



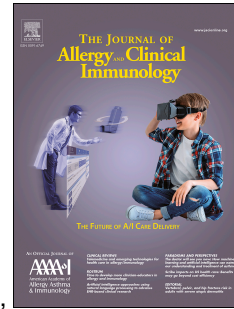
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Journal Pre-proof

Risk factors for severity and mortality in adult COVID-19 inpatients in Wuhan

Xiaochen Li, MD, Shuyun Xu, MD, Muqing Yu, MD, Ke Wang, MD, Yu Tao, MD, Ying Zhou, MD, Jing Shi, MD, Min Zhou, MD, Bo Wu, PhD, Zhenyu Yang, MD, Cong Zhang, MD, Junqing Yue, MD, Zhiguo Zhang, PhD, Harald Renz, MD, Xiansheng Liu, MD, Jungang Xie, MD, Min Xie, MD, Jianping Zhao, MD



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4 Xiaochen Li MD^{*12}; Shuyun Xu MD^{*12}; Muqing Yu MD^{*12}; Ke Wang MD^{*12}; Yu Tao MD^{*12} ;
5 Ying Zhou MD^{*12} ; Jing Shi MD^{*12} ; Min Zhou MD^{*12} ; Bo Wu PhD^{*3}; Zhenyu Yang MD¹²; Cong
6 Zhang MD¹² ; Junqing Yue MD¹² ; Zhiguo Zhang PhD^{*4}; Harald Renz MD⁵ ; Xiansheng Liu
7 MD¹² ; Jungang Xie MD¹² ; Min Xie MD^{†12} ; Jianping Zhao MD^{†12}

8
9 ¹Department of Pulmonary and Critical Care Medicine, Tongji Hospital, Tongji Medical
10 College, Huazhong University of Science and Technology, Wuhan, China.

11 ²Key Laboratory of Respiratory Diseases, National Ministry of Health of the People's Republic of
12 China and National Clinical Research Center for Respiratory Disease, Wuhan, China.

13 ³United Imaging Healthcare Co., Ltd, Wuhan, China.

14 ⁴School of Medicine and Health Management, Tongji Hospital, Tongji Medical
15 College, Huazhong University of Science and Technology, Wuhan, China.

16 ⁵Institute of Laboratory Medicine and Pathobiochemistry, Molecular Diagnostics, Philipps
17 University Marburg University Hospital Giessen and Marburg GmbH

18 *Contributed equally

19 †Joint corresponding authors

20
21 **Corresponding authors:** Prof. Jianping Zhao, Tongji Hospital, 1095 Jiefang Avenue, Wuhan
22 430030, China, E-mail: zhaojp88@126.com; or Prof. Min Xie, Tongji Hospital, 1095 Jiefang
23 Avenue, Wuhan 430030, China; E-mail: xie_m@126.com. Phone +8618602724678

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67 **ABSTRACT**

68 **Background:** In December 2019, COVID-19 outbreak occurred in Wuhan. Data on the clinical
69 characteristics and outcomes of patients with severe COVID-19 are limited.

70 **Objective:** The severity on admission, complications, treatment, and outcomes of COVID-19
71 patients were evaluated.

72 **Methods:** Patients with COVID-19 admitted to Tongji Hospital from January 26, 2020 to
73 February 5, 2020 were retrospectively enrolled and followed-up until March 3, 2020. Potential
74 risk factors for severe COVID-19 were analyzed by a multivariable binary logistic model. Cox
75 proportional hazard regression model was used for survival analysis in severe patients.

76 **Results:** We identified 269 (49.1%) of 548 patients as severe cases on admission. Elder age,
77 underlying hypertension, high cytokine levels (IL-2R, IL-6, IL-10, and TNF- α), and high LDH
78 level were significantly associated with severe COVID-19 on admission. The prevalence of
79 asthma in COVID-19 patients was 0.9%, markedly lower than that in the adult population of
80 Wuhan. The estimated mortality was 1.1% in nonsevere patients and 32.5% in severe cases during
81 the average 32 days of follow-up period. Survival analysis revealed that male, elder age,
82 leukocytosis, high LDH level, cardiac injury, hyperglycemia, and high-dose corticosteroid use
83 were associated with death in patients with severe COVID-19.

84 **Conclusions:** Patients with elder age, hypertension, and high LDH level need careful observation
85 and early intervention to prevent the potential development of severe COVID-19. Severe male
86 patients with heart injury, hyperglycemia, and high-dose corticosteroid use may have high risk of
87 death.

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97 **Clinical Implications**

98 Male, elder age, leukocytosis, high LDH level, cardiac injury, hyperglycemia may be associated
99 with the fatal outcome of patients with severe COVID-19. High-dose corticosteroid use was
100 related to high risk of death.

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102 **Capsule summary**

103 The estimated mortality was 32.5% in patients with severe COVID-19 during the average 32 days
104 of follow-up period. Patients with elder age, hypertension, and high LDH level need careful
105 observation and early intervention for the potential development of severe COVID-19.

106

107 **Key words**

108 COVID-19; SARS-Cov-2; Risk factor; Severity; Mortality

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110 **List of abbreviations:**

111	COVID-19	Coronavirus disease 2019
112	SARS-CoV-2	Severe acute respiratory syndrome coronavirus 2
113	MERS-CoV	Middle East respiratory syndrome coronavirus
114	hsCRP	High sensitivity C-reactive protein
115	ESR	Erythrocyte sedimentation rate
116	LDH	Lactate dehydrogenase
117	NT-proBNP	NT-proB-type natriuretic peptide
118	IQR	Interquartile range
119	OR	Odds ratio
120	CI	Confidence interval
121	HR	Hazard ratio
122	SpO ₂	Oxygen saturation
123	ARDS	Acute respiratory distress syndrome

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127 **Introduction**

128 In December 2019, an outbreak caused by coronavirus disease 2019 (COVID-19) occurred in
129 Wuhan, Hubei Province, China. As of March 22, 306,506 of COVID-19 cases were reported in
130 over a hundred countries worldwide. More than 12 thousand patients died from infection of this
131 new virus (named severe acute respiratory syndrome coronavirus 2, SARS-CoV-2), urging early
132 identification and intervention for severe cases.

133 The SARS-CoV-2 virus, as a betacoronavirus, shares 88% of two bat-derived SARS-like
134 coronaviruses and distances from SARS-CoV (around 79%) and Middle East respiratory
135 syndrome coronavirus (MERS-CoV, around 50%).¹ SARS and MERS epidemics posed threats to
136 global health due to high mortality rates of 9.6% for SARS-CoV and 34.4% for MERS-CoV
137 globally.^{2, 3} Epidemiological data released by the Chinese Center for Disease Control and
138 Prevention showed that 50,005 confirmed cases have been identified in Wuhan and 31,513 in
139 mainland China except Wuhan as of March 22, 2020. The mortality rate of COVID-19 patients
140 was 5.0% in Wuhan, which was close to that in the world (4.2%) and much higher than that in
141 mainland China except Wuhan (2.4%). This study aims to describe and compare the
142 epidemiological, demographic, clinical, laboratory and radiological characteristics as well as the
143 complications, treatment and outcomes of hospitalized patients with nonsevere and severe
144 COVID-19. Potential risk factors for severe COVID-19 and factors associated with death in severe
145 cases were analyzed to provide scientific data for relieve severe cases and reduce mortality.

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157 **Methods**158 **Data source**

159 This study was an ambispective cohort study of consecutive hospitalized patients with COVID-19
160 enrolled at Sino-French New City Branch of Tongji Hospital, Huazhong University of Science and
161 Technology in Wuhan from January 26, 2020 to February 5, 2020. The final date of follow-up was
162 March 3, 2020. Sino-French New City Branch of Tongji hospital is one of the major nationally
163 designated hospitals only providing medical care for adult COVID-19 patients in Wuhan. All
164 cases with COVID-19 enrolled in this study were diagnosed based on the WHO interim guidance⁴
165 and the diagnostic and treatment guideline for COVID-19 issued by Chinese National Health
166 Committee (version 5). Detection of SARS-CoV-2 nucleic acids was shown in text in the Online
167 Repository.⁵ This study was approved by Institutional Review Board of Tongji Hospital,
168 Huazhong University of Science and Technology. Written informed consent was waived in light
169 of the urgent need to collect data.

170 The epidemiological and demographic data were obtained by face-to-face or telephone interview.
171 Clinical symptoms, laboratory, and radiological findings on admission as well as the
172 complications, treatment and outcomes during hospitalization were extracted from electronic
173 medical records. Serum cytokines (IL-1 β , IL-2R, IL-6, IL-8, IL-10 and TNF- α) were measured on
174 admission. Patient data were cross-checked for consistency before final data entry and then
175 entered into a computerized database.

176 The presence of underlying comorbidities was identified based on the International Classification
177 of Diseases and Injuries-10 diagnostic codes. The complications of COVID-19 after admission
178 were assessed and the definitions were shown in text in the Online Repository. Cardiac injury was
179 one of the complications, which was defined as a serum hypersensitive cardiac troponin I level
180 higher than 15.6 pg/ml without acute coronary symptoms or abnormal electrocardiogram. The
181 clinical outcomes were classified into discharge from hospital, in-hospitalization, and death.

182 Severe COVID-19 was defined according to 2019 clinical practice guideline from Infectious
183 Diseases Society of America and American Thoracic Society for diagnosis and treatment of adults
184 with community-acquired pneumonia.⁶ Based on whether or not requiring ventilatory support on

185 admission, severe cases upon admission were divided into two cohorts, severely ill and critically
186 ill cases.

187 **Statistical analysis**

188 The descriptive statistics are median and interquartile range (IQR) for continuous data. The
189 statistics for categorical variables are counts and percentages. Mann-Whitney U test were
190 performed for continuous variables and the χ^2 test and Fisher's exact test were employed for
191 categorical variables as appropriate. Kruskal-Wallis test with the Dunn's multiple comparison
192 were used to compare across groups.

193 Multivariable binary logistic regression analyses were used to assess the association between age,
194 sex, source of infection, underlying comorbidity, number of hospital visit, time from onset to
195 hospitalization, days of fever pre-admission, abnormal laboratory findings and the dependent
196 variable of severity of disease. The odds ratio (OR) along with the 95% confidence interval (95%
197 CI) were reported. Univariable and multivariable analyses to identify factors associated with death
198 from COVID-19 in severe patients were performed by Cox proportional hazards regression model.
199 Considering the total number of deaths (n=87) in our study, nine variables were chosen for
200 multivariate Cox model based on the univariable analysis ($p < 0.05$), previous findings and clinical
201 importance, including sex, age, laboratory findings (blood leukocyte count and lactose
202 dehydrogenase) on admission, the complications (cardiac injury and hyperglycemia) and drug
203 therapy (corticosteroid, lopinavir/ritonavir, and umifenovir) during hospitalization. The hazard
204 ratio (HR) along with the 95% CI were reported. A P value less than 0.05 was regarded as
205 statistically significant. All statistical analyses were performed using SPSS 25.0. Detailed
206 statistical analyses were shown in text and Table E6 in the Online Repository.

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217 **Results**218 **Epidemiological and demographic characteristics**

219 A total of 549 patients with COVID-19 were enrolled, of whom 548 cases were included in the
220 study. One case not meeting inclusion criteria was excluded due to inclusion criteria. Almost half
221 of the patients (49.1%, 269 of 548) were identified as severe cases and 50.9% (279 of 548) were
222 nonsevere cases on admission. 68.7% (347 of 505) of cases were positive for SARS-CoV-2
223 nucleic acid test pre-admission. Comparison of findings between nonsevere and severe cases in
224 the patients with positive viral nucleic acid test pre-admission showed essentially the similar
225 differences to that in the total patients (see Table E1 in the Online Repository).

226 The epidemiological and demographic characteristics are shown in Table 1. 52 (9.5%) of 546
227 patients got the infection in hospital. 45 (8.2%) of 547 patients were health-care workers and 67
228 (12.2%) patients were family members of health-care workers. Nonsevere cases had a higher
229 proportion of health-care workers and family members than severe cases ($P<0.001$). The date of
230 onset of the first reported case with COVID-19 was December 1, 2019.⁷ The median time from
231 December 1, 2019 to the onset of COVID-19 was 54 days, ranging from 19 days to 63 days.

232 The median age of study population was 60 years (IQR 48-69), ranging from 18 years to 95 years,
233 of whom 210 (38.3%) were aged 65 years or older. The patients aged 65 years or older in severe
234 cases were almost twice as nonsevere cases of the same age (50.2% vs. 26.9%, $P<0.001$). Slightly
235 more than half (50.9%) of all patients were male and the proportion of males in severe cases is
236 higher than in nonsevere cases (56.9% vs. 45.2%, $P=0.006$).

237 **Clinical characteristics on admission**

238 19.2% of patients with severe COVID-19 were smokers (Table 1). Compared with nonsevere
239 cases, severe cases exhibited more comorbidities, including chronic obstructive pulmonary disease
240 (4.8% vs. 1.4%, $p=0.026$), coronary heart disease (10.4% vs. 2.2%, $p<0.001$), hypertension (38.7%
241 vs. 22.2%, $p<0.001$), and diabetes (19.3% vs. 11.1%, $p=0.009$) respectively. Only five cases of
242 asthma (0.9%) were identified in total population. 42 (7.7%) of 545 patients regularly took
243 angiotensin-converting enzyme inhibitors or angiotensin II receptor blockers; no significant
244 difference was found between nonsevere and severe cases.

245 Most patients reported at least one of the following symptoms: fever (95.2%), fatigue (47.1%),
246 sore throat (5.1%), cough (75.5%), chest pain (7.5%), dyspnea (56.6%), chest tightness (38.1%),
247 dizziness (10.2%), confusion (3.1%), headache (11.3%), myalgia (20.3%), vomiting (8.2%),
248 diarrhea (32.7%), abdominal pain (2.9%). 6 patients were asymptomatic and diagnosed by CT
249 screening. Duration of fever pre-admission was significantly longer among severe cases compared
250 with that in nonsevere cases ($P=0.031$). Severe cases experienced longer duration from onset to
251 outpatient visit and longer duration from onset to hospitalization compared with nonsevere cases
252 ($p=0.018$ and $p=0.035$, respectively). 64 (11.9%) of 540 patients were treated with corticosteroids
253 delivered by oral or intravenous pre-admission. 304 (56.5%) of 538 patients had received at least
254 one of the following antiviral medications: umifenovir (32.9%), oseltamivir (35.1%),
255 lopinavir/ritonavir (2.4%), and ribavirin (1.5%).

256 **Radiographic and laboratory findings on admission**

257 CT scans for 461 patients were evaluated pre-admission, and showed multilobar pulmonary
258 infiltrates in 436 patients (Table 2). The median time from onset to pneumonia diagnosed by CT
259 scan was 4 days. On admission, oxygen saturation (SpO₂) less than 93.1% on room air presented
260 in 33.3% of all patients, of whom 163 (89%) were severe cases. 90.2% of all patients experienced
261 lymphopenia (<1500 cells per mm³) and 29.1% of all patients had thrombocytopenia ($<150,000$
262 cells per mm³). Compared with nonsevere cases, inflammation-related marker levels (hsCRP, ESR,
263 and ferritin) were significantly higher in severe cases. The levels of procalcitonin, globulin, lactate
264 dehydrogenase (LDH), NT-proB-type natriuretic peptide (NT-proBNP), d-dimer, alanine
265 aminotransferase, aspartate aminotransferase, total bilirubin, conjugated bilirubin, blood urea
266 nitrogen, and creatinine were elevated in 9.5%, 40.4%, 73.6%, 27.5%, 67.4%, 23.1%, 33.1%,
267 4.4%, 9.2%, 15.8%, and 27.1% of all patients, respectively. Serum cytokine levels of IL-2R, IL-6,
268 IL-10, and TNF- α were significantly higher in severe patients than those in nonsevere patients (all
269 $P<0.01$).

270 **Subgroup analysis**

271 Of the 269 severe cases, 46 were classified as critically ill for requiring respiratory support.
272 Compared with severely ill cases, the time from December 1, 2019 to onset was shorter and the
273 time from onset to outpatient visit was longer in critically ill cases (see Table E2 in the Online
274 Repository). There were no significant differences in age and underlying comorbidities between

275 severely ill and critically ill cases. More abnormal laboratory findings (such as high leukocyte,
276 high procalcitonin, high NT-proBNP, high LDH, high d-dimer, low albumin, and high creatinine)
277 were observed in critically ill cases compared with severely ill cases (all $P<0.05$). 34.1% of
278 critically ill patients received systemic corticosteroids pre-admission, the proportion of whom was
279 significantly higher than that in severely ill cases (12.2%, $P<0.001$).

280 Compared with nonsevere cases, systemic corticosteroid use pre-admission was more common in
281 severe cases with larger cumulative dose and longer duration ($P=0.007$; $P<0.001$; $P<0.001$;
282 respectively). Stratification of patients by corticosteroid exposure is presented in Table E3 in the
283 Online Repository. Severe patients treated with corticosteroids had higher LDH level compared
284 with severe patients without corticosteroid use pre-admission ($P<0.05$).

285 Nonsevere cases were more likely to receive antiviral drugs pre-admission, including umifenovir
286 and oseltamivir ($P<0.001$ and $P=0.005$; respectively). In severe case subgroup, the patients
287 receiving umifenovir were younger than those without umifenovir use ($P<0.05$). A comparison of
288 baseline demographic and clinical characteristics between patients with and without antiviral drug
289 use revealed no marked difference in SpO₂ or laboratory findings in both nonsevere and severe
290 case subgroups (see Table E4 and E5 in the Online Repository).

291 **Risk factors for severe cases on admission**

292 In the final logistic regression model, variables such as age 65 or older (OR 2.2; 95% CI 1.5-3.5),
293 hypertension (OR 2.0; 95% CI 1.3-3.2), LDH >445 U/L (OR 4.4; 95% CI 2.6-7.6), and
294 d-dimer >1 mg/L (OR 2.2; 95% CI 1.4-3.3) were significantly associated with severe cases with
295 COVID-19 (Figure 1).

296 **Complications, treatment and clinical outcomes during hospitalization and follow-up**

297 In the follow-up period, the complications of COVID-19 were assessed, including acute
298 respiratory distress syndrome (ARDS) (38.3%), cardiac injury (21.7%), liver dysfunction (19.3%),
299 acute kidney injury (17.3%), bacteremia (7.7%), diffuse intravascular coagulation (7.7%), and
300 hyperglycemia (33.2%) (Table 3). All the above-mentioned complications were more common in
301 severe cases, compared with nonsevere cases (all $P<0.05$).

302 Antiviral drugs were used specifically to treat COVID-19 during hospitalization, including
303 lopinavir/ritonavir (29.9%), umifenovir (73.2%), oseltamivir (40.3%), ribavirin (5.3%), and
304 interferon α nebulization (30.7%). Antiviral drug uses were more common in nonsevere cases than

305 those in severe cases except for ribavirin. 341 (62.2%) of 548 patients were administered with
306 systemic corticosteroids with a medium duration of 4 days and medium cumulative dose
307 equivalent to 200 mg prednisone. Of the 548 patients, 355 (64.8%) required oxygen support
308 during hospitalization, including nasal cannula or mask (41.6%), high-flow oxygen therapy (4.4%),
309 noninvasive mechanical ventilation (14.2%), and invasive mechanical ventilation (4.6%).
310 Mortality rates for COVID-19 were estimated to be 1.1% (3 of 277) in nonsevere patients and 32.5%
311 (87 of 268) in severe cases during the average 32 days of follow-up. 72.9% of nonsevere cases and
312 31.7% of severe cases were discharged from hospital.

313 **Factors associated with death in severe cases**

314 Multivariable Cox proportional hazards regression analysis revealed that male (adjusted HR 1.7;
315 95% CI 1.0-2.8), age 65 or older (adjusted HR 1.7; 95% CI 1.1-2.7), blood leukocyte count >10
316 cells per mm³ (adjusted HR 2.0; 95% CI 1.3-3.3) and LDH >445 U/L (adjusted HR 2.0; 95% CI
317 1.2-3.3) at admission, cardiac injury (adjusted HR 2.9; 95% CI 1.8-4.8), hyperglycemia (adjusted
318 HR 1.8; 95% CI 1.1-2.8), and administration of high-dose corticosteroids (adjusted HR 3.5; 95%
319 CI 1.8-6.9) during hospitalization were significant risk factors associated with death in severe
320 COVID-19 cases. Lopinavir/ritonavir (adjusted HR 0.4; 95% CI 0.2-0.9) and umifenovir (adjusted
321 HR 0.5; 95% CI 0.3-0.8) were associated with lower death in severe COVID-19 patients. The
322 adjusted Kaplan-Meier estimates of survival for sex, age, sex, leukocyte, LDH, corticosteroid use,
323 lopinavir/ritonavir use, and umifenovir use were shown in Figure E1-7 in the Online Repository.

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337 **Discussion**

338 This study provided a comprehensive data on the epidemiological, demographic, clinical,
339 laboratory, and radiological characteristics as well as the complications, treatment, and outcomes
340 of hospitalized patients with nonsevere and severe COVID-19 in Wuhan. Almost half of the
341 patients in this study were identified as severe cases, which may differ from the results of the
342 previous studies.⁸ The proportion of patients aged 65 years or older were higher in our study than
343 in Nanshan Zhong's study (38.8% vs. 15.1%, respectively).⁹ The time from December 1, 2019 to
344 the onset of most patients was longer than 50 days. During mid-January to early February, Wuhan
345 experienced the highest peak of COVID-19 outbreak, with a family cluster and high prevalence of
346 COVID-19 in older adults. Longer wait for access to medical care was observed in severe cases
347 compared with that in nonsevere cases. More than half of the patients experienced at least two
348 hospital visits, which may increase the risk of nosocomial transmission events. Diagnosis and
349 treatment may be delayed due to the long wait for access to medical care. Severe COVID-19
350 patients would likely develop ARDS and died of respiratory failure. Although there are currently
351 no effective antiviral drugs for SARS-CoV-2, prompt identification and early respiratory support
352 would relieve severe cases and reduce mortality. The severity of disease in patients with initial
353 positive nucleic acid test was similar to that of all COVID-19 patients. We thus propose that
354 urgent timely diagnosis is crucial, and that early intervention should not be delayed based on
355 nucleic acid test.

356 There are six coronavirus species currently known to cause human infection. SARS-CoV-2 was
357 most closely related to SARS-CoV through phylogenetic analysis and was revealed to share a
358 similar receptor, ACE-2, to SARS-CoV.¹ This fact hints that COVID-19 may partly mimic SARS
359 infection. The autopsy results of SARS patients showed that high levels of proinflammatory
360 cytokines were expressed in ACE-2-expressing cells infected by SARS-CoV.¹⁰ Plasma cytokine
361 profiles of SARS patients showed Th1-dominated responses with markedly elevated
362 proinflammatory cytokine levels (INF- γ , IL-1 β , IL-6, IL-8, IL-12, and TNF- α) and were
363 associated with the development of ARDS.¹¹⁻¹³ In our study, severe COVID-19 patients had
364 significantly higher levels of Th1 cytokines (IL-6 and TNF- α) and higher incidence rate of ARDS,

365 compared with nonsevere cases. Interestingly, the prevalence of asthma in COVID-19 patients
366 (0.9%) in our study was markedly lower than that reported in the adult population of Wuhan
367 (6.4%).¹⁴⁻¹⁶ We thus speculate that Th2 immune response in asthmatic patients may counter the
368 inflammation process induced by SARS-CoV-2 infection. Further studies are required to
369 characterize the immune response and inflammation features of COVID-19.

370 The majority of severe patients showed rapid progression and multiple organ dysfunction. The
371 median time from onset to pneumonia diagnosed by CT scan was only 4 days. Approximately one
372 third of the patients experienced gastrointestinal symptoms. During hospitalization, a substantial
373 proportion of patients presented cardiac injury, liver and kidney dysfunction, and hyperglycemia.
374 It was proved that the fecal and urine samples and rectal swabs of COVID-19 patients were
375 positive for SARS-CoV-2 nucleic acids.⁹ ACE-2 was reported to be expressed in small intestinal
376 epithelial cells, cholangiocytes, and the pancreas,¹⁷⁻¹⁹ indicating that SARS-CoV-2 infection may
377 induce the multi-organ injury in COVID-19 patients. The shorter duration from December 1, 2019
378 to onset in critically ill cases than that in severely ill cases may reflect a higher virulence of
379 SARS-Cov-2, or earlier onset of COVID-19.

380 The risk factors for severity identified in this study included age, high LDH level, and high
381 d-dimer level, consistent with those in previous reports.^{15, 20} However, different from the findings
382 of previous studies²¹, hypertension was the only comorbidity associated with the severity of
383 COVID-19 after adjustment for age, sex and smoking status. The distinct features of pneumonia
384 and high severity in patients with COVID-19 in this study may lead to this difference from
385 previous reports. ACE2, a gateway to SARS, was reported to be a protective factor against
386 SARS-CoV-induced lung injury.^{22, 23} The association between ACE2 expression and hypertension
387 was confirmed in a previous study.²⁴ This fact may partly explain the high prevalence of severe
388 COVID-19 in patients with hypertension. LDH has been recognized as a marker for severe
389 prognosis in various diseases, including cancer and infection.²⁵ The high LDH level in COVID-19
390 in severe cases suggested that LDH may be associated with lung injury and tissue damage,
391 warranting an investigation for the potential mechanism.

392 This study evaluated pre-admission medications for patients with severe COVID-19. Although the
393 proportion of nonsevere cases in patients receiving oseltamivir was higher than that in patients
394 without oseltamivir use, stratification analysis showed that there was no significant difference in

395 hypoxia between patients with and without oseltamivir use either in the severe case or nonsevere
396 case subgroup. Therefore, oseltamivir use may just be an indicator of disease severity. The
397 patients receiving umifenovir were younger than those without umifenovir use, indicating that
398 younger patients may have easier access to drugs or prefer umifenovir.

399 Older age, leukocytosis and high LDH level were reported to be risk factors associated with
400 in-hospital death in previous studies.^{20, 26, 27} The present study also revealed that hyperglycemia
401 was related with increased mortality in COVID-19 patients. The prevalence of hyperglycemia may
402 be associated with underlying diabetes and corticosteroid therapy. However, the localization of
403 ACE2 expression in the pancreas in SARS was reported to damage islets, resulting in
404 hyperglycemia¹⁹; this finding suggested that hyperglycemia may also be an indicator of severe
405 COVID-19.

406 This study indicated that corticosteroid use was more common in severe cases than in nonsevere
407 cases and that high-dose corticosteroid use was related to high risk of death in severe COVID-19
408 patients. High-dose steroid use may be an indicator of disease severity rather than a predisposing
409 factor. In a previous study, treatment with methylprednisolone was shown to be beneficial for
410 COVID-19 patients who developed ARDS.²⁰ However, critically ill cases had more signs of
411 infection and abnormal laboratory findings, including high leukocyte, high procalcitonin, high
412 d-dimer, low albumin, and high creatinine levels. High-dose corticosteroid use should be used
413 with cautious in critically ill patients to avoid aggravating complications.

414 A recent study by Bin Cao et al showed that lopinavir/ritonavir treatment offered no significant
415 benefit over the standard care for hospitalized adult COVID-19 patients.²⁸ Cao's study also
416 reported that lopinavir/ritonavir led to a shorter median time to clinical improvement than the
417 standard care (HR 1.39; 95% CI 1.00 to 1.91) in a modified intention-to-treat analysis. Compared
418 with Cao's study, the severity of patients was more serious and lopinavir/ritonavir treatment was
419 associated with a lower risk of death in severe COVID-19 patients in this study. However, our
420 study was an observational study; thus, the benefit of lopinavir/ritonavir for severe COVID-19
421 patients needs to be further confirmed.

422 There were limitations to the current study. Firstly, epidemiological data were collected
423 respectively and recall bias might have occurred. Secondly, missing data on some variables, such
424 as detailed information of CT scan, may cause bias in the estimation and reduce the

425 representativeness of the samples. Thirdly, laboratory findings were measured upon admission and
426 may indicate the severity of COVID-19. The causal relationship between abnormal laboratory
427 findings and severity could not be determined. Fourthly, this study was an observational study
428 with limitations in terms of evaluating the efficacy of corticosteroids and antiviral drugs. Finally,
429 the absence of comparative data from COVID-19 patients not admitted or from other critically ill
430 patients were limitations of this study.

431 In conclusion, COVID-19 outbreak caused widespread concern and has threatened the global
432 public health security. Recent evidence of possible fecal-oral transmission in SARS-Cov-2
433 infection, asymptomatic infection,^{8, 29, 30} and positive result for SARS-Cov-2 test in recovered
434 patients,³¹ warrant aggressive measures to suppress and prevent epidemic spreading, such as
435 hygiene maintenance, early screening and intervention, and self-isolation after recovery. As a
436 major transportation hub of China, Wuhan faced increased difficulties in outbreak control. Efforts
437 to control COVID-19 need to take into account globalization processes.³² Severe male patients
438 with heart injury, hyperglycemia, and high-dose corticosteroid use may have high risk of death.

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Figure legend

577 **Figure 1. The effect of various potential risk factors on patients with severe COVID-19 at**
578 **admission.**

579 OR=odds ratio. CI=confidence interval.

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Table 1. Epidemiological, demographic and clinical characteristics of hospitalized patients with COVID-19

	All patients (n=548)	Nonsevere (n=279)	Severe (n=269)	p value	
Age, years	60 (48-69)	56 (44-66)	65 (54-72)	0.000	
	0-44	107/548 (19.5%)	75/279 (26.9%)	32/269 (11.9%)	0.000
	45-64	231/548 (42.2%)	129/279 (46.2%)	102/269 (37.9%)	
	≥65	210/548 (38.3%)	75/279 (26.9%)	135/269 (50.2%)	
Male	279/548 (50.9%)	126/279 (45.2%)	153/269 (56.9%)	0.006	
Body mass index, kg/m ²	24.7 (22.4-26.7)	24.5 (22.4-26.0)	25.3 (22.4-27.6)	0.257	
Source of infections					
	Household contact	494/546 (90.5%)	245/278 (88.1%)	249/268 (92.9%)	0.060
	Hospital-acquired infections	52/546 (9.5%)	33/278 (11.9%)	19/268 (7.1%)	
Disease risk					
	Health-care workers	45/547 (8.2%)	36/279 (12.9%)	9/268 (3.4%)	0.000
	Family member of health-care workers	67/547 (12.2%)	42/279 (15.1%)	25/268 (9.3%)	
	Not health-care workers or their family members	435/547 (79.5%)	201/279 (72.0%)	234/268 (87.3%)	
Time of onset ^a , days	54 (51-56)	54 (52-56)	54 (51-56)	0.394	
Time from onset to outpatient visit, days	3 (1-6)	3 (1-5)	4 (1-7)	0.018	
	0-3	283/522 (54.2%)	158/270 (58.5%)	125/252 (49.6%)	0.044
	>3	239/522 (45.8%)	112/270 (41.5%)	127/252 (50.4%)	
Time from onset to hospitalization, days	10 (7-12)	9 (7-12)	10 (7-12)	0.035	
Number of hospital visit ≥2	307/548 (56.0%)	144/279 (51.6%)	163/269 (60.6%)	0.039	
Smoking history					
	Never smokers	452/544 (83.1%)	238/279 (85.3%)	214/265 (80.8%)	0.051
	Former smokers	51/544 (9.4%)	18/279 (6.5%)	33/265 (12.5%)	
	Current smokers	41/544 (7.5%)	23/279 (8.2%)	18/265 (6.8%)	
Underlying comorbidity					
	Chronic obstructive pulmonary disease	17/548 (3.1%)	4/279 (1.4%)	13/269 (4.8%)	0.026

Asthma	5/548 (0.9%)	2/279 (0.7%)	3/269 (1.1%)	0.681
Tuberculosis	9/548 (1.6%)	5/279 (1.8%)	4/269 (1.5%)	1.000
Diabetes	83/548 (15.1%)	31/279 (11.1%)	52/269 (19.3%)	0.009
Hypertension	166/548 (30.3%)	62/279 (22.2%)	104/269 (38.7%)	0.000
Coronary heart disease	34/548 (6.2%)	6/279 (2.2%)	28/269 (10.4%)	0.000
Hepatitis B	5/548 (0.9%)	3/279 (1.1%)	2/269 (0.7%)	1.000
Chronic kidney disease	10/547 (1.8%)	4/278 (1.4%)	6/269 (2.2%)	0.539
Tumor	24/513 (4.7%)	10/256 (3.9%)	14/257 (5.5%)	0.531
Previous drugs use				
ACEI/ARB	42/545 (7.7%)	23/279 (8.2%)	19/266 (7.1%)	0.748
Systemic corticosteroids	6/548 (1.1%)	4/279 (1.4%)	2/269 (0.7%)	0.686
Inhaled corticosteroids	5/548 (0.9%)	3/279 (1.1%)	2/269 (0.7%)	1.000
Antibiotics	7/548 (1.3%)	3/279 (1.1%)	4/269 (1.5%)	0.720
Anticoagulants	16/547 (2.9%)	5/278 (1.8%)	11/269 (4.1%)	0.132
Immunosuppressant drugs	5/548 (0.9%)	3/279 (1.1%)	2/269 (0.7%)	1.000
Antiviral drugs	2/548 (0.4%)	1/279 (0.4%)	1/269 (0.4%)	1.000
Symptoms				
Fever of pre-admission	476/500 (95.2%)	248/260 (95.4%)	228/240 (95.0%)	1.000
Highest temperature, °C	38.8 (38.2-39)	38.8 (38-39)	38.8 (38.4-39)	0.416
Duration, days	9 (6-11)	8.5 (6-11)	10 (7-12)	0.031
Fatigue	258/548 (47.1%)	128/279 (45.9%)	130/269 (48.3%)	0.608
Sore throat	28/548 (5.1%)	17/279 (6.1%)	11/269 (4.1%)	0.335
Cough	415/548 (75.7%)	212/279 (76.0%)	203/269 (75.5%)	0.921
Chest pain	41/548 (7.5%)	25/279 (9.0%)	16/269 (6.0%)	0.197
Dyspnea	310/548 (56.6%)	112/279 (40.1%)	198/269 (73.6%)	0.000
Chest tightness	162/425 (38.1%)	86/245 (42.2%)	76/180 (38.1%)	0.157
Dizziness	56/548 (10.2%)	29/279 (10.4%)	27/269 (10.0%)	1.000
Confusion	17/548 (3.1%)	1/279 (0.4%)	16/269 (6.0%)	0.000
Headache	62/548 (11.3%)	37/279 (13.3%)	25/269 (9.3%)	0.177
Myalgia	111/548 (20.3%)	62/279 (22.2%)	49/269 (18.2%)	0.288
Vomiting	45/548 (8.2%)	25/279 (9.0%)	20/269 (7.4%)	0.537
Diarrhea	179/548 (32.7%)	94/279 (33.7%)	85/269 (31.6%)	0.649
Abdominal pain	16/548 (2.9%)	4/279 (1.4%)	12/269 (4.5%)	0.043
Administration of systemic corticosteroids				
pre-admission	64/540 (11.9%)	22/274 (8.0%)	42/266 (15.8%)	0.007
Duration, days	1 (0-3)	0 (0-1)	2.5 (1-4)	0.000
Cumulative dose ^b , mg	50 (0-150)	0 (0-66.7)	100 (50-187.5)	0.000
Administration of antiviral drugs				
pre-admission				
Lopinavir/ritonavir	13/541 (2.4%)	10/276 (3.6%)	3/265 (1.1%)	0.089
Umifenovir	177/538 (32.9%)	113/274 (41.2%)	64/264 (24.2%)	0.000
Oseltamivir	189/538 (35.1%)	112/274 (40.9%)	77/264 (29.2%)	0.005
Ribavirin	8/538 (1.5%)	2/274 (0.7%)	6/264 (2.3%)	0.169

623 available data. P values comparing nonsevere cases and severe cases are from χ^2 test, Fisher's exact test,
 624 or Mann-Whitney U test.

625 ^a Days from December 1, 2019 to the date of onset.

626 ^b Equivalent doses of prednisone.

627 ACEI/ARB=angiotensin-converting enzyme inhibitors or angiotensin II receptor blockers.

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637 **Table 2. Radiographic and laboratory findings of patients with COVID-19**

	All patients (n=548)	Nonsevere (n=279)	Severe (n=269)	p value
CT findings pre-admission				
Negative	4/461 (0.9%)	4/228 (1.8%)	0	0.032
Unilobar lesion	21/461 (4.6%)	14/228 (6.1%)	7/233 (3.0%)	
Multilobar lesion	436/461 (94.6%)	210/228 (92.1%)	226/233 (97.0%)	
Time from onset to pneumonia diagnosed by CT scan, days	4 (2-7)	4 (2-6)	4 (2-7)	0.258
SARS-CoV-2 nucleic acid test*				
Positive	347/505 (68.7%)	180/270 (66.7%)	167/235 (71.1%)	0.503
Suspected positive	41/505 (8.1%)	22/270 (8.1%)	19/235 (8.1%)	
Negative	117/505 (23.2%)	68/270 (25.2%)	49/235 (20.9%)	
SpO ₂ , %				
≤93	182/546 (33.3%)	19/278 (6.8%)	163/268 (60.8%)	0.000
>93	364/546 (66.7%)	259/278 (93.2%)	105/268 (39.2%)	
Blood leukocyte count, × 10 ⁹ /L				
>10	63/542 (11.6%)	8/275 (2.9%)	55/267 (20.6%)	0.000
<4	130/542 (24.0%)	84/275 (30.5%)	46/267 (17.23%)	0.000
Neutrophil count, × 10 ⁹ /L				
>6.5	118/542 (21.8%)	22/275 (8.0%)	96/267 (36.0%)	0.000
≤2.0	67/542 (12.4%)	50/275 (18.2%)	17/267 (6.4%)	0.000
Lymphocyte count, × 10 ⁹ /L				
<1.5	489/542 (90.2%)	234/275 (85.1%)	255/267 (95.5%)	0.000
≤0.5	85/542 (15.7%)	21/275 (7.6%)	64/267 (24.0%)	0.000
Platelet count <150 × 10 ⁹ /L	157/539 (29.1%)	68/274 (24.8%)	89/265 (33.6%)	0.029
High sensitive c-reactive protein, mg/L				
> 10	460/540 (85.2%)	205/272 (75.4%)	255/268 (95.2%)	0.000
> 100	138/540 (25.6%)	40/272 (14.7%)	98/268 (36.6%)	0.000
Procalcitonin > 0.5 ng/ml	46/486 (9.5%)	3/249 (1.43%)	43/237 (18.9%)	0.000

Erythrocyte sedimentation rate > 20 mm/h	377/518 (72.8%)	179/264 (67.8%)	198/254 (78.0%)	0.010	
Ferritin >500 µg/L	211/313 (67.4%)	95/171 (55.9%)	116/142 (81.7%)	0.000	
D-dimer >1 mg/L	227/501 (45.3%)	78/254 (31.1%)	149/247 (56.4%)	0.000	
NT-proB-type natriuretic peptide >500 pg/L	92/335 (27.5%)	17/136 (13.3%)	75/199 (37.9%)	0.000	
Lactate dehydrogenase, U/L					
	>250	393/534 (73.6%)	162/272 (59.6%)	231/262 (88.2%)	0.000
	>445	133/534 (24.9%)	25/272 (9.2%)	108/262 (41.2%)	0.000
Globulin >35 g/L	218/540 (40.4%)	88/275 (32.0%)	130/265 (49.1%)	0.000	
Albumin ≤35 g/L	320/541 (59.1%)	126/275 (45.8%)	194/266 (72.9%)	0.000	
Alanine aminotransferase >40 U/L	125/541 (23.1%)	61/275 (22.3%)	64/266 (24.1%)	0.683	
Aspartate aminotransferase >40 U/L	179/540 (33.1%)	64/275 (23.3%)	115/265 (43.4%)	0.000	
Total bilirubin >21 µmol/L	24/541 (4.4%)	7/275 (2.3%)	17/266 (6.4%)	0.036	
Conjugated bilirubin >8 µmol/L	50/541 (9.2%)	17/275 (6.3%)	33/266 (12.6%)	0.017	
Blood urea nitrogen >7.5 mmol/L	85/539 (15.8%)	18/273 (6.6%)	67/266 (25.2%)	0.000	
Creatinine >85 µmol/L	146/539 (27.1%)	61/273 (22.3%)	85/266 (32.0%)	0.015	
IL-1β > 5 ng/L	51/306 (16.7%)	34/170 (20.0%)	17/136 (12.5%)	0.091	
IL-2R >710 U/mL	164/309 (53.1%)	73/171 (42.7%)	91/138 (65.9%)	0.000	
IL-6 >7 ng/L	221/312 (70.8%)	107/175 (61.1%)	114/137 (83.2%)	0.000	
IL-8 >62 ng/L	24/309 (7.8%)	10/171 (5.9%)	14/137 (10.1%)	0.200	
IL-10 >9.1 ng/L	83/307 (27.0%)	34/170 (20.0%)	49/170 (35.8%)	0.003	
TNF-α >8.1 ng/L	182/309 (58.9%)	89/171 (52.1%)	93/138 (67.4%)	0.008	
Proteinuria	200/330 (60.6%)	98/193 (50.8%)	102/137 (74.5%)	0.000	

638 Data are expressed as median (IQR), n (%), or n/N (%), where N is the total number of patients with
639 available data. P values comparing nonsevere cases and severe cases are from χ^2 test, Fisher's exact test,
640 or Mann-Whitney U test. IL=Interleukin. TNF=Tumor necrosis factor.

641 * SARS-CoV-2 nucleic acid test was performed pre-admission.

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Table 3. Complications and treatment during hospitalization and clinical outcomes of COVID-19 patients

	All patients (n=548)	Nonsevere (n=279)	Severe (n=269)	p value
Complications				
ARDS	210/548 (38.3%)	27/279 (9.7%)	183/269 (68.0%)	0.000
Cardiac injury	119/548 (21.7%)	25/279 (9.0%)	94/269 (34.9%)	0.000
Liver dysfunction	106/548 (19.3%)	44/279 (15.8%)	62/269 (23.0%)	0.040
Acute kidney injury	95/548 (17.3%)	33/279 (11.8%)	62/269 (23.0%)	0.001
Bacteremia	42/548 (7.7%)	4/279 (1.4%)	38/269 (14.1%)	0.000
DIC	42/548 (7.7%)	5/279 (1.8%)	37/269 (13.8%)	0.000
Hyperglycemia	182/548 (33.2%)	60/279 (21.5%)	122/269 (45.4%)	0.000
Administration of systemic corticosteroids	341/548 (62.2%)	145/279 (52.0%)	196/269 (72.9%)	0.000
Duration, days	4 (0-11)	1 (0-10)	5 (0-12)	0.000
Cumulative dose, mg	200 (0-450)	50 (0-400)	295 (0-575)	0.000
Administration of antiviral drugs				
Lopinavir/ritonavir	164/548 (29.9%)	91/279 (32.6%)	73/269 (27.1%)	0.163
Umifenovir	401/548 (73.2%)	222/279 (79.6%)	179/269 (66.5%)	0.001
Oseltamivir	221/548 (40.3%)	127/279 (45.5%)	94/269 (34.9%)	0.015
Ribavirin	29/548 (5.3%)	8/279 (2.9%)	21/269 (7.8%)	0.012
Interferon α nebulization	168/548 (30.7%)	97/279 (34.8%)	71/269 (26.4%)	0.041
Intravenous Immunoglobulin	213/548 (38.9%)	103/279 (36.9%)	110/269 (40.9%)	0.381
Vasopressor	79/548 (14.4%)	5/279 (1.8%)	74/269 (27.5%)	0.000
Oxygen therapy	355/548 (64.8%)	131/279 (47.0%)	224/269 (83.3%)	0.000
Nasal cannula or mask	228/548 (41.6%)	118/279 (42.3%)	110/269 (40.9%)	0.000
High-flow oxygen therapy	24/548 (4.4%)	2/279 (0.7%)	22/269 (8.2%)	
Noninvasive mechanical ventilation	78/548 (14.2%)	10/279 (3.6%)	68/269 (25.3%)	
Invasive mechanical ventilation	25/548 (4.6%)	1/279 (0.4%)	24/269 (8.9%)	
Continuous renal replacement therapy	2/548 (0.4%)	0	2/269 (99.3%)	0.241
Clinical outcomes				
Discharge from hospital	287/545 (52.7%)	202/277 (72.9%)	85/268 (31.7%)	0.000

In-hospitalization	168/545 (30.8%)	72/277 (26.0%)	96/268 (35.8%)
Death	90/545 (16.5%)	3/277 (1.1%)	87/268 (32.5%)

676 Data are expressed as median (IQR), n (%), or n/N (%), where N is the total number of patients with
677 available data. P values comparing nonsevere cases and severe cases are from χ^2 test, Fisher's exact test,
678 or Mann-Whitney U test. ARDS=acute respiratory distress syndrome. DIC=diffuse intravascular
679 coagulation.

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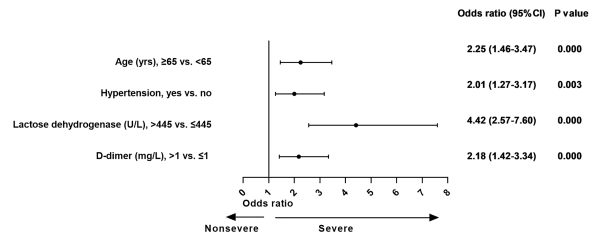
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688 **Table 4. Unadjusted and adjusted cox proportional hazards regression model for death among**
689 **severe COVID-19 patients**

Variable	Unadjusted HR	95% CI	p value	Adjusted HR	95% CI	p value
Sex, male vs. female	1.96	1.24-3.11	0.004	1.72	1.05-2.82	0.032
Age, ≥ 65 years vs. < 65 years	1.69	1.09-2.59	0.018	1.72	1.09-2.73	0.021
Blood leukocyte count, $> 10 \times 10^9 / L$ vs. $\leq 10 \times 10^9 / L$	3.85	2.50-5.93	0.000	2.04	1.26-3.31	0.004
Lactose dehydrogenase, > 445 U/L vs. ≤ 445 U/L	3.94	2.48-6.28	0.000	2.00	1.21-3.30	0.007
Complications						
Cardiac injury	3.89	2.52-6.01	0.000	2.92	1.80-4.76	0.000
Hyperglycemia	2.49	1.61-3.87	0.000	1.77	1.11-2.84	0.017
Treatment						
Corticosteroids			0.000			0.000
No steroid (ref)						
Low dose*	1.07	0.57-2.01	0.825	1.26	0.61-2.580	0.534
High dose#	3.32	1.85-5.97	0.000	3.50	1.79-6.86	0.000
Lopinavir/ritonavir	0.26	0.13-0.52	0.000	0.43	0.21-0.89	0.022
Umifenovir	0.46	0.30-0.71	0.000	0.54	0.34-0.84	0.007

690 P values are from cox proportional hazards regression model. The final model was adjusted for sex, age,
691 blood leukocyte count, lactose dehydrogenase, cardiac injury, hyperglycemia, and administration of
692 corticosteroids, lopinavir/ritonavir, and umifenovir. * Low dose of steroid indicates that the maximum
693 dose was less than 1 mg/kg/d prednisone. # High dose of steroid indicates that the maximum dose was
694 equivalent to or more than 1 mg/kg/d prednisone. CI=confidence interval. HR=hazard ratio.



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