

Smoking is Associated with COVID-19 Progression: A Meta-Analysis

Roengrudee Patanavanich^{1,2} MD LLM PhD
Stanton A. Glantz¹ PhD

¹Center for Tobacco Control Research and Education
Department of Medicine
University of California San Francisco
San Francisco, CA, U.S.A. 94143-1390
²Department of Community Medicine
Faculty of Medicine Ramathibodi Hospital
Mahidol University, Thailand

Abstract: 223 words

Text: 996 words

Tables: 1

Figures: 1

References: 22

Corresponding author:

Stanton Glantz, PhD

Center for Tobacco Control Research and Education

530 Parnassus Avenue, Suite 366

University of California, San Francisco

San Francisco, CA, U.S.A. 94143-1390

415-476-3893

Stanton.Glantz@ucsf.edu

ABSTRACT

Objective: To determine the association between smoking and progression of COVID-19.

Design: A meta-analysis of 12 published papers.

Data Source: PubMed database was searched on April 6, 2020.

Eligibility criteria and data analysis: We included studies reporting smoking behavior of COVID-19 patients and progression of disease. Search terms included “smoking”, “smoker*”, “characteristics”, “risk factors”, “outcomes”, and “COVID-19”, “COVID”, “coronavirus”, “sar cov-2”, “sar cov 2”. There were no language limitations. One author extracted information for

each study, screened the abstract or the full text, with questions resolved through discussion among both authors. A random effects meta-analysis was applied.

Main Outcome Measures: The study outcome was progression of COVID-19 among people who already had the disease.

Results: We identified 12 papers with a total of 9,025 COVID-19 patients, 878 (9.7%) with severe disease and 495 with a history of smoking (5.5%). The meta-analysis showed a significant association between smoking and progression of COVID-19 (OR 2.25, 95% CI 1.49-3.39, $p=0.001$). Limitations in the 12 papers suggest that the actual risk of smoking may be higher.

Conclusions: Smoking is a risk factor for progression of COVID-19, with smokers having higher odds of COVID-19 progression than never smokers. Physicians and public health professionals should collect data on smoking as part of clinical management and add smoking cessation to the list of practices to blunt the COVID-19 pandemic.

What is already known on this topic

- Smoking increases risk and severity of pulmonary infections because of damage to upper airways and a decrease in pulmonary immune function.

What this study adds

- Smoking is associated with COVID-19 severity.
- Smoking history should be part of clinical management of COVID-19 patients and cessation should be added to the list of practices to blunt the COVID-19 pandemic.

Introduction

COVID-19, the coronavirus-transmitted infectious disease, has caused a worldwide pandemic. Smoking^{1 2} and e-cigarette use³ increase risk and severity of pulmonary infections because of damage to upper airways and a decrease in pulmonary immune function. In particular, smokers have a higher risk of infection and mortality from Cov-MERS.⁴ Two reviews^{5 6} of the first 5 papers presenting data on smoking and COVID-19 reached different conclusions. Another review described 6 published case series presenting data on smoking among COVID-19 patients but did not draw a conclusion about the association of severity of COVID-19 with smoking.⁷ We reviewed and summarized 12 papers presenting data on the association between smoking and severity of COVID-19.

Methods

We conducted a systematic search using PubMed database on April 6, 2020, with the search term: ((smoking) OR (characteristics) OR (risk factors) OR (outcomes) OR (smoker*)) AND ((COVID-19) OR (COVID) OR (coronavirus) OR (sars cov-2) OR (sars cov 2)) for studies published between January 1, 2020 and April 6, 2020. There were no language restrictions. A total of 396 studies were retrieved through the search, of which 12, 10 from China,⁸⁻¹⁷ 1 from Korea,¹⁸ and 1 from the US,¹⁹ included data on smoking behavior and COVID-19 disease progression (Table 1). Ten studies^{8 10-18} were based on hospitalized patients and two^{9 19} included both hospitalized patients and outpatients.

The exposure group is those who had a history of smoking (current smokers or former smokers) and unexposed group was never smokers. Five studies^{8 10 12 14 17} assessed whether the patient was a “current smoker,” three studies^{9 16 19} assessed whether the patient was a current or

former smoker (as separate categories), and four studies^{11 13 15 18} assessed whether the patient had a “history of smoking” (current or former).

Outcome is progression of COVID-19 to more severe or critical conditions or death (Table 1). Two studies^{14 16} categorized the outcome as severe (respiratory distress with respiratory rate ≥ 30 /min, or oxygen saturation $\leq 93\%$ at rest, or oxygenation index ≤ 300 mmHg, based on the diagnostic and treatment guideline for SARS-CoV-2 issued by Chinese National Health Committee¹⁶) or mild, three^{8 11 12} categorized the outcome as progression or improvement, two^{10 19} categorized the outcome as ICU admission or non-ICU admission, one⁹ categorized the outcome as the primary composite end point (ICU admission, the use of mechanical ventilation, or death) or not, two^{15 17} categorized the outcome as death or survivor, one¹³ categorized the outcome as the occurrence of severe cases (without defining severe) or death or mild, and one¹⁸ categorized the outcome as clinical deterioration during the hospitalization and needed supplemental oxygen therapy.

We computed unadjusted odds ratios (OR) and 95% confidence interval (CI) for each study using the number of smokers (current and former) and never smokers with and without disease progression. Random effects meta-analysis was performed using the Stata 14.0 *metan* command and using *metabias* command with Harbord and Peters to test for the presence of publication bias.

Patients or the public were not involved in the design, or conduct, or reporting of our research. We will widely disseminate our findings working with the media and interested clinical and public health groups.

Results

A total of 9,025 COVID-19 patients included in our meta-analysis, 878 of whom (9.7%) experienced disease progression and 495 with a history of smoking (5.5%). A total of 88 patients with a history of smoking (17.8%) experienced disease progression, compared with 9.3% of never smoking patients.

The meta-analysis showed an association between smoking and COVID-19 progression (OR 2.25, 95% CI 1.49-3.39, $p=0.001$) (Figure 1). There was not significant heterogeneity among the studies ($I^2=28.9%$, $p=0.162$) or publication bias (Harbord's $p=0.155$, Peters' $p=0.668$).

Discussion

Our analysis confirms that smoking is a risk factor for progression of COVID-19, with smokers having 2.25-times the odds of severe COVID-19 outcomes than never smokers. This finding contrasts with an earlier meta-analysis,⁶ which included only 5 studies and used a non-standard method to compute the meta-analysis. The finding that smoking is associated with COVID-19 progression is not surprising because of the adverse effects of smoking on pulmonary immune function.^{1 2}

Our study has several limitations.

The definition of “smoking” sometimes includes former smokers and sometimes does not. Only three studies^{9 16 19} separated current and former smokers in different categories, which was not enough data to do a meta-analysis for current and former smokers separately. Because the lung recovers after someone stops smoking, including former smokers in the exposed group biases the effect estimate to the null.

Reported smoking prevalence patients in all studies was substantially below smoking prevalence in the corresponding populations. Smoking prevalence in the 10 studies in China ranged from 3.8% to 14.6% vs 27.7% (52.1% for men and 2.7% for women) in the population in 2015,²⁰ 18.5% in the Korean patients vs. 21.1%²¹ (37.0% for men and 5.2% for women) in 2017, and 3.6% in the US patients vs. 13.7%²² (15.6% for men and 12.0% for women) in 2018. It is highly likely that many smokers were misclassified as nonsmokers, which also biases the risk estimate toward the null.

We computed and assessed unadjusted odds ratios based on the numbers of patients reported in the studies. Only one¹¹ of the studies reported unadjusted and adjusted ORs using multivariate analysis; after adjusting for confounding, the effect of smoking on disease severity was higher (unadjusted: OR 12.19, 95% CI 1.76-84.31, $p=0.011$; adjusted: OR 14.29, 95% CI 1.58-25.0, $p=0.018$).

None of these studies assessed e-cigarette use.

All these limitations suggest that this analysis underestimates the risk of smoking in terms of increasing COVID-19 severity.

All 12 studies were of patients who had already developed COVID-19, so the risk estimate we report does not represent the effect of smoking on the risk of contracting COVID-19 in the general population. As population-level testing ramps up, it would be useful to collect data on smoking and e-cigarette use to determine what risks these behaviors impose in terms of infection.

Conclusions

Smoking is associated with COVID-19 disease progression. Physicians and public health professionals should collect data on smoking and, given the pulmonary effects of e-cigarettes,³ e-

cigarette use, as part of routine clinical assessments and add smoking cessation to the list of practices to blunt the COVID-19 pandemic.

FUNDING

This work was supported by National Institute of Drug Abuse grant R01DA043950, cooperative agreement U54HL147127 from the National Heart, Lung, and Blood Institute and the Food and Drug Administration Center for Tobacco Products and the Faculty of Medicine Ramathibodi Hospital, Mahidol University, Thailand. The content is solely the responsibility of the authors and does not necessarily represent the official views of NIH or the Food and Drug Administration. The funding sources for this study had no role in the study design, data collection, data analysis, data interpretation, or the writing of the manuscript.

COMPETITING INTERESTS

All authors have completed the Unified Competing Interest form (available on request from the corresponding author) and declare that the work was supported as described in the funding statement above. The authors have no financial relationships with any organisations that might have an interest in the submitted work in the previous three years and no other relationships or activities that could appear to have influenced the submitted work.

CONTRIBUTORSHIP

RP developed the idea for the study, collected, analyzed the data, and wrote the first draft of the manuscript. SAG assisted with revising and refining the manuscript. Both authors affirm that the manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and that any discrepancies from the study as planned have been explained.

ETHICAL APPROVAL

Not required.

DATA SHARING STATEMENT

All data used to prepare this paper are available from the cited sources.

References

1. Arcavi L, Benowitz NL. Cigarette smoking and infection. *Arch Intern Med* 2004;164(20):2206-16. . doi: 10.1001/archinte.164.20.2206 [published Online First: 2004/11/10]
2. Bauer CMT, Morissette MC, Stämpfli MR. The Influence of Cigarette Smoking on Viral Infections: Translating Bench Science to Impact COPD Pathogenesis and Acute Exacerbations of COPD Clinically. *Chest* 2013;143(1):196-206. . doi: <https://doi.org/10.1378/chest.12-0930>
3. Gotts JE, Jordt SE, McConnell R, et al. What are the respiratory effects of e-cigarettes? *BMJ* 2019;366:l5275. . doi: 10.1136/bmj.l5275 [published Online First: 2019/10/02]
4. Park JE, Jung S, Kim A, et al. MERS transmission and risk factors: a systematic review. *BMC Public Health* 2018;18(1):574. . doi: 10.1186/s12889-018-5484-8 [published Online First: 2018/05/03]
5. Vardavas CI, Nikitara K. COVID-19 and smoking: A systematic review of the evidence. *Tob Induc Dis* 2020;18:20 doi: 10.18332/tid/119324 [published Online First: 2020/03/25]
6. Lippi G, Henry BM. Active smoking is not associated with severity of coronavirus disease 2019 (COVID-19). *Eur J Intern Med* 2020 doi: 10.1016/j.ejim.2020.03.014 [published Online First: 2020/03/21]
7. Berlin I, Thomas D, Le Faou AL, et al. COVID-19 and smoking. *Nicotine Tob Res* 2020 doi: 10.1093/ntr/ntaa059 [published Online First: 2020/04/04]
8. Dong X, Cao YY, Lu XX, et al. Eleven Faces of Coronavirus Disease 2019. *Allergy* 2020 doi: 10.1111/all.14289 [published Online First: 2020/03/21]

9. Guan WJ, Ni ZY, Hu Y, et al. Clinical Characteristics of Coronavirus Disease 2019 in China. *N Engl J Med* 2020 doi: 10.1056/NEJMoa2002032 [published Online First: 2020/02/29]
10. Huang C, Wang Y, Li X, et al. Clinical features of patients infected with 2019 novel coronavirus in Wuhan, China. *Lancet* 2020;395(10223):497-506. . doi: 10.1016/s0140-6736(20)30183-5 [published Online First: 2020/01/28]
11. Liu W, Tao ZW, Lei W, et al. Analysis of factors associated with disease outcomes in hospitalized patients with 2019 novel coronavirus disease. *Chin Med J (Engl)* 2020 doi: 10.1097/cm9.0000000000000775 [published Online First: 2020/03/03]
12. Mo P, Xing Y, Xiao Y, et al. Clinical characteristics of refractory COVID-19 pneumonia in Wuhan, China. *Clin Infect Dis* 2020 doi: 10.1093/cid/ciaa270 [published Online First: 2020/03/17]
13. Shi Y, Yu X, Zhao H, et al. Host susceptibility to severe COVID-19 and establishment of a host risk score: findings of 487 cases outside Wuhan. *Crit Care* 2020;24(1):108. . doi: 10.1186/s13054-020-2833-7 [published Online First: 2020/03/20]
14. Wan S, Xiang Y, Fang W, et al. Clinical Features and Treatment of COVID-19 Patients in Northeast Chongqing. *J Med Virol* 2020 doi: 10.1002/jmv.25783 [published Online First: 2020/03/22]
15. Yang X, Yu Y, Xu J, et al. Clinical course and outcomes of critically ill patients with SARS-CoV-2 pneumonia in Wuhan, China: a single-centered, retrospective, observational study. *Lancet Respir Med* 2020 doi: 10.1016/s2213-2600(20)30079-5 [published Online First: 2020/02/28]

16. Zhang JJ, Dong X, Cao YY, et al. Clinical characteristics of 140 patients infected with SARS-CoV-2 in Wuhan, China. *Allergy* 2020 doi: 10.1111/all.14238 [published Online First: 2020/02/23]
17. Zhou F, Yu T, Du R, et al. Clinical course and risk factors for mortality of adult inpatients with COVID-19 in Wuhan, China: a retrospective cohort study. *Lancet* 2020 doi: 10.1016/s0140-6736(20)30566-3 [published Online First: 2020/03/15]
18. Kim ES, Chin BS, Kang CK, et al. Clinical Course and Outcomes of Patients with Severe Acute Respiratory Syndrome Coronavirus 2 Infection: a Preliminary Report of the First 28 Patients from the Korean Cohort Study on COVID-19. *J Korean Med Sci* 2020;35(13):e142 doi: 10.3346/jkms.2020.35.e142 [published Online First: 2020/04/04]
19. CDC COVID-19 Response Team. Preliminary Estimates of the Prevalence of Selected Underlying Health Conditions Among Patients with Coronavirus Disease 2019 - United States, February 12-March 28, 2020. *MMWR Morb Mortal Wkly Rep* 2020;69(13):382-86. doi: 10.15585/mmwr.mm6913e2. doi: 10.15585/mmwr.mm6913e2 [published Online First: 2020/04/03]
20. Parascandola M, Xiao L. Tobacco and the lung cancer epidemic in China. *Translational Lung Cancer Research* 2019:S21-S30. .
21. World Health Organization. WHO report on the global tobacco epidemic, 2019: Country profile Republic of Korea 2019 [Available from: https://www.who.int/tobacco/surveillance/policy/country_profile/kor.pdf?ua=1 accessed April 6 2020.
22. Centers for Disease Control and Prevention. Current Cigarette Smoking Among Adults in the United States 2019 [Available from:

https://www.cdc.gov/tobacco/data_statistics/fact_sheets/adult_data/cig_smoking/index.htm

[m](#) accessed April 6 2020.

Table 1. Characteristics of studies included in the analysis

Author	Study design	Time of data collection	Setting	Population	Definition of Disease Progression	Smoking status	Smoking prevalence
CDC COVID-19 Response Team ¹⁹ (US)	Retrospective	12 Feb 2020-28 Mar 2020	United States	7,162 confirmed COVID-19 patients with completed information*	ICU admission	Current, former, never smoker	3.6%
Dong X, et al. ⁸	Case series	20 Jan 2020-3 Mar 2020	Zhongnan hospital of Wuhan University, No. 7 hospital of Wuhan, and Wuhan children's hospital, China	9 adults hospitalized patients with laboratory confirmed COVID-19**	Bilateral pneumonia, respiratory distress, required mechanical ventilation, ICU care, or hospitalized > 10 days.	Current smoker	11.1%
Guan WJ, et al. ⁹	Retrospective	11 Dec 2019-29 Jan 2020	552 hospitals in 30 provinces, autonomous regions, and municipalities in China	1,099 patients (both hospitalized and outpatient) with laboratory confirmed COVID-19	ICU admission, the use of mechanical ventilation, or death	Current, former, never smoker	14.6%
Huang C, et al. ¹⁰	Retrospective	16 Dec 2019-2 Jan 2020	Jin Yin-tan hospital in Wuhan, China	41 hospitalized patients with laboratory confirmed COVID-19	ICU admission	Current smoker	7.3%
Kim ES, et al. ¹⁸ (Korea)	Retrospective	19 Jan 2020-17 Feb 2020	Korea	28 confirmed COVID-10 cases nationwide.	Required oxygen supplement	Smoking	18.5%
Liu W, et al. ¹¹	Retrospective	30 Dec 2019-15 Jan 2020	Three tertiary hospitals in Wuhan, China	78 hospitalized patients with laboratory confirmed COVID-19	Respiratory distress, respiratory failure, required mechanical ventilation and ICU care, or death	History of smoking	6.4%
Mo P, et al. ¹²	Retrospective	1 Jan 2020-5 Feb 2020	Zhongnan hospital of Wuhan University, China	155 hospitalized patients with laboratory confirmed COVID-19	Did not improve after treatment, status changed to severe, or hospitalized > 10 days	Current smoker	3.9%
Shi Y, et al. ¹³	Retrospective	Up to 17 Feb 2020	Zhejiang province of China	487 hospitalized patients with laboratory confirmed COVID-19	Severe disease (without precisely defining it) or death	History of smoking	8.4%
Wan S, et al. ¹⁴	Retrospective	23 Jan 2020-8 Feb 2020	Chongqing University Three Gorges hospital, in northeast Chongqing, China	135 hospitalized patients with laboratory confirmed COVID-19	Respiratory distress with respiratory rate ≥ 30 /min, or oxygen saturation $\leq 93\%$ at rest, or oxygenation index ≤ 300 mmHg	Current smoker	6.7%
Yang X, et al. ¹⁵	Retrospective	24 Dec 2019-26 Jan 2020	Wuhan Jin Yin-tan hospital, Wuhan, China	52 critically ill hospitalized patients with laboratory confirmed COVID-19	Death	History of smoking	3.8%
Zhang JJ, et al. ¹⁶	Retrospective	16 Jan 2020-3 Feb 2020	No.7 Hospital of Wuhan, China	140 hospitalized patients with laboratory confirmed COVID-19	Respiratory distress with respiratory rate ≥ 30 /min, or oxygen saturation $\leq 93\%$ at rest, or oxygenation index ≤ 300 mmHg	Current, former, never smoker	6.4%

Author	Study design	Time of data collection	Setting	Population	Definition of Disease Progression	Smoking status	Smoking prevalence
Zhou F, et al. ¹⁷	Retrospective	29 Dec 2019- 31 Jan 2020	Jin Yin-tan hospital and Wuhan Pulmonary hospital in Wuhan, China	191 hospitalized patients with laboratory confirmed COVID-19	Death	Current smoker	5.8%
* Hospitalization status unknown was excluded from the analysis. ** Two children were excluded from the analysis.							

FIGURE CAPTION

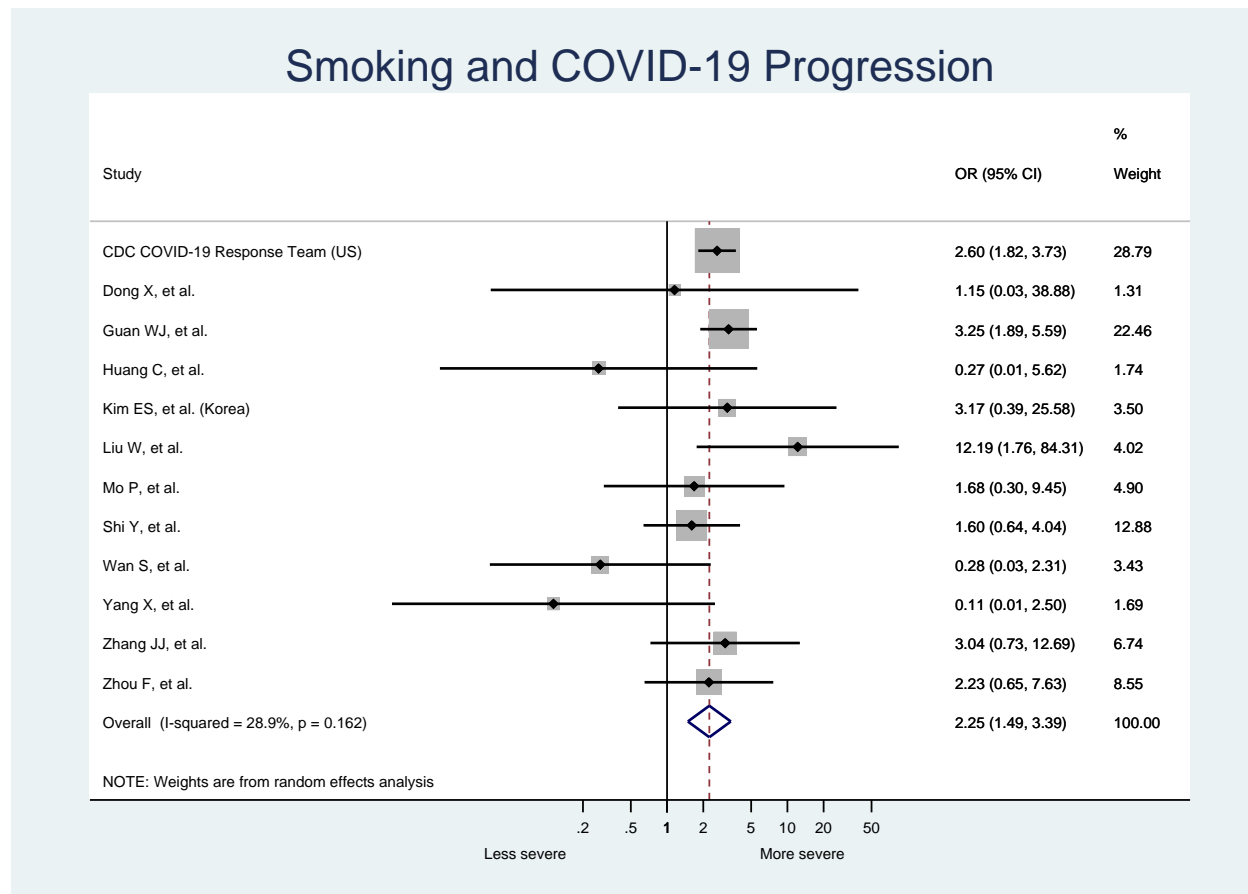


Figure 1. Smoking is associated with COVID-19 progression.