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Running Title: SSDs of ICU nurses treating COVID-19 pneumonia patients

Key words: COVID-19; Intensive Care Units; Symptom Cluster; Symptoms; Occupational exposure

Symptom Cluster of ICU nurses treating COVID-19 pneumonia patients in Wuhan, China

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Somatic Symptom Disorders of ICU Nurses treating COVID-19 pneumonia patients in Wuhan, China

Abstract

Objective. In treating highly infectious COVID-19 pneumonia, ICU nurses face a high risk of developing somatic symptom disorder (SSD). The present study aims to investigate the symptoms and causes of SSD of ICU nurses treating COVID-19 pneumonia. The research results are expected to provide evidence for the establishment of a better management strategy.

Methods. This study enrolled a total of 140 ICU nurses who were selected by Jiangsu Province Hospital to work in Wuhan (the epicenter of the COVID-19 epidemic in China) on 3rd February 2020. A questionnaire “Somatic Symptom Disorders for ICU Nurses in Wuhan No.1 Hospital” was designed based on the “International Classification of Functioning, Disability and Health” (ICF). Exploratory factor analysis was performed to cluster the symptoms, and logistic regression analysis to find the risk factors of the symptoms.

Results. Five major symptoms were chest-discomfort-and-palpitation (31.4%), dyspnea (30.7%), nausea (21.4%), headache (19.3%), and dizziness (17.9%). In exploratory factor analysis, the symptoms were classified into three clusters: Cluster A of breathing and sleep disturbances (dizziness, sleepiness, dyspnea); Cluster B of gastrointestinal complaints and pain (nausea, headache), and Cluster C of general symptoms (xerostomia, fatigue, chest-discomfort-and-palpitation). In Cluster A, urine/feces splash, sex, and sputum splash were independent predictive factors. In Cluster B, fall of protective glasses and urine/feces splash were independent predictive factors. In Cluster C, urine/feces splash and urine/feces clearance were independent predictive factors.

Conclusion. The ICU nurses in Wuhan showed varying and overlapping SSDs. These SSDs could be classified into three symptom clusters. Based on the characteristics of their SSDs, specific interventions could be implemented to safeguard the health of ICU nurses.

Key words

COVID-19; Intensive Care Units; Symptom Cluster; Symptoms; Occupational Exposure

Introduction

COVID-19 pneumonia has been listed Category B infectious disease and is being treated in a category similar to that of Category A by the National Health Commission of China. A large proportion of COVID-19 patients will progress to a critical condition which needs intensive care. However, given the challenges in treating this disease, ICU nurses are highly prone to somatic symptom disorder (SSD) which is associated with the interaction of biology, cognition, emotion, behavior and environment¹. The ICU nurses must manage a heavy workload requiring frequent invasive procedures and high attention levels. Therefore,

69 safeguarding the physical and psychological health of ICU nurses can provide a major
 70 contribution to the success of epidemic control ^{2,3}. A symptom cluster is a stable group of two
 71 or more co-existing symptoms. The symptom clusters in one population may show overlaps
 72 and interactions, a phenomenon that should be resolved to improve the efficiency of
 73 managing the disorder ^{4,5}. Currently, no study has investigated SSDs in ICU nurses fighting at
 74 the frontline against the COVID-19 epidemic. Previous studies have confirmed that an
 75 individual's response to SSD is dependent on physical, emotional and social factors ⁶. This
 76 study aims to analyze SSDs and associated risk factors in 140 ICU nurses who were sent by
 77 Jiangsu Province Hospital to No.1 Hospital of Wuhan, the epicenter of COVID-19 epidemic.

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79 **Methods**

80 **Sample**

81 A total of 140 nurses at COVID-19 pneumonia ICUs were selected through convenience
 82 sampling. Inclusion criteria: (1) Employed as a full-time nurse at Jiangsu Province Hospital;
 83 (2) Aged 20-50 years; (3) Has worked at an ICU for serious/critical COVID-19 pneumonia
 84 patients in Wuhan; (4) Presented informed consent. Exclusion criteria: (1) No experience in
 85 an ICU in Wuhan; (2) Lactation.

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87 **The Symptom Scale**

88 A questionnaire "Somatic Symptom Disorders for ICU Nurses in Wuhan No.1 Hospital"
 89 was designed based on "International Classification of Functioning, Disability and Health"
 90 (ICF) ⁷. The questions were set out during an expert meeting attended by team leaders,
 91 rehabilitation therapists, psychologists, and ICU nurses. Twelve second-level ICF categories
 92 were selected from the component of "Body Function" in ICF (Table 1). At this step,
 93 standardized ICF-linking rules were used ⁸. Finally, a list of ICF categories was compiled that
 94 was intended to cover 16 symptoms that ICU nurses could reasonably have experienced when
 95 treating COVID-19 pneumonia patients in Wuhan, China (Table 1). The frequency and
 96 severity of each symptom were scaled (0, no; 1, mild; 2, moderate; 3, severe).

97 Another questionnaire was designed to evaluate the possible risk factors. Included in this
 98 questionnaire were three datasets: demographic data (sex, age, educational background,
 99 marriage, etc.), career data (working years, working years in a particular department,
 100 previous in-hospital rotation, title, position, technical level, etc.), and data about ICU work in
 101 Wuhan (frequency and time in ICU, extra work, exposure to contaminants and treatment,
 102 invasive procedures, etc.).

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Table 1 ICF Categories and symptoms

Symptom	ICF categories
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sleepiness	b134-Sleep functions
dry eyes	b220-Sensations associated with seeing and adjacent structure function
dizziness	b240-Sensation associated with hearing and vestibular functions
stomachache	
headache	b280-Sensation of pain
waist pain	
neck pain	
dyspnea	b440-Respiration functions
cough	b450-Additional respiratory functions
fatigue	b455-Exercise tolerance functions
chest-discomfort-and-palpitation	b460-Sensations associated with cardiovascular and respiratory functions
emesis	b510-Ingestion functions
diarrhea	b525-Defecation functions
nausea	b535-Sensations associated with the digestive system
xerostomia	b545-Water, mineral and electrolyte balance functions
sweating	

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107 Procedures

108 The questionnaires were handed out through WeChat, an online APP. Participants who
 109 met the study criteria logged onto a website (<http://www.wjx.cn>) to complete the survey. The
 110 investigators informed the nurses of the details of the research. All the questionnaires were
 111 completed and returned (an efficiency of 100%) 5-7 days after the first group of ICU nurses
 112 started work in Wuhan. The study was approved by the ethics committee of Jiangsu
 113 Province Hospital (2020-SR-101).

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115 Data Analysis

116 The data were analyzed with SPSS 25.0. Descriptive analysis was performed for the data
 117 on general characteristics and SSDs. Enumeration data were presented as frequencies and
 118 percentages, measurement data in normal distributions as mean \pm SD, and measurement data
 119 in skewed distributions as medians and interquartile ranges. Exploratory factor analysis was
 120 performed to assess the presence of correlations between particular types of symptoms which
 121 might reflect symptom complexes. To express the symptoms accurately, this study included
 122 the variables ranking in the top 10 in both frequency and severity. Factor loading was
 123 calculated through principal component analysis and rotation through varimax. The factors
 124 were selected according to the following criteria: (1) eigenvalue $>$ 1 (Kaiser criterion); (2)
 125 suitable for Cattell's 16 Personality Factors Test; (3) containing at least two within factor
 126 variance; (4) having psychological implications; (5) loadings of 0.5 or higher. Logistic
 127 regression analysis was used to explain the interrelationships of symptom clusters and
 128 variables in three datasets (demographic data, career data, and data about ICU work), $\alpha=0.05$.

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132 **Results**

133 Sample Characteristics

134 During one week (15-22 February 2020) after the nurses started the work in ICUs in
 135 Wuhan No.1 Hospital, 63 person-times of COVID-19 pneumonia cases were admitted,
 136 including 35 serious/critical cases (55.56%) and 3 deaths (4.76%). A total of 140 nurses were
 137 surveyed, including 118 females (84.3%), and 63 married nurses (45%). The mean age was
 138 29.35 \pm 4.92 years (range 22-43 years). The nurses had worked for a mean of 7.03 \pm 5.44 years
 139 (range 1-23 years), and a mean of 3.66 \pm 3.70 years (range 1-18 years) in a particular
 140 department (such as ICU, emergency, respiratory department, infectious disease department).
 141 Other general data are shown in Table 2.

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Table 2 General data of the nurses

		Number	(%)
Educational background	Junior college degree	30	21.4
	Bachelor's degree	109	77.9
	Master's degree	1	0.7
Title	Nurse	23	16.4

	Senior nurse	81	57.9
	Supervisor nurse	32	22.9
	Co-chief superintendent nurse	4	2.9
Position	Nurse	136	97.1
	Head nurse	4	2.9
Technical level	N0-N1	74	52.9
	N2	36	25.7
	N3	28	20
	N4	2	1.4
	In-hospital rotation ($\bar{x}\pm s$)		2.14 \pm 1.38

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146 Scores of SSD symptoms

147 Each nurse showed a median of 1.5 (mean 2.04 \pm 2.11) SSD symptoms and a median of 2
 148 (3.88 \pm 6.13) symptom onsets. The 10 most frequent symptoms were
 149 chest-discomfort-and-palpitation (31.4%), dyspnea (30.7%), nausea (21.4%), headache
 150 (19.3%), dizziness (17.9%), xerostomia (15.7%), fatigue (15.0%), sleepiness (9.3%),
 151 sweating (8.6%), and waist pain (7.1%). The 10 most severe symptoms were dyspnea
 152 (0.74 \pm 1.17), chest-discomfort-and-palpitation (0.62 \pm 1.02), headache (0.40 \pm 0.90), fatigue
 153 (0.30 \pm 0.77), xerostomia (0.30 \pm 0.75), dizziness (0.29 \pm 0.71), nausea (0.29 \pm 0.61), sleepiness
 154 (0.19 \pm 0.66), dry eyes (0.14 \pm 0.56), and diarrhea (0.13 \pm 0.61).

155 Symptom clusters

156 Exploratory factor analysis was performed based on eight SSDs, the frequency and
 157 severity of which both ranked in the top 10, including nausea,
 158 chest-discomfort-and-palpitation, dyspnea, sleepiness, dizziness, fatigue, xerostomia, and
 159 headache. KMO value of 0.694 and $P < 0.001$ (Bartlett's sphericity test) indicated these factors
 160 were suitable for factor analysis. The results showed three common factors (eigenvalue $>$ 1)
 161 explaining 55.75% of common variance. After rotation with varimax, the factor loadings
 162 were calculated, as shown by the matrix in Table 3. Finally, three symptom clusters were
 163 defined: Cluster A of breathing and sleep disturbances (dizziness, sleepiness, dyspnea);
 164 Cluster B of gastrointestinal complaints and pain (nausea, headache); and Cluster C of
 165 general symptoms (xerostomia, fatigue, chest-discomfort-and-palpitation). The score of each
 166 cluster was the total of each symptom score (Table 3).

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Table 3 Symptom clusters of ICU nurses

	NO.1	NO.2	NO.3	Cluster score ($\bar{x}\pm s$)
Dizziness	0.71	-	-	
Sleepiness	0.68	-	-	1.22±1.88
Dyspnea	0.621	-	-	
Nausea	-	0.819	-	0.69±1.17
Headache	-	0.596	-	
Xerostomia	-	-	0.815	
Fatigue	-	-	0.637	1.22±1.89
Chest-discomfort-and-palpitation	-	-	0.51	
Explained variance (%)	21.75	17.1	16.91	-

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170 Risk factors of symptom clusters

171 Table 4 shows the 15 types of nursing operations and 11 types of exposure. A total of
 172 599 person-times of invasive operations (4.28 ± 7.49 times per person) and 46 person-times of
 173 body fluid/blood exposure (0.33 ± 0.58 times per person) were reported. On average, one nurse
 174 accomplished 2.57 ± 0.95 shifts of ICU work. A total of 16 nurses (11.4%) had taken on extra
 175 work.

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Table 4 Nursing operations and types of exposure

Operation	Person-time s	Proportion	Exposure	Person-times	Proportion
Endotracheal intubation	6	1.00	Fall of protective glasses	12	26.09
Deep vein catheterization	3	0.50	Fall of mask	4	8.70
Venous indwelling needle	26	4.34	Fall of shield	1	2.17
Artery indwelling needle	0	0.00	Glove fall	8	17.39
Open suction	21	3.51	Glove broken	8	17.39
Close suction	137	22.87	Protective garment broken	0	0.00
Clearing oral and nasal secretions	84	14.02	Protective garment contaminated	3	6.52

Replacing the instruments fixing the endotracheal tube	3	0.50	Blood splash	1	2.17
Sputum sample collection	16	2.67	Sputum splash	3	6.52
Blood sample collection	35	5.84	Urine/feces splash	1	2.17
Venous transfusion	91	15.19	Others	5	10.87
Venous injection	35	5.84			
Urine/feces clearance	116	19.37			
Corpse treatment	5	0.83			
Others	19	3.17			

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179 Taking the occurrence of symptom clusters as a dependent variable and the factors in the
 180 three datasets as independent variables, the univariate Cox regression analysis showed that
 181 sex, sputum splash, urine/feces splash, and urine/feces clearance were risk factors for the
 182 occurrence of symptom clusters ($P < 0.05$). Using these risk factors as independent variables
 183 and the occurrence of symptom clusters as a dependent variable, the multiple linear
 184 regression analysis showed that urine/feces splash, female, and sputum splash were
 185 independent predictive factors for Cluster A; fall of protective glasses and urine/feces splash
 186 were independent predictive factors of Cluster B; and urine/feces splash and urine/feces
 187 clearance were independent predictive factors for Cluster C (Table 5).

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190 Table 5 Logistic regression analysis on the risk factors of three symptom clusters

	Variables	Regression coefficient	SE	Standardized regression coefficient	t	p
Cluster A	(Constant)	1.924	0.755		2.55	0.012
	Urine/feces splash	1.252	0.195	0.457	6.417	0.000
	Sex	-0.82	0.397	-0.133	-2.063	0.041
	Sputum splash	1.46	0.237	0.4	6.16	0.000
R=0.669, F=27.306, P=0.000						
Cluster B	(Constant)	0.41	0.092		4.475	0.000
	Fall of protective glasses	0.55	0.266	0.153	2.066	0.041

	Urine/feces splash	0.69	0.106	0.48	6.483	0.000
	R=0.789, F=27.547, P=0.000					
Cluster C	Urine/feces splash	1.982	0.17	0.693	11.651	0.000
	Urine/feces clearance	0.32	0.105	0.186	3.06	0.003
	R=0.789, F=31.097, P=0.000					

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Discussion

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On 30 January 2020, the World Health Organization (WHO) declared COVID-19 a “public health emergency of international concern”. The infection, with a route of human-to-human transmission, caused clusters of severe respiratory illness that was associated with ICU admission and high mortality⁹. China has quickly pooled medical personnel into Wuhan, the origin of the epidemic in China. A major workforce are ICU nurses, who are exposed to a broad range of mental and physical health disorders. Particular efforts to mitigate these challenges must be directed to the ICU nurses under the greatest workload⁹. By investigating the symptom clusters experienced by nurses and associated risk factors, a better management model may be developed to relieve the SSDs in ICU nurses¹⁰.

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We found that the ICU nurses reported symptoms which could be grouped into three symptom clusters and that the median number of symptoms in each nurse was 1.5. A night shift could be expected to lead to sleepiness and fatigue¹¹. Previous studies have studied symptom clusters in patients with cancer or chronic disease^{10,12}. The present study is the first to investigate symptom clusters in ICU nurses in an epidemic.

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Our study showed that dizziness, sleepiness and dyspnea co-occurred in Cluster A; headache and nausea in Cluster B; xerostomia, fatigue and chest-discomfort-and-palpitation in Cluster C. We confirmed that the occurrence of symptom clusters was caused by the environmental and personal stress disorder. For infection control, personal protective equipment (PPE), such as a fluid-resistant gown, gloves, eye protection, full face shield and fit-tested N95 respirators, should be worn¹³. However, accidental events when using this equipment, such as fall of protective glasses, may harm both the physical and mental health of nurses. In this study, we showed an association between PPE failure and symptom cluster B. It was previously reported that sleepiness coexisted with other symptoms in a single cluster¹⁴. Interventions, including physical exercise or cognitive behavioral therapy, could relieve the symptoms in the sleepiness-related cluster¹⁵⁻¹⁷.

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In Wuhan, most ICU patients required high-flow nasal cannula or higher-level oxygen support to correct hypoxemia¹⁸. Nursing of critical patients included condition monitoring, sequential oxygen care, sequential treatment nursing, infection prevention, nutrition support, and psychological nursing¹⁹. In our study, 55.56% of patients were in critical condition. Our

222 results showed that supervisor nurses had more exposures to infectious material and a heavier
223 workload (4.28 ± 7.49 invasive operations and 0.33 ± 0.58 exposures per person).

224 We identified urine/feces splash as an independent risk factor associated with the
225 occurrence of all symptom clusters, which can be explained by three reasons. Firstly, patients'
226 excreta may create aerosols that can allow airborne transmission to those closely involved in
227 the procedure¹². Secondly, the fecal-oral route of transmission is possible²⁰. Thirdly, the
228 proportion of patients needing urine/feces clearance (116, 19.37%) was high, second only to
229 that of closed sputum aspiration (137, 22.87%). Our finding suggested that it is important to
230 develop standard procedures to prevent ICU nurses from urine/feces splash.

231 We found that female was a significant factor associated with occurrence of the
232 symptom cluster of breathing and sleep disturbances. Several previous studies showed that
233 female nurses have a higher risk of daytime sleepiness than male nurses^{21,22}. To mitigate this
234 risk, care should be given to female ICU nurses if they suffer from daytime sleepiness.

235 Finally, our results showed that fall of protective glasses was an independent risk factor
236 for the occurrence of the pain symptom cluster. Blocking transmission is a leading strategy
237 against COVID-19²³. This finding shows the importance of PPE. A nurse may develop
238 adverse reactions caused by heavy PPE, including nausea and vomiting²⁴. We suggest
239 identifying infection control nurses as observers to monitor staff compliance with infection
240 control guidance, give clarification and advice where appropriate and record significant
241 issues relating to infection control procedures²⁵.

242

243 **Limitations**

244 Our study has several limitations. First, only 140 nurses were included; the findings
245 should be validated with studies covering more professionals. Second, other centers should be
246 included to get a more comprehensive understanding.

247 **Conclusions**

248 The ICU nurses in Wuhan showed varying and overlapping SSDs. These SSDs could be
249 classified into three symptom clusters. Based on the characteristics of their SSDs, specific
250 interventions could be implemented to guarantee the health of ICU nurses. Future research
251 should still focus on the change of SSDs through a long term outbreak.

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