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## Determination of daily reproduction numbers of SARS-CoV2 based on death cases suggests more rapid initial spread in Italy and the United States

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11 Population density, behaviour and cultural habits strongly influence the spread of pathogens.  
12 Consequently, key epidemiological parameters may vary from country to country. Confirmed COVID-  
13 19 cases in in China have been used to estimate those parameters, that vary largely (reviewed in <sup>1</sup>).  
14 The estimates also depend on testing frequency and case definitions that are prone to change during  
15 ongoing epidemics, providing additional uncertainties. The rise in fatal cases due to SARS-CoV2 could  
16 be a more reliable parameter, since missing of deaths is less likely. In the absence of changes in the  
17 management of severe COVID-19 cases, the rise in death cases should be proportional to the rise in  
18 virus infections. Although the fluctuating low numbers of fatal cases very early in the epidemic may  
19 lead to some uncertainty, more than 100 deaths per day are reported since 10.03.2020 in Italy and  
20 since 21.03.2020 in the US. Therefore, the dynamics of deaths were analysed to estimate the daily  
21 reproduction numbers ( $R_t$ ) and the effectiveness of control measures.

22 Daily death cases from 21.2.2020 to 27.03.2020 were downloaded from ECDC <sup>2</sup>. A three day sliding  
23 period was used to smoothen day to day variations. Fold increases after 7 days were determined for  
24 each day. Assuming a serial interval of 4 days <sup>3,4</sup> daily reproduction numbers ( $R_t$ ) were calculated (Fig.  
25 1A). For Italy, this resulted in mean  $R_t$  values of approximately 3.4 between February 22<sup>nd</sup> and March  
26 1<sup>st</sup>. Virus is estimated to be acquired approximately 19 to 29 days before the day of death assuming 4  
27 to 7 days of mean incubation period <sup>5</sup> and 15 to 22 days from onset of symptoms to death<sup>6</sup>. Thus the  
28  $R_t$  values plotted from March 2<sup>nd</sup> to March 12<sup>th</sup> are likely due to infections occurring between February  
29 2<sup>nd</sup> and February 22<sup>nd</sup>. The first confirmed Italian cluster of COVID19 dates to February 22<sup>nd</sup> indicating  
30 that the  $R_t$  of 3.4 determined for deaths from February, 22<sup>nd</sup> to March 1<sup>st</sup> represents the basic  
31 reproduction number,  $R_0$ , for SARS-CoV2 in Italy. Increasing awareness of SARS-CoV-2 spread and  
32 obligatory social distancing measures progressively introduced starting February 22<sup>nd</sup> are most likely  
33 responsible for the continuous decline of the  $R_t$  values derived from deaths occurring between March  
34 2<sup>nd</sup> and March 12<sup>th</sup>. An average age of fatal cases of 81 years <sup>7</sup> may have led to more rapid progression  
35 to death explaining a faster decline of  $R_t$  values than expected.

36 The  $R_0$  of 3.4 we derive from the rise in early death cases in Italy is higher than the  $R_0$  of 2.2 reported  
37 from the rise in confirmed cases in China <sup>1</sup>. Our estimate of the  $R_0$  based on the rise in death cases in  
38 China between 21.1.and 24.1.2020 is in the range of 2.7, with high uncertainty due to less than 10  
39 death cases/day (Fig. 1B). Thereafter, the  $R_t$  declines below 1. For the United States, the  $R_t$ s determined  
40 during 1.3. to 14.3.2020 trend to increase to values above 3 (Fig. 1C). The low number of deaths  
41 observed during this period may be driven by imported cases rather than autochthonous spread of  
42 SARS-CoV2. Thereafter, the mean  $R_t$  is 3.3 suggesting fast spread of the virus end of February and early

43 March. Since hardly any control measures were implemented in the United States during this time  
44 period we consider this an adequate estimate of  $R_0$ .

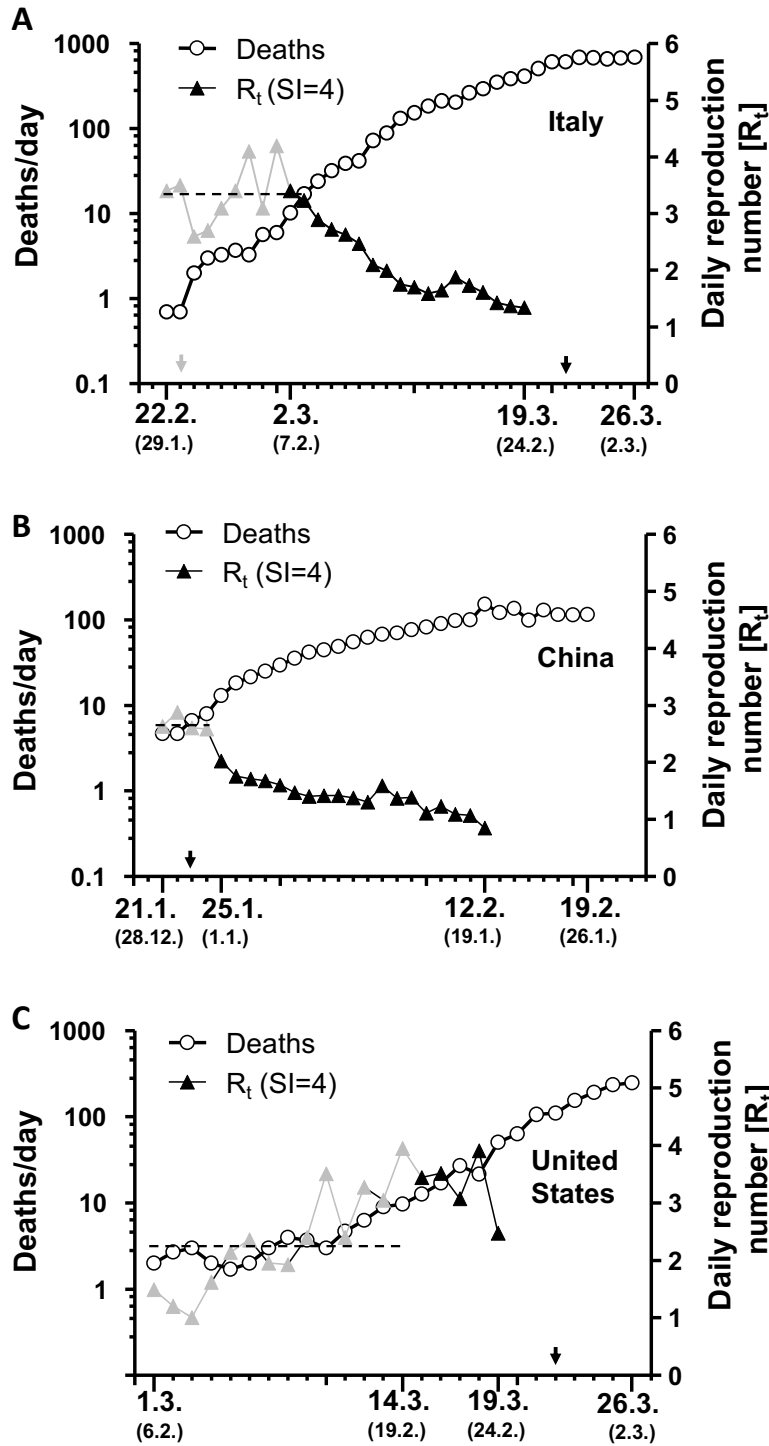
45 Thus, our analysis provides evidence that basic epidemiological parameters differ between countries  
46 to an extent compromising epidemiological predictions of the pandemic. It also suggests that  
47 suppression of spread in Italy and the US may be more difficult to achieve. Although we assume that  
48 variations in social behaviour are responsible for the different estimates of  $R_0$ , selection of more rapidly  
49 spreading variants of SARS-CoV-2 cannot be excluded. Despite uncertainty in the reliability of the data  
50 used and lack of information on possible changes in the effectiveness of registration of COVID-19  
51 deaths during the observation period, our findings should be considered as a working hypothesis  
52 demanding further investigations. As the number of deaths rapidly increases worldwide, we encourage  
53 more sophisticated modelling of the epidemic based on the dynamics of death cases by experts in the  
54 field.

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77 **Figure 1.** Mean numbers of deaths of the indicated day and the two flanking days in Italy (A), China (B),  
 78 and the USA (C) are shown for the indicated time periods. The daily reproduction number  $R_t$  was  
 79 calculated based on the fold increase during the subsequent 7 days and a serial interval of 4 by taking  
 80 the  $n$ th root of the fold increase with  $n = \text{fold increase} / \text{serial interval}$ .  $R_t$  values for days with  $<10$  deaths  
 81 are shown in grey and their mean is indicated by the dashed line. Virus infection is estimated to be  
 82 acquired on average approximately  $24 \pm 5$  days before the day of death (see text). Thus, the  $R_t$  values  
 83 plotted for the day of death reflect the transmission occurring approximately 24 days before (in  
 84 brackets below the day of death).  $\downarrow$  Start of control measures in Italy.  $\downarrow$  Shut-down in Italy, Wuhan  
 85 City, and New York, respectively.