1 Detection of Air and Surface Contamination by Severe Acute Respiratory Syndrome

2 Coronavirus 2 (SARS-CoV-2) in Hospital Rooms of Infected Patients

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33 Abstract:

- 34 Understanding the particle size distribution in the air and patterns of environmental
- 35 contamination of SARS-CoV-2 is essential for infection prevention policies. We aimed to
- 36 detect SARS-CoV-2 surface and air contamination and study associated patient-level factors.
- 37 245 surface samples were collected from 30 airborne infection isolation rooms of COVID-19
- 38 patients, and air sampling was conducted in 3 rooms.
- 39 Air sampling detected SARS-CoV-2 PCR-positive particles of sizes >4 μ m and 1-4 μ m in
- 40 two rooms, which warrants further study of the airborne transmission potential of SARS-
- 41 CoV-2. 56.7% of rooms had at least one environmental surface contaminated. High touch
- 42 surface contamination was shown in ten (66.7%) out of 15 patients in the first week of illness,
- 43 and three (20%) beyond the first week of illness (p = 0.010).

44 Introduction

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) causing coronavirus disease 45 2019 (COVID-19) has spread globally and many countries are experiencing ongoing local 46 transmission despite varying levels of control efforts. Understanding the different 47 transmission routes of SARS-CoV-2 is crucial in planning effective interventions to break the 48 chain of transmission. Although extensive surface contamination with SARS-CoV-2 by a 49 symptomatic patient has been demonstrated ¹, little is known about airborne transmission of 50 51 SARS-CoV-2. It is also unknown if asymptomatic individuals pose the same environmental contamination risk as symptomatic ones, although viral shedding has been demonstrated to 52 continue even after clinical recovery of COVID-19 patients². There are multiple reports of 53 asymptomatic patients testing positive for SARS-CoV-2^{3,4}, and the potential transmission of 54 the virus by an asymptomatic person has been described ⁵. Therefore, viral contamination of 55 the air and surfaces surrounding asymptomatic or recovering COVID-19 patients could have 56 serious implications for outbreak control strategies. This knowledge gap is recognized in the 57 Report of the WHO-China Joint Mission on Coronavirus 2019⁶. 58

The primary objective of our study was to identify potential patient-level risk factors for
environmental contamination by SARS-CoV-2 by sampling the air and surfaces surrounding
hospitalized COVID-19 patients at different stages of illness.

62 Methods

63 Study design, patient selection and data collection

We conducted this cross-sectional study in airborne infection isolation rooms (AIIRs) at the
National Centre for Infectious Diseases, Singapore. These rooms had 12 air changes per hour,

an average temperature of 23° C, relative humidity of 53 - 59%, and exhaust flow of 579.6

67 m^{3}/h .

Patients with a SARS-CoV-2 infection confirmed by a polymerase chain reaction (PCR)positive respiratory sample within the prior 72 hours were included. Clinical characteristics,
including the presence of symptoms, day of illness, day of stay in the room, supplemental
oxygen requirement, and baseline characteristics, were collected. One patient from a
previously published pilot study on environmental sampling in the same facility (Patient 30;
Supplemental Table 1) was also included in the current analysis ¹.

74 Air sampling

75 Six NIOSH BC 251 bioaerosol samplers were placed in each of three AIIRs in the general ward to collect air samples. Particles collected with the NIOSH sampler are distributed into 76 three size fractions. Particles >4 μ m in diameter are collected in a 15 mL centrifuge tube, 77 78 particles 1-4 μ m in diameter are collected in a 1.5 mL centrifuge tube, and particles <1 μ m in 79 diameter are collected in a self-assembled filter cassette containing a 37-mm diameter, polytetrafluoroethylene (PTFE) filter with 3µm pores. All NIOSH samplers were connected 80 81 to either SKC AirCheck TOUCH Pumps or SKC Universal air sampling pumps set at a flowrate of 3.5 L/min and run for four hours, collecting a total of 5,040 L of air from each 82 patient's room. 83

In the room of Patient 1, three NIOSH samplers were attached to each of two tripod stands 84 and situated at different heights from the ground (1.2m, 0.9m, and 0.7m) near the air exhaust 85 86 to capture particles from the unidirectional airflow in the room. Throughout the four-hour sampling period, Patient 1 was intermittently facing the NIOSH samplers while seated one 87 meter from the first tripod and 2.1 meters from the second tripod. Four SKC 37mm PTFE 88 89 filter (0.3µm pore size) cassettes were also distributed throughout the room and connected to 90 SKC Universal air sampling pumps set at a flow-rate of 5 L/min, each collecting an additional 1,200 L of air from the room. 91

In the rooms of Patients 2 and 3, three NIOSH samplers were attached to each of two tripod
stands and situated at different heights from the ground (1.2m, 0.9m, and 0.7m). Throughout
the four-hour sampling period, Patients 2 and 3 remained in bed within 1 meter from all 6
NIOSH samplers (Supplementary Figure 1). Patient 3 was also talking on the phone for a
significant proportion of time during sampling. Additional SKC pumps with PTFE filter
cassettes were not used in the rooms of Patient 2 and 3.

The 6 NIOSH samples from each room were pooled prior to analysis, but the particle size
fractions remained separated. Each sample pool was representative of 5,040 L air.

100 Surface sampling

Surface samples were collected with Puritan® EnviroMax Plus pre-moistened macrofoam 101 102 sterile swabs (25-88060). Eight to 20 surface samples were collected from each room. Five surfaces were designated high-touch surfaces, including the cardiac table, entire length of the 103 bed rails including bed control panel and call bell, bedside locker, electrical switches on top 104 of the beds, and chair in general ward rooms (Supplemental Figure 1). In ICU rooms, the 105 ventilator and infusion pumps were sampled instead of the electrical switches on top of the 106 107 beds and chair (Supplemental Figure 2). Air exhaust outlets and glass window surfaces were 108 sampled in five rooms, including the three rooms in which air sampling was performed. Toilet seat and automatic flush button (one combined swab) were sampled in AIIR rooms in 109 110 the general ward.

111 Sample transfer and processing

All samples were immediately stored at 4°C in the hospital prior to transfer to a BSL-3

113 laboratory where samples were immediately processed and stored at -80°C unless directly

analyzed. Prior to RNA extraction, NIOSH aerosol sample tubes and filters were processed as

115 previously described ⁷, with slight modification due to the pooling of samples.

116 Laboratory methods

117 The QIAamp viral RNA mini kit (Qiagen Hilden, Germany) was used for sample RNA 118 extraction. Real-time PCR assays targeting the envelope (E) genes ⁸ and an in house orf1ab 119 assay were used to detect SARS-CoV-2 in the samples ⁹. All samples were run in duplicate 120 and with both assays. Positive detection was recorded as long as amplification was observed 121 in at least 1 assay.

122 Statistical analysis

123 Statistical analysis was performed using Stata version 15.1 (StataCorp, College Station,

124 Texas) and GraphPad Prism 8.0 (GraphPad Software, Inc., San Diego). *P* <0.05 was

125 considered statistically significant, and all tests were 2-tailed. For the surface environment,

126 outcome measures analyzed were any positivity by room and pooled percentage positivity by

127 day of illness and respiratory viral load (represented by clinical cycle threshold (Ct) value).

128 We analyzed the factors associated with environmental contamination using the Student t-

test, or the nonparametric Wilcoxon rank-sum test was used for continuous variables

130 depending on their distribution. The χ^2 or Fisher exact test was used to compare categorical

131 variables. We plotted the best fit curve by least-square method to study the environmental

132 contamination distribution across various the days of illness and clinical Ct value.

133 **Results**

Environmental sampling was conducted in three AIIRs in the ICU and 27 AIIRs in the general ward. Air sampling was performed in three of the 27 AIIRs in the general ward. All patients reported COVID-19 symptoms. Seven patients (23%) were asymptomatic at the time of environmental sampling. Of the 23 symptomatic patients, 18 (78%) had respiratory symptoms, one had gastrointestinal symptoms, one had both respiratory and gastrointestinal symptoms, and three patients (10%) had fever or myalgia only (Supplemental Table 1).

140	Air samples from two (66.7%) of three AIIRs tested positive for SARS-CoV-2, in particle
141	sizes >4 μ m and 1-4 μ m in diameter (Table 1). Total SARS-CoV-2 concentrations in air
142	ranged from 1.84x10 ³ to 3.38x10 ³ RNA copies per m ³ air sampled. Rooms with viral
143	particles detected in the air also had surface contamination detected.
144	There were no baseline differences between patients with environmental surface
145	contamination and those without, in terms of age, comorbidities, and positive clinical sample
146	on the day of sampling. Median cycle threshold (Ct) values of the clinical specimens for
147	patients with and without environmental surface contamination were 25.69 (IQR 20.37 to
148	34.48) and 33.04 (28.45 to 35.66) respectively (Table 2).
149	Of the rooms with environmental contamination, the floor was most likely to be contaminated
150	(65%), followed by the bed rail (59%), and bedside locker (42%) (Figure 1). Contamination
151	of toilet seat and automatic toilet flush button was detected in five out of 27 rooms, and all
152	five occupants had reported gastrointestinal symptoms within the preceding one week of
153	sampling. We did not detect surface contamination in any of the three ICU rooms.
154	Presence of environmental surface contamination was higher in week 1 of illness (Figure 2)
155	and showed association with the clinical cyclical threshold (P=0.06). Surface environment
156	contamination was not associated with the presence of symptoms or supplementary oxygen
157	(Table 2). In a subgroup analysis, the presence and extent of high-touch surface
158	contamination were significantly higher in rooms of patients in their first week of illness
159	(Figure 2). The best fit curve with the least-squares fit (Figure 3) showed that the extent of
160	high-touch surface contamination declined with increasing duration of illness and Ct values.
161	There was also no correlation between the Ct values of clinical samples and the Ct values of
162	environmental samples across the days of illness (Supplemental Figure 3).

Discussion

164	Surface sampling revealed that the PCR-positivity high-touch surfaces was associated with
165	nasopharyngeal viral loads and peaked at approximately day four to five of symptoms. Air
166	sampling of the AIIR environments of two COVID-19 patients (both day five of illness with
167	high nasopharyngeal swab viral loads) detected the presence of SARS-CoV-2 particles sized
168	1-4 μ m and > 4 μ m. The absence of any detection of SARS-CoV-2 in air samples of the third
169	patient (day nine of illness with lower nasopharyngeal viral load concentration) suggests that
170	the presence of SARS-CoV-2 in the air is possibly highest in the first week of illness.
171	Recent aggregated environmental sampling and laboratory experiments have examined the
172	particle size distribution of SARS-CoV-2 in the air. A study from Wuhan, China sampled
173	three different environmental settings and detected aerosol size range particles ¹⁰ .
174	Additionally, a recent laboratory study demonstrated the ability of SARS-CoV-2 to remain
175	viable in aerosols for up to 3 hours ¹¹ . While limited in subject numbers, our study examined
176	this issue at the individual patient-level, thus enabling correlation of particle size distribution
177	in the air with symptoms duration and nasopharyngeal viral loads. The absence of aerosol-
178	generating procedures or intranasal oxygen supplementation reduces the possibility of our
179	current findings being iatrogenic in nature. Larger individual patient-level studies examining
180	the droplet and aerosolizing potential of SARS-CoV-2 over different distances and under
181	different patient and environmental conditions are rapidly needed to determine the
182	generalizability of our current findings.
183	In the current analysis the presence and concentration of SARS-CoV-2 in air and high-touch
184	surface samples correlated with the day of illness and nasopharyngeal viral loads of COVID-
185	19 patients. This finding is supported by multiple observational clinical studies have

186 demonstrated that SARS-CoV-2 viral loads peak in the first week among COVID-19 patients

187 ^{2,12,13}, with active viral replication in the upper respiratory tract in the first five days of

illness¹⁴. This finding could help inform public health and infection prevention measures in

prioritizing resources by risk stratifying COVID-19 patients by their potential to directly or
indirectly transmit the SARS-CoV-2 virus to others.

191 Our study was limited in that it did not determine the ability of SARS-CoV-2 to be cultured from the environmental swabs and the differentially-sized air particles which would be vital 192 to determining the infectiousness of the detected particles. Another study from Nebraska 193 attempted virus culture on SARS-CoV-2 PCR-positive air samples, however could not isolate 194 viable virus ¹⁵. The difficulty in culturing virus from air samples arises from low virus 195 concentrations, as well as the compromised integrity of the virus due to air sampling 196 stressors. Future studies using enhanced virus culture techniques could be considered ¹⁶, and 197 efforts to design a culture method to isolate virus from our samples is underway. Second, 198 sampling in an AIIR environment may not be representative of community settings and 199 200 further work is needed to generalize our current findings. Third, we sampled each room at a single timepoint during the course of illness and did not track environmental contamination 201 202 over the course of illness for individual patients. Fourth, as clinical results were within 72 hours of environmental testing, it is plausible that during the day of testing, viral load was 203 actually low or negligible, hence limiting environmental contamination. 204

Current evidence does not seem to point to aerosolization as the key route of transmission of
SARS-CoV-2, and there have been reports of healthcare workers not being infected after
exposure to confirmed patients despite not using airborne precautions¹⁷. Detailed
epidemiologic studies of outbreaks, in both healthcare and non-healthcare settings, should be
carried out to determine the relative contribution of various routes of transmission and their
correlation with patient-level factors.

In conclusion, in a limited number of AIIR environments, our current study involving
individual COVID-19 patients not undergoing aerosol-generating procedures or oxygen

- supplementation suggest that SARS-CoV-2 can be shed in the air from a patient in particles
- sized between 1 to 4 microns. Even though particles in this size range have the potential to
- 215 linger longer in the air, more data on viability and infectiousness of the virus would be
- required to confirm the potential airborne spread of SARS-CoV-2. Additionally, the
- concentrations of SARS-CoV-2 in the air and high-touch surfaces could be highest during the
- first week of COVID-19 illness. Further work is urgently needed to examine these findings in
- 219 larger numbers and different settings to better understand the factors affecting air and surface
- spread of SARS-CoV-2 and inform effective infection prevention policies.

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289 Tables & Figures

290 Table 1. Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) detections in

291 the air of hospital rooms of infected patients

Patient	Day of illness	Symptoms reported on day of air sampling	Clinical Ct value [*]	Airborne SARS- CoV-2 concentrations (RNA copies m ⁻³ air)	Aerosol particle size	Samplers used
1	9	Cough, nausea,	33.22	ND		NIOSH
		dyspnea		ND		SKC Filters
2	5	Cough, dyspnea	18.45	2,000	>4 µm	NIOSH
				1,384	1-4 µm	
3	5	Asymptomatic [†]	20.11	927	>4 µm	NIOSH
				916	1-4 µm	

ND = none detected

*PCR cycle threshold value from patient's clinical sample

[†]Patient reported fever, cough, and sore throat until the day before the sampling. Patient reported no symptoms

on the day of sampling, however was observed to be coughing during sampling

292

294 Table 2: Baseline clinical characteristics of COVID-19 patients with environmental

295 contamination

	Rooms with surface	Rooms without surface	
Characteristics of COVID-19	environment	environment	<i>P</i> -value
patients	contamination	contamination	
	(n=17)	(n=13)	
Median age (IQR)	52 (42 to 62)	44 (36 to 55)	0.75
Male Sex (%)	6 (46%)	8 (47%)	0.96
Median Age Adjusted Charlson's	1 (0 to 2)	1 (0 to 1)	0.69
Comorbidity Index (IQR)			
Median day of Illness (IQR)	5 (4 to 9)	13 (5 to 20)	0.17
Median day of stay in room (IQR)	3 (3 to 8)	4 (2 to 16)	0.95
Oxygen requirement (%)	0	4 (31)	0.03
Symptomatic (%)	12 (71)	11 (85)	0.43
Respiratory symptoms (%)	11 (65)	7 (54)	0.55
Gastrointestinal symptoms (%)	1 (6)	1 (8)	>0.99
Clinical Cycle threshold value,	25.69 (20.37 to 34.48)	33.04 (28.45 to 35.66)	0.06
median (IQR)*			

²⁹⁶

*PCR cycle threshold value from patient's clinical sample

297 Figure 1: Percentage of contaminated swabs from surface samples, in rooms with any

298 contamination





308 Figure 2: 2a. Percentage of patients with contamination of high touch surfaces in in the

309 first week of illness compared with more than first week of illness. 2b. Percentage of

310 surfaces contaminated across weeks of illness. 2c. Percentage of high-touch surfaces

311 contaminated across weeks of illness



- 313 Figure 3: 3a. Mean percentage of high touch surface contaminated by day of illness with
- 314 <u>95% confidence interval with best fit curve. 3b. Mean percentage of high touch surfaces</u>
- 315 <u>contaminated by clinical cycle threshold values with 955 confidence interval with best fit</u>
- 316 curve. 3c. Mean percentage of high touch surface contaminated by day of illness with
- 317 <u>95% confidence interval grouped by symptoms</u>

