.changsha.gov.cn/ztl_1/fkxxgzbd/index.html).

282 The proportion of recessive infection in Hunan were collected from the Hunan Center  
283 for Disease Control and Prevention.

284

285 **Competing interests**

286 The authors declare that they have no competing interests.

287

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292

293 **Authors' contributions**

294 W Z and N Z mainly responsible for the data analysis, model building and drafting of  
295 article;

296 G L and W L mainly responsible for the chart making;

297 H Z, S Z, M C and R F mainly responsible for the collection of data and searching for  
298 relevant parameters;

299 T L mainly responsible for the correction of English;

300 Y L mainly responsible for sponsorship of funds and final review of the article.

301 All authors read and approved the final manuscript.

302

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305

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364

## 365 **Tables and Figures**

366 Table 1 List of parameters and initial values of each categorie in model

367 Figure 1 The geographical location map of Changsha

368 Figure 2 Flow chart of SEIAR model of SARS-CoV-2

369 Figure3 The distribution of time among patients in outbreak of SARS-CoV-2 in  
370 Changsha

371 Figure 4 The result of curve fitting of actual data and SEIAR model

372 Figure 5 The forecast results with different parameters