1 COVID-19 lockdowns cause global air pollution declines with

- 2 implications for public health risk
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- 23

24 Abstract

25 The lockdown response to COVID-19 has caused an unprecedented reduction in global economic 26 activity. We test the hypothesis that this has reduced tropospheric and ground-level air pollution 27 concentrations using satellite data and a network of >10,000 air quality stations. After accounting 28 for the effects of meteorological variability, we find remarkable declines in ground-level nitrogen 29 dioxide (NO₂: -29 % with 95% confidence interval -44% to -13%), ozone (O₃: -11%; -20% to -2%) and fine particulate matter (PM_{2.5}: -9%; -28% to 10%) during the first two weeks of lockdown (n = 30 31 27 countries). These results are largely mirrored by satellite measures of the troposphere 32 although long-distance transport of PM_{2.5} resulted in more heterogeneous changes relative to 33 NO₂. Pollutant anomalies were related to short-term health outcomes using empirical exposureresponse functions. We estimate that there was a net total of 7400 (340 to 14600) premature 34 35 deaths and 6600 (4900 to 7900) pediatric asthma cases avoided during two weeks postlockdown. In China and India alone, the PM_{2.5}-related avoided premature mortality was 1400 36 37 (1100 to 1700) and 5300 (1000 to 11700), respectively. Assuming that the lockdown-induced 38 deviations in pollutant concentrations are maintained for the duration of 2020, we estimate 0.78 39 (0.09 to 1.5) million premature deaths and 1.6 (0.8 to 2) million pediatric asthma cases could be 40 avoided globally. While the state of global lockdown is not sustainable, these findings illustrate 41 the potential health benefits gained from reducing "business as usual" air pollutant emissions from 42 economic activities. Explore trends here: www.covid-19-pollution.zsv.co.za

43 Significance statement

The global response to the COVID-19 pandemic has resulted in unprecedented reductions in economic activity. We find that lockdown events have reduced air pollution levels by approximately 20% across 27 countries. The reduced air pollution levels come with a substantial health co-benefit in terms of avoided premature deaths and pediatric asthma cases that accompanied the COVID-19 containment measures.

49 Introduction:

In many developing nations economic growth has exacerbated air pollutant emissions with severe consequences for the environment and human health. Long-term exposure to air pollution including fine particulate matter with a diameter less than 2.5 μ m (PM_{2.5}) and ozone (O₃) are estimated to cause ~8.8 million excess deaths annually (1, 2), while nitrogen dioxide (NO₂) results in 4 million new paediatric asthma cases annually (3). Despite the apparent global air pollution "pandemic", anthropogenic emissions remain on positive trajectories for most developing and some developed nations (4–6).

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The major ambient (outdoor) air pollution sources include power generation, industry, traffic, and residential energy use (4, 7). With the rapid emergence of the novel coronavirus (COVID-19), and

60 in particular the government enforced lockdown measures aimed at containment, economic

61 activity has come to a near-complete standstill in many countries (8). Lockdown measures have

62 included partial or complete closure of international borders, schools, non-essential business and

63 in some cases restricted citizen mobility (9). The associated reduction in traffic and industry has

both socio-economic and environmental impacts which are yet to be quantified. In parallel to the societal consequences of the global response to COVID-19, there is an unprecedented opportunity to estimate the short-term effects of economic activity counterfactual to "business as usual" on global air pollution and its relation to human health.

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69 Here we test the hypothesis that reduced air pollution levels during Feb/Mar 2020 were related to 70 the COVID-19 lockdown events. To test the hypothesis, satellite data are used to provide a global 71 perspective over Feb/Mar, but to estimate exposure levels relevant to public health, we derive 72 ground-level measurements from >10,000 air quality stations after accounting for meteorological variations. The air pollution anomalies during COVID-19 lockdown are then used to quantify 73 74 mortality and pediatric asthma incidence that have been potentially avoided (Fig. S1). Finally we 75 perform a counterfactual projection of the public health burden assuming NO₂, O_3 and PM_{2.5} 76 anomalies during lockdown are maintained for the remainder of 2020. In doing this we do not 77 imply that lockdown economic activity is sustainable or desirable, however, we do intend to use 78 the current situation as an intuitive means of highlighting the significance of the often-overlooked

79 global air pollution health crisis.

80 Results and discussion:

81 Satellite-derived global trends

82 Satellite-measured tropospheric NO₂ concentrations have decreased by an average of 10.7% 83 (area-weighted mean with interquartile range; IQR: 32%) over inhabited areas of the globe during 84 Feb/Mar 2020 relative to 2019 (Fig. 1A; Fig. S2). The percentage changes over areas most 85 affected by COVID-19, including Europe and China, showed NO₂ declines of 20% (38% IQR) and 86 12% (33% IQR), respectively. In contrast to NO₂, O₃ concentrations exhibit a net positive anomaly 87 of +2.4% (8.4% IQR) in 2020 relative to 2019 (Fig. 1C; Fig. S2). This may be related to the 88 emission decline of NO_x (=NO+NO₂), mostly as NO, leading to reduced local titration of O₃ 89 (reaction of NO with O_3). The O_3 titration effect is relevant locally and within the planetary boundary layer, whereas further downwind photochemical O_3 formation, with a catalytic role of 90 91 NO_x, is a more important factor. Note that lockdown impacts on NO₂, which has an atmospheric 92 lifetime of about a day, are clearly discernible locally, whereas those on O₃ with a lifetime of 93 several weeks are affected by long-distance transport associated with specific weather patterns. 94 Further, O₃ photochemistry in temperate latitudes during the Feb/Mar period is still slow due to 95 low solar irradiation, whereas at lower latitudes O_3 buildup can be significant.

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97 Similarly, aerosol optical depth (AOD: a proxy for $PM_{2.5}$) has also increased slightly (+13.2% IQR: 98 35%), although local declines are evident over parts of China (Fig. 1E; Fig. S2). While the 99 lockdown impacts on NO₂ and on ground-level O_3 in inhabited regions are largely due to local 100 emissions, PM_{2.5} is less locally controlled as it has an atmospheric lifetime of several days or 101 longer in the absence of rain. For instance, European AOD levels during March 2020 were 102 strongly influenced by dry weather with easterly winds, which carried mineral dust from West Asia, 103 which explains some of the positive anomalies in this period. Since much of the long-distance 104 dust transport takes place above the boundary layer (10), these AOD anomalies do not 105 necessarily represent ground-level $PM_{2.5}$ trends. The same is true for satellite-measured $O_{3,}$

106 which is strongly influenced by its generally increasing abundance above the boundary layer, 107 especially during winter.

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109 Ground-level country-specific trends

110 While satellites provide global data coverage, they do not necessarily reflect pollutant 111 concentrations at ground-level that are relevant to human exposure and health. Therefore we 112 supplemented satellite data with ground-level pollutant concentrations collected by over 10.000 113 air quality stations. In contrast to the satellite data we used, station data allowed us to calculate a more robust 3-year baseline measure of expected pollution levels for Feb/Mar. These data largely 114 115 corroborate the satellite data in that we found the same spatial patterns and net directions of 116 Feb/Mar 2020 pollutant anomalies (Fig. 1 B, D and F). Specifically, NO₂ declined by 22.9% (20% 117 IQR) which equates to an absolute decline of 7.6 μ g m⁻³ (9 μ g m⁻³ IQR). O₃ increased by 5.4% 118 (18% IQR) whereas particulate matter (PM_{2.5}) declined by 17.2% (30% IQR). The direction and 119 magnitude of $PM_{2.5}$ change near the surface is different to the AOD measured by satellites, 120 highlighting the importance of ground-level measurements to complement satellite-derived global 121 trends.

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123 Focusing on the ground-level trends is illustrative of the change at both global (Fig. 2A - C) and 124 country (Fig. 2 D - F; Fig. S3) scales. Here, the deviation in NO₂ and PM_{2.5} levels from 3-yr average 125 values increases significantly from mid-Jan onward (Fig. 2). The timing of the initial deviation is 126 potentially an effect of the dramatic air pollution reductions in China (Fig. 2D; Fig. S4) coincident 127 with the rapid lockdown response in Wuhan province at the outset of COVID-19. Thereafter, the 128 spread of COVID-19 led to lockdowns in various countries, associated with a greater negative 129 deviation in NO₂ and PM_{2.5} from 3-yr baseline values (Fig. 2). Some notable outliers include 130 Australia and Mexico. Australia exhibited drastic declines in PM_{2.5} from January onward likely 131 reflecting the tail-end of the recent wildfires (11). The rapid decline in NO₂ over Mexican stations 132 is more difficult to explain, particularly given that Mexico, along with Taiwan, Slovakia and 133 Sweden, was one of the few countries not to enforce any national lockdown measures.

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135 The trends for O₃ and PM_{2.5} are more heterogeneous over space (Fig. 1D, F) and time (Fig. 2E, F) relative to the ubiquitous declines in NO_2 . For instance, increases in O_3 over southern China 136 137 differ significantly from the decreases observed over the Wuhan province, the epicenter of 138 COVID-19 (Fig. 1D). We expect this to be a consequence of synoptic redistribution of O_3 by 139 atmospheric circulations. Similarly, the local decreases over parts of Spain are in contrast to 140 increases observed over eastern Europe. This is not surprising given that O₃ is affected by long-141 distance transport as well as non-linear chemical interactions with volatile organic compounds 142 (VOCs) and NO_x, mediated by mesoscale and urban canopy weather patterns (12).

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144 Direct links to COVID-19 lockdown and health outcomes

To test our primary hypothesis that pollution anomalies were directly associated with COVID-19
 lockdown events, we calculated average ground-level concentrations for each country separately.
 Instead of averaging over Feb/Mar, we focus on the two weeks after lockdowns were announced

148 in each country. We first corrected for the effects of local and meso-scale weather patterns

149 (temperature, humidity, precipitation and wind speed) which can significantly affect ground-level

pollutant concentrations (13, 14) and thereby compromise any observable effect of COVID-19
 lockdowns. Using regression models, we estimated the lockdown-attributable anomaly (Fig. S1)
 as the difference between observed and expected pollutant concentrations given weather during
 lockdown.

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155 We found a net decline of about 20% (5% to 35% - 95% confidence interval) across all three 156 pollutants in countries where significant anomalies were detected. There were significant declines 157 in NO₂ (29 %; 13% to 44%) and O₃ (11%; 2% to 20%), however our model estimates were not 158 able to control for the confounding effects of weather enough to detect significant declines in PM_{2.5} 159 (9% decline; -10 to 28%; Fig. S5). Indeed, our meteorological-control models were able to explain less of the variance in PM_{2.5} ($R^2 = 0.45$) compared to NO₂ ($R^2 = 0.54$) and O₃ ($R^2 = 0.72$; Table 160 161 S1). This suggests $PM_{2.5}$ has a weaker coupling to land-transportation and small business activity 162 declines during lockdown compared to NO₂ and O₃. In Many countries PM_{2.5} is more strongly 163 linked to residential energy use, power generation and agriculture (7). In addition, $PM_{2.5}$ is significantly influenced by long-distance atmospheric transport of mineral dust and therefore the 164 165 local effects of economic activity may be diluted or even overwhelmed (15).

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167 Using the two week post-lockdown anomalies in combination with published exposure-response 168 functions for NO₂ (16, 17), O₃ (17, 18) and PM_{2.5} (17, 19), we estimated changes in daily all-cause 169 mortality burden and pediatric asthma incidence. During the two weeks post-lockdown, there were 170 a total of 7400 (340 to 14600) deaths and 6600 (4900 to 7900) pediatric asthma cases avoided 171 across 27 countries with recorded COVID-19 mitigation measures (Fig. 3; Table S2). The number 172 of PM_{2.5}-related deaths avoided (6800: 60 to 13700) exceeded those related to NO₂ (540: 300 to 173 800) and O_3 (50; 10 to 80). While for pediatric asthma incidence, NO₂ reductions contributed to 174 more avoided cases (5700; 4500 to 6800) compared to O₃ (50; 40 to 60) and PM_{2.5} (850; 300 to 175 1000). In China and India alone, the $PM_{2.5}$ -related reductions in mortality burden were 1400 (1100 176 to 1700) and 5300 (1000 to 11700), respectively (Fig. 3C). These are countries with both the 177 highest baseline pollution levels and population densities, and therefore have the most to gain 178 from pollutant declines.

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180 Furthermore, we performed a counterfactual projection of reduced health burden assuming 181 ground-level air pollution deviations experienced during lockdown (Fig. S5) are maintained for the 182 remainder of 2020 (Apr-Dec). The cumulative effect of the reduction in NO₂, O₃ and PM_{2.5} over 183 the remainder of 2020 is that 0.78 (0.09 to 1.5) million deaths and 1.6 (0.8 to 2) million pediatric 184 asthma cases could be avoided (Fig. 4; Table S3). Our findings suggest that, in spite of the 185 modest response of PM_{2.5}, countries would have much to gain in maintaining PM_{2.5} lockdown 186 levels because that would prevent 0.6 (0.01 to 1.3) million deaths and 1.1 (0.4 to 1.4) million 187 pediatric asthma cases which is 3- and 5-fold higher than those from NO₂ and 5- and 30-fold 188 higher than those from O_3 (Fig. 4). The bulk of the benefit gained would take place during the 189 latter half of the year when air pollution levels are at their highest over countries with the largest 190 air pollution health burden (i.e. India and China).

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192 Limitations and perspectives

193 Making explicit links between ambient air pollution and human health burden relies on several 194 assumptions that are difficult to verify apriori. First, using relative risk rates from select meta-195 analysis (17) and multi-city (n>406) short-term time-series association studies (18, 19) to make 196 inference over entire countries rests on the assumption that city- or cohort-specific response rates 197 are generalizable to broader populations. While this is likely to introduce uncertainty, the dearth 198 of representative data necessities these generalizations, and this approach has been used by 199 numerous studies at the global scale (2, 3). Further, we acknowledge that our results are affected 200 by harvesting effects, where premature deaths attributed to air pollution might have occurred in 201 the immediate future (20). Note that this also applies to death counts attributed to COVID-19. We 202 also acknowledge that we do not account for indoor sources of PM_{2.5} pollution which are unlikely 203 to be reduced by lockdown measures. As smoke from household stoves add substantially to 204 population exposure for people dependent on solid fuels, accounting for ambient air pollution only 205 could imply a misclassification of exposure and biased health burden estimates (21). Finally, the 206 baseline mortality rates we use are from 2017 (22) and therefore may be prone to ignoring before 207 and after COVID-19 onset differences in baseline mortality incidence.

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209 Despite these assumptions and the associated uncertainty, the analysis and results presented 210 here can provide useful insights to raise awareness and orientate interventions regarding the 211 global effects of air pollution on human health. They should be interpreted as preliminary lessons 212 from the Corona crisis. As the science evolves, and the COVID-19 pandemic plays out, empirical 213 data will emerge to fill in the knowledge gaps and uncertainties associated with air pollution health 214 burden attribution. It is expected that the two-week lockdown effects calculated here will be an 215 underestimate of the full effect because most lockdowns will likely last much longer than two 216 weeks. Further, we were not able to calculate the extent to which air pollution reductions have 217 mitigated COVID-19 deaths. For instance, positive associations have been reported between air 218 pollution and SARS case fatalities in China during 2003 (23) and preliminary analysis has 219 revealed similar patterns for COVID-19 (24, 25). Therefore our estimates may represent lower 220 limits after considering the air pollution reductions as a cofactor in COVID-19 case recoveries.

221 Conclusions:

222 Reducing economic activity to levels equivalent to a lockdown state are impractical, yet 223 maintaining "business as usual" clearly exacerbates global pollutant emissions and associated 224 deaths. Our study documents the dramatic short-term effect of global reductions in transport and 225 economic activity on reducing ground-level NO₂, with mixed effects on O₃ and PM_{2.5} 226 concentrations. Maintaining reductions in pollutant emissions corresponding to lockdown 227 conditions can substantially reduce the global burden of disease. We by no means imply that 228 global pandemics such as the COVID-19, nor lockdown actions, are beneficial for public health. 229 However, we suggest the current situation is a useful lens through which to view the global air 230 pollution "pandemic". Time will tell how significant the change in health burden has actually been. 231 Nevertheless, the early evidence presented here suggests it is likely significant. Reduced 232 premature mortality from air pollution thus appears as a co-benefit of the minimized number of 233 deaths from the lockdown measures, although more accurate, quantitative assessments must 234 await termination of the crisis. Finding economically and socially sustainable alternatives to fossil

fuel based transport and industry are another means of reaching the pollutant declines we haveobserved during the global response to COVID-19.

237 Materials and methods:

238 In brief, the methodological workflow (Fig. S1) described below involves collecting satellite and 239 in-situ air pollution time series data to estimate anomalies during the 2020 COVD-19 period 240 relative to different baseline levels. Regression models are used to correct for the potential effects 241 of weather-related variations on pollutant levels during lockdown. The resulting estimates of 242 pollutant anomalies are related to established health burden estimates for short-term premature 243 mortality and pediatric asthma incidence attributable to air pollution. The sample of countries used 244 in each step varies dependent on the data availability. Results for satellite data contain all 245 countries (n = 196). For ground-station anomalies there were 30 countries in total, however 246 lockdown anomalies and health burden statistics are only reported for those with recorded 247 lockdown measures (n = 27).

248

249 Satellite data

All remote sensing data analyses were conducted in the Google Earth Engine platform for geospatial analysis and cloud computing (26). All data was extracted at a global scale and aggregated to the mean for each country. Data outside of inhabited areas (ocean, freshwater, desert etc.) were excluded from the analysis using the Global Human Settlement Layer produced by the European Joint Research Centre which defines inhabited rural and urban terrestrial areas (27). We did this because our main hypothesis was linked to human exposure and therefore we aimed at pollution measures that were relevant to inhabited land surfaces.

257

258 We collected nitrogen dioxide (NO₂) and ozone (O₃) data from the TROPOspheric Monitoring 259 Instrument (TROPOMI), on-board the Sentinel-5 Precursor satellite (28). TROPOMI has delivered 260 calibrated data since July 2018 from its nadir-viewing spectrometer measuring reflected sunlight 261 in the visible, near-infrared, ultraviolet, and shortwave infrared. Recent work has shown that 262 TROPOMI measurements are well correlated to ground measures of NO₂ (29, 30) and O₃ (31). 263 We filtered out pixels that are fully or partially covered by clouds using 0.3 as a cutoff for the 264 radiative cloud fraction. As a proxy for atmospheric fine particulate matter (PM_{2.5}), we collected 265 aerosol optical depth (AOD) data from the cloud-masked MCD19A2.006 Terra and Aqua MAIAC collection (32). This dataset has been successfully used to map ground-level PM_{2.5} concentrations 266 267 (33, 34). Global median composite images for NO₂, O₃ and AOD were then calculated for the 268 months of February and March 2019 and 2020.

269

270 In-situ data

Although satellite data have the advantage of wall-to-wall global coverage, there are some drawbacks: (1) TROPOMI does not extend back far enough to obtain an adequate baseline measure with which to compare 2020 concentrations; (2) MODIS and TROPOMI collect information within either the total (O₃ and AOD) or tropospheric (NO₂) column which do not necessarily reflect pollutant levels experienced on the ground. Therefore, we also collected NO₂,

 O_3 and $PM_{2.5}$ data from >10,000 in-situ air quality monitoring stations to supplement the satellite

data. These data were accessed from the OpenAQ Platform and originate from government- and
 research-grade sources. See <u>www.openaq.org</u> for a list of sources. Despite the reliability of the
 sources, we inspected pollutant time series for each country and removed spurious outliers in the
 data with z-scores exceeding an absolute value of 3. Following quality control, we were left with
 data representing 30 countries.

282

283 Quantifying air pollution anomalies

We used two approaches to quantify air pollution anomalies coincident with COVID-19 during Feb/Mar 2020. We refer to these as (1) the Feb/Mar differential, and (2) the lockdown differential (Fig. S1). For the Feb/Mar differential we calculated average pollutant levels for Feb/Mar each year between 2017 and 2020. The differential was defined as the difference between 2020 values and the average of those for a 3-year baseline (2017-2019). For satellite data the baseline was the 2019 Feb/Mar average due to limited temporal extent of TROPOMI data, however for groundstations we considered a 3-year (2017-2019) average for the Feb/Mar period.

291

292 Air pollution anomalies measured with the Feb/Mar differential approach may smooth over the 293 effect of COVID-19 given that country-specific lockdowns or mitigation actions occurred at 294 different times. For instance China went into lockdown in Jan/Feb whereas the majority of 295 lockdowns in other countries occured in March. Therefore we attempted to isolate the effect of 296 COVID-19 mitigation measures by calculating lockdown pollutant levels for each country 297 separately. We searched online media and news articles to identify the starting date of lockdown 298 for each country. Sources were cross-referenced to account for erroneous reporting. We defined 299 two levels of lockdown intensity including moderate and severe lockdowns. Moderate lockdowns 300 involved partial or full closure of borders and flights, government advisories for citizens to work 301 from home, closure of schools, and limiting gathering sizes. Severe lockdowns included 302 government-enforced movement restrictions or curfews and closure of all non-essential 303 businesses. This resulted in a sample of 27 countries that reported lockdown measures and which 304 we had ground-level air pollution data for.

305

306 Air pollution anomalies measured during two weeks post-lockdown are not necessarily 307 attributable to reduced economic activity, but may be an artifact of meteorological variability 308 coincident with the onset of COVID-19. Therefore we adopted a modelled differential approach to 309 correct for the effect of meteorological parameters on air pollution trends. This involved 310 developing a model based on historical data to estimate what the expected air pollution levels for 311 2020 lockdown dates should have been given the prevailing weather conditions and time of year. 312 We performed multiple linear regression of weekly pollutant concentrations on temperature, 313 humidity, precipitation and wind speed derived from the Global Forecast System (GFS) of the 314 National Centers for Environmental Prediction (NCEP) between Jan 2017 and Apr 2020. We 315 accounted for the effect of seasonal fluctuations and long-term trends by including month and 316 year as fixed effects in the model. We calculated the sin and cos component of the month variable 317 to account for its cyclical nature. Using models trained on historical data, we predicted the 318 expected pollutant levels for the two lockdown weeks. The modelled differential is then the 319 difference between this predicted value and the observed pollutant concentrations during

lockdown (Fig. S1). This differential has been attributed to COVID-19 mitigation measures with
 greater confidence than simple comparisons with 3-yr baseline values.

322

323 Linking air pollution anomalies to public health burden

324 To relate COVID-19 lockdown air pollution anomalies to all-cause mortality and pediatric asthma 325 incidence we applied short-term (daily) exposure-response relationships reported in recent 326 literature. We obtained relative risks from recent studies on the relationship between daily 327 mortality and O_3 (18) and $PM_{2.5}$ (19) resulting from the Multi-City Multi- Country (MCC) 328 Collaborative Research Network (35). For NO₂-mortality responses, we used relative risks 329 reported in a meta-analysis which controlled for the effect of particulate matter to extract excess 330 mortality solely attributable to NO_2 (16). Pediatric (< 18 years) short-term relative risks for asthma 331 incidence in response to NO₂, O_3 and PM_{2.5} were derived from a global meta-analysis of 87 332 studies (17). These data are not country-specific and we therefore applied the same relative risk 333 rate to all countries in our study.

334 Daily health burden (premature mortality and asthma incidence) for each country was derived 335 with the formula:

$$HB = Inc \times Pop \times \frac{(RR-1)}{RR}$$

336

337 Where Inc is the baseline mortality or asthma incidence rate and Pop is the total population. Inc 338 for mortality and asthma were obtained from the Institute for Health Metrics and Evaluation (IHME) 339 for the 27 countries in our study (22), downloadable at the GDBx platform 340 (http://ghdx.healthdata.org/). Population estimates for 2020 were calculated using the Gridded 341 Population of the World (GPWv14) dataset (36). RR is the relative risk derived from the literature 342 after log-linear transformation. We used log-linear transformation as adopted by many others (3, 343 37) to prevent assumptions of linearity in the relationship between pollutant concentrations and 344 health outcome. We derive the transformed *RR* using:

$$RR = e^{-\beta \times (\alpha - \gamma)}$$

where α is the pollutant concentration and γ is the low concentration threshold below which there is no risk of mortality or asthma incidence. Low concentration thresholds were derived from the associated literature for O₃ at 70 µg m⁻³ (18); PM_{2.5} at 4.1 µg m⁻³ (19) and NO₂ at 2 ppb (3). Here β is defined by the function:

350

$$\beta = \frac{\ln \lambda}{\delta}$$

351 where λ is the relative risk reported in the literature and δ is the concentration increment used. All 352 three studies reported results relative to a of 10 µg m⁻³.

353 The air pollution health burden anomaly coincident with COVID-19 lockdown was defined as:

$$\Delta HB = \overline{HB}_{2020 \, lockdown} - \overline{HB}_{2017-2019 \, DOY \, lockdown}$$

354

Where *DOY* is the days-of-year equivalent for each country's two weeks lockdown dates. We use of 95% confidence intervals reported in the literature to derive error margins around our change estimates. Health burden estimates are made for each day during lockdown events during 2020 and the past three years for comparison. We also perform a counterfactual forecasting assessment for 2020 where we assume the lockdown reductions in NO₂, O₃, PM_{2.5} are sustained for the remainder of the year. Using the resulting daily forecasts we calculated the total avoidable air pollution related mortalities and new asthma incidence.

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Fig. 1: Global distribution of 2020 air pollution anomalies. Satellite and ground station
measures of NO₂ (A,B), O₃ (C, D), aerosol optical depth (E) and PM_{2.5} (F) anomalies are mapped.
Anomalies are defined as 2020 deviations from Feb/Mar 2019 average for satellite data and from
Feb/Mar 3-yr averages for ground stations. Inset plots show data density distributions for
anomalies over inhabited land areas.



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Fig. 2: Ground-level air pollution time series. Weekly time series for ground station pollutant concentrations are plotted for Feb/Mar 2020 and the 3-yr average for the equivalent weeks (A, B,
C). Loess regression lines and 95% confidence interval ribbons show globally averaged trends (n = 30 countries). Country-specific time series showing percentage deviation from long-term means are plotted in D, E and F. For country code reference refer to: www.iso.org/obp/ui/



Fig. 3: Post-lockdown health burden changes attributable to air pollution. Air pollution anomalies during two weeks post-lockdown are converted to mortality and asthma responses (n = 27 countries). Total health burden avoided (-ve) and incurred (+ve) values are presented with bars along a log-transformed x-axis. 95% uncertainty intervals are marked with error bars. Hollow bars represent estimates where the change in pollutant concentrations were not significant (p > 0.05) after accounting for weather variations (Fig. S5).

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487Jan 2020Apr 2020Jul 2020Oct 2020Jan 2021488Fig. 4: Projected daily health outcomes over 2020. Potential daily premature deaths (solid489lines) and asthma incidence (dashed lines) that might be avoided assuming pollutant levels490remain at lockdown levels (NO2: -29%; O3: -11%; PM2.5: -9%). Lines reflect global averages (n =49127 countries) with 95% confidence interval ribbons.

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Supplementary tables and figures 495



497 498

Fig. S1: Methodological workflow for paper. Two types of air pollution (P) anomaly are 499 500 calculated including Feb/Mar differential and Lockdown differential. The first is the difference 501 between the Feb/Mar 2020 and the average for the same days during the previous three years 502 (2017-2019; ground-station data) or one year (satellite data). The Lockdown differential is the 503 difference between observed and predicted pollutant levels for two weeks post-lockdown. 504 Predictions are made to account for the confounding effects of weather variability using a 505 regression model. These differentials are used to calculate the change in mortality or asthma 506 burden (HB) as a result of COVID-19 induced pollution anomalies. Relative risk rate functions 507 are extracted from the literature outlined with dashed lines (refer to reference list in main 508 manuscript for full references). 509



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511 Fig. S2: Satellite-derived air pollution Feb/Mar anomalies. Percentage temporal differentials

512 (Feb/Mar 2020 vs Feb/Mar 2019) in atmospheric NO_2 , O_3 and aerosol optical depth (AOD) per

513 country. Box and whisker plots show the spread of the data (each data point is a satellite pixel

- 514 within a country) around the median value.
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517 Fig. S3. Ground-level air pollution Feb/Mar anomalies. Percentage Feb/Mar differentials

518 (Feb/Mar 2020 vs 3-yr average for Feb/Mar) in atmospheric NO₂, O₃ and PM_{2.5} per country with

519 air quality station data. Anomalies are expressed as percentage differences with bars.

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Fig. S4. Pollutant time series and lockdown dates. Daily time series of ground-level NO₂, O₃
 and PM_{2.5} per country with dates of lockdown indicated by vertical lines. Smoothed loess
 regression lines are fitted to indicate moving averages. For country code reference refer to:
 www.iso.org/obp/ui/

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531 Fig. S5. Ground-level air pollution lockdown anomalies corrected for weather variations.

Percentage lockdown differentials (observed vs predicted concentrations for lockdown dates) in
 atmospheric NO₂, O₃ and PM_{2.5} per country with air quality station data. Anomalies are
 expressed as percentage differences with points and 95% confidence intervals with error bars.
 Predicted values are based on regression models that account for the effects of weather

- 536 variations during lockdown. Points are sized relative to the R^2 of the model ranging from 0.2 to 537 0.9.
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540 **Table S1. Regression model performance.** Air pollutant concentrations were regressed on 541 meteorological variables (temperature, humidity, precipitation and wind speed) to predict what 542 air pollutant concentrations were expected to be during lockdown dates. Separate models were 543 built for each country and the resulting R^2 and *p-values* are presented.

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	NO ₂		O ₃		PM _{2.5}	
	R ²	p-value	R ²	p-value	R ²	p-value
Australia	0.432	7.4E-13	0.598	6.0E-26	0.412	1.5E-13
Belgium	0.279	3.1E-05	0.583	4.9E-21	0.452	2.4E-13
Canada	0.775	8.4E-40	0.869	1.0E-60	0.278	5.3E-06
Chile	0.835	7.9E-50	0.601	1.1E-24	0.786	9.5E-45
China	0.682	3.9E-21	0.620	4.5E-19	0.730	7.4E-34
Croatia	0.372	3.7E-09	0.815	8.7E-49	0.453	1.4E-14
Czechia	0.472	4.5E-14	0.799	1.9E-46	0.332	8.4E-09
Denmark	0.376	2.1E-08	0.714	1.7E-29	0.446	5.2E-05
Finland	0.515	1.1E-16	0.696	2.0E-33	0.209	2.4E-04
France	0.547	3.2E-18	0.799	9.8E-46	0.437	1.1E-13
Germany	0.338	1.6E-07	0.672	7.6E-30	0.468	3.6E-15
Hungary	0.527	6.0E-17	0.822	1.1E-49	0.385	5.1E-11
India	0.737	5.5E-25	0.367	2.8E-07	0.725	2.4E-35

	NO ₂		O ₃		PM _{2.5}	
	R ²	p-value	R ²	p-value	R²	p-value
Ireland	0.394	2.1E-09	0.519	2.1E-17	0.245	4.1E-04
Italy	0.829	3.5E-40	0.897	1.8E-57	0.505	2.8E-14
Lithuania	0.301	3.7E-05	0.640	2.0E-23	0.325	4.1E-07
Macedonia	0.522	5.6E-17	0.781	1.2E-43	0.464	3.7E-10
Norway	0.668	6.0E-29	0.686	2.6E-33	0.455	1.6E-15
Peru	0.450	2.2E-03	0.820	2.9E-17	0.406	6.7E-10
Poland	0.549	7.5E-07	0.870	1.9E-25	0.653	1.1E-11
Portugal	0.266	3.6E-04	0.513	2.3E-15	0.223	6.6E-04
Slovakia	0.648	6.7E-22	0.866	4.8E-51	0.626	1.3E-22
Spain	0.503	8.4E-16	0.693	7.8E-33	0.416	7.5E-13
Switzerland	0.605	4.4E-16	0.829	2.4E-38	0.446	2.3E-10
Thailand	0.670	1.0E-28	0.757	3.6E-41	0.453	5.7E-05
United Kingdom	0.569	8.4E-21	0.750	7.5E-41	0.360	1.8E-10
United States	0.795	1.2E-42	0.865	3.4E-59	0.368	1.2E-09

Table S2. Lockdown health burden response. Pollutant-related mortality and pediatric
 asthma cases avoided for each country during two weeks of lockdown. Country averages and
 95% confidence intervals are reported with negative (-) signs representing cases where health
 burden has increased. Numbers are rounded to the nearest whole number. Values with
 significant (p < 0.05) pollutant anomalies after correcting for meteorological parameters are
 indicated with *.

	Mortality			Asthma		
Country	NO ₂	O ₃	PM _{2.5}	NO ₂	O ₃	PM _{2.5}
Australia	0 [0; 0]*	0 [0; 0]*	7 [-1; 14]	0 [0; 0]*	0 [0; 0]*	0 [0; 0]
Belgium	1 [1; 2]*	5 [1; 9]*	2 [0; 5]	12 [9; 14]*	3 [2; 4]*	0 [0; 0]
Canada	0 [0; 0]	0 [0; 0]	-6 [-4; -8]	0 [0; 0]	0 [0; 0]	0 [0; 0]
Chile	1 [1; 2]*	-2 [-1; -4]*	9 [-23; 42]*	8 [6; 9]*	-1 [-1; -2]*	3 [1; 3]*
China	427 [235; 619]*	247 [35; 440]	1444 [1127; 1761]*	4992 [3973; 5962]*	212 [127; 265]	381 [143; 478]*
Croatia	2 [1; 2]*	0 [0; -1]	-9 [2; -21]*	8 [6; 10]*	0 [0; 0]	0 [0; -1]*
Czechia	0 [0; 0]	5 [1; 9]	-10 [2; -23]	2 [1; 2]	1 [1; 2]	-1 [0; -1]
Denmark	0 [0; 0]	-2 [-1; -3]	0 [0; 0]	-1 [-1; -1]	-1 [0; -1]	0 [0; 0]
Finland	1 [0; 1]*	-1 [0; -1]	0 [1; -1]	3 [2; 3]*	0 [0; 0]	0 [0; 0]
France	11 [6; 16]*	29 [9; 47]*	-44 [8; -97]*	69 [55; 84]*	10 [6; 14]*	-3 [-1; -4]*
Germany	12 [7; 18]*	15 [5; 25]	125 [-23; 276]*	88 [70; 106]*	8 [4; 10]	9 [3; 11]*
Hungary	1 [1; 2]*	5 [1; 8]*	2 [0; 5]	6 [5; 7]*	2 [1; 2]*	0 [0; 0]

	Mortality			Asthma		
Country	NO ₂	O ₃	PM _{2.5}	NO ₂	O ₃	PM _{2.5}
India	52 [29; 76]	-300 [-77; -522]	5313 [998; 11763]*	259 [207; 308]	-197 [-106; -273]	460 [174; 576]*
Ireland	0 [0; 1]*	2 [1; 4]*	-2 [0; -4]	5 [4; 6]*	2 [1; 2]*	0 [0; 0]
Italy	12 [7; 17]*	5 [1; 10]	97 [-18; 214]*	68 [54; 81]*	2 [1; 2]	5 [2; 6]*
Lithuania	0 [0; 0]	1 [0; 2]	-5 [1; -10]	0 [0; 0]	0 [0; 1]	0 [0; 0]
Macedonia	0 [0; 0]	0 [0; 0]	7 [-1; 16]	0 [0; 0]	0 [0; 0]	1 [0; 1]
Norway	1 [0; 1]*	0 [0; 0]	0 [0; -1]	6 [4; 7]*	0 [0; 0]	0 [0; 0]
Poland	1 [1; 2]	14 [4; 24]	-33 [6; -73]	7 [6; 9]	5 [3; 7]	-2 [-1; -3]
Portugal	2 [1; 3]*	-1 [0; -2]	0 [-8; 8]*	13 [11; 16]*	0 [0; -1]	0 [0; 0]*
Slovakia	0 [0; 0]*	2 [1; 4]*	11 [-2; 25]*	1 [1; 2]*	1 [0; 1]*	1 [0; 1]*
Spain	10 [5; 14]*	1 [-1; 3]	-48 [-29; - 68]*	83 [65; 100]*	1 [1; 2]	-2 [-1; -2]*
Switzerland	1 [0; 1]*	4 [1; 7]*	-10 [12; - 33]*	6 [5; 7]*	2 [1; 3]*	-1 [0; -1]*
Thailand	0 [0; 0]*	0 [0; 0]	-14 [3; -32]	0 [0; 0]*	0 [0; 0]	-1 [0; -2]
United Kingdom	9 [5; 13]*	18 [12; 24]	0 [0; 0]	73 [58; 88]*	5 [3; 7]	0 [0; 0]
United States	0 [0; 0]*	0 [0; 0]*	0 [0; 0]	0 [0; 0]*	0 [0; 0]*	0 [0; 0]

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559**Table S3. Projected health burden response.** Potential premature deaths and asthma560incidence that might be avoided between April and December 2020 assuming pollutant levels561remain at lockdown levels (NO₂: -29%; O₃: -11%; PM_{2.5}: -9%). Country averages and 95%562confidence intervals are reported. Numbers are rounded to the nearest whole number. Values563with significant (p < 0.05) pollutant anomalies after correcting for meteorological parameters are</td>564indicated with *.

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	Mortality			Asthma		
Country	NO2	O ₃	PM _{2.5}	NO2	O ₃	PM _{2.5}
Australi a	0 [0; 0]*	0 [0; 0]*	1183 [-100; 2490]	271 [212; 329]*	1 [0; 1]*	720 [244; 951]
Belgium	375 [206; 544]*	66 [17; 115]*	743 [-140; 1645]	412 [323; 500]*	554 [303; 760]*	985 [336; 1299]
Canada	0 [0; 1]	0 [0; 0]	1501 [1033; 1969]	187 [147; 228]	1 [0; 1]	598 [203; 790]
Chile	566 [311; 820]*	52 [13; 90]*	566 [-1424; 2577]*	834 [659; 1004]*	402 [213; 568]*	2251 [783; 2940]*
China	53168 [29267; 77028]*	11900 [1707; 21169]	106239 [82918; 129560]*	184362 [146185; 221065]*	138422 [84473; 170702]	434338 [152711; 564220]*
Croatia	165 [91; 239]*	44 [11; 76]	429 [-81; 950]*	142 [111; 172]*	194 [111; 255]	321 [110; 422]*
Czechia	337 [186; 489]	107 [12; 196]	1127 [-212; 2495]	419 [329; 508]	478 [273; 628]	893 [306; 1174]
Denmar k	82 [45; 118]	36 [9; 63]		0 [0; 0]	196 [110; 262]	
Finland	104 [57; 151]*	42 [11; 72]	27 [-104; 158]	63 [49; 76]*	204 [114; 274]	148 [50; 195]
France	2102 [1157; 3046]*	588 [186; 960]*	3911 [-735; 8658]*	1584 [1240; 1924]*	3016 [1700; 4020]*	3699 [1259; 4882]*
German y	3216 [1770;	497 [165; 796]	6606 [-1241; 14627]*	3087 [2418; 3745]*	3516 [1933; 4803]	6464 [2205; 8522]*

	Mortality			Asthma		
Country	NO ₂	O ₃	PM _{2.5}	NO ₂	O ₃	PM _{2.5}
	4661]*					
Hungary	448 [247; 650]*	86 [22; 149]*	1115 [-209; 2469]	371 [291; 450]*	391 [218; 528]*	826 [283; 1086]
India	61323 [33762; 88829]	5053 [1299; 8807]	464884 [- 87318; 1029256]*	136241 [110166; 160303]	46895 [25231; 65438]	580762 [214905; 736696]*
Ireland	96 [53; 139]*	27 [7; 47]*	162 [-30; 359]	116 [91; 141]*	308 [172; 415]*	302 [103; 398]
Italy	2493 [1372; 3613]*	557 [93; 1052]	4791 [-900; 10608]*	1792 [1405; 2174]*	2266 [1282; 3011]	3417 [1166; 4503]*
Lithuani a	85 [47; 123]	24 [6; 42]	311 [-58; 689]	98 [77; 119]	113 [62; 155]	229 [78; 302]
Macedo nia	62 [34; 90]	13 [3; 22]	339 [-64; 750]	144 [114; 174]	87 [48; 119]	366 [127; 477]
Norway	121 [67; 175]*	26 [7; 46]	135 [-25; 300]	55 [43; 67]*	196 [110; 263]	168 [57; 222]
Poland	1147 [631; 1662]	307 [79; 533]	4440 [-834; 9830]	1764 [1388; 2132]	1623 [911; 2173]	3833 [1321; 5029]
Portugal	336 [185; 487]*	57 [-16; 135]	16 [-612; 650]*	197 [154; 240]*	420 [236; 561]	403 [137; 532]*
Slovakia	162 [89; 234]*	46 [12; 79]*	482 [-91; 1068]*	172 [135; 209]*	219 [124; 290]*	389 [133; 511]*
Spain	1207 [664; 1749]*	136 [- 181; 430]	5998 [3611; 8415]*	1616 [1265; 1962]*	2418 [1360; 3230]	3015 [1026; 3979]*
Switzerl and	241 [133; 349]*	63 [10; 113]*	342 [-415; 1116]*	279 [218; 339]*	451 [258; 595]*	559 [191; 738]*
Thailand	2 [1; 3]*	0 [0; 0]		0 [0; 0]	2 [1; 3]	

	Mortality		Asthma				
Country	NO ₂	O ₃	PM _{2.5}	NO ₂	O ₃	PM _{2.5}	
United Kingdo m	2337 [1286; 3386]*	843 [577; 1109]	23130 [18738; 27522]	1886 [1476; 2291]*	3547 [1960; 4821]	10426 [3541; 13772]	
United States	8 [5; 12]*	2 [1; 3]*	0 [0; 0]	3506 [2742; 4261]*	13 [6; 19]*	0 [0; 0]	