

Since January 2020 Elsevier has created a COVID-19 resource centre with free information in English and Mandarin on the novel coronavirus COVID-19. The COVID-19 resource centre is hosted on Elsevier Connect, the company's public news and information website.

Elsevier hereby grants permission to make all its COVID-19-related research that is available on the COVID-19 resource centre - including this research content - immediately available in PubMed Central and other publicly funded repositories, such as the WHO COVID database with rights for unrestricted research re-use and analyses in any form or by any means with acknowledgement of the original source. These permissions are granted for free by Elsevier for as long as the COVID-19 resource centre remains active.

Journal Pre-proof

Role of anaesthesiologists during the coronavirus disease 2019 outbreak in China

Manping Yang, Hailong Dong, Zhihong Lu

PII: S0007-0912(20)30197-5

DOI: https://doi.org/10.1016/j.bja.2020.03.022

Reference: BJA 1051

To appear in: British Journal of Anaesthesia

Received Date: 10 March 2020

Revised Date: 16 March 2020

Accepted Date: 17 March 2020

Please cite this article as: Yang M, Dong H, Lu Z, Role of anaesthesiologists during the coronavirus disease 2019 outbreak in China, *British Journal of Anaesthesia*, https://doi.org/10.1016/j.bja.2020.03.022.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2020 British Journal of Anaesthesia. Published by Elsevier Ltd. All rights reserved.



Role of anaesthesiologists during the COVID-19 outbreak in China Manping Yang, Hailong Dong, Zhihong Lu* Department of Anaesthesiology and Perioperative Medicine, Xijing Hospital, Fourth Military Medical University, Xi'an Shaanxi, China

*Corresponding author. Email: deerlu23@163.com

Brief title: Anaesthesiologists in COVID-19 care

Keywords: airway management; anaesthesiologist; China; critical care; coronavirus, COVID-19; infection control; rapid response team

From December 2019, a respiratory disease caused by the novel coronavirus SARS-CoV-2 was traced to Wuhan, China, and transmitted to over 143 countries. On 30 January 2020 the WHO designated the novel coronavirus pneumonia outbreak as a global health emergency and named it COVID-19. As of 15 March 2020, 81048 confirmed COVID-19 cases had been reported in China.¹ From 24 January, the traditional Chinese New Year eve, over 42,000 healthcare providers from all around China have gathered in Wuhan to support the local healthcare system (data from National Health Commission of China). Among them, over 1000 were anaesthesiologists (data from Chinese Society of Anaesthesiology, CSA). In the battle against COVID-19, Chinese anaesthesiologists explored new working models to improve patient outcomes and minimize the risk of contracting COVID-19.

Airway management team

COVID-19 can have similarities and differences compared to Severe Acute Respiratory Syndrome (SARS) and Middle East Respiratory Syndrome (MERS) in terms of infectious period, transmissibility, clinical severity, and extent of community spread (table 1). With the increasing knowledge of the clinical progress of COVID-19 cases, the timing of invasive ventilation changed. As indicated by computed tomography (CT) results,² 5-8 days after onset

Journal Pre-proo

of initial symptoms the infection rapidly aggravated and extended to a bilateral multi-lobar pulmonary effusion and consolidation. Although non-invasive ventilation temporarily improves oxygenation in COVID-19 patients, it may not necessarily change the natural course of the acute respiratory distress syndrome (ARDS).³ On 18 February 2020, the state council of China issued *Question and answers of tracheal intubation for novel coronavirus pneumonia cases*, in which the criteria for tracheal intubation were defined as oxygenation index < 150 mmHg after at least 2 h of continuous positive airway pressure (CPAP) with 100% oxygen, and it was recommended that intubation be scheduled rather than emergent. According to the new criteria, patients would receive intubation and ventilation therapy earlier. As a result, more patients needed intubation. In many hospitals, airway management teams consisting of skilled anaesthesiologists were established to meet the need for intubation.

Depending on the anaesthesiologists available, airway management team size was 4-18 people. Airway management team members worked in rotation, with only 1-2 anaesthesiologists in the isolation ward at a time. The minimal size helps avoid unnecessary viral exposure. An intubation cart containing modular packs of medicines and materials was kept in the ward to minimise the traffic of people bringing materials into the room. Videolaryngoscopy with a replaceable blade was widely used to avoid placing the face of the anaesthesiologist close to the patient. Light wand, laryngeal mask airway (LMA), flexible bronchoscope and cricothyroid puncture kit were also available in the cart in case of difficult intubation. Protocols for unanticipated difficult airway were followed, highlighting the role of an intubating LMA.⁴

Any known or suspected COVID-19 patient must be regarded as ultra-high risk. Tracheal intubation of these patients is a high-risk aerosol-generating airway procedure requiring standard grade 3 personal protective equipment (PPE)⁴ including an N95 mask, goggles, face shield, double gown, double gloves, protective overshoes, and a powered respirator (powered air purifying respirators).

The Airway Management group of the CSA issued *Expert Recommendations for Tracheal Intubation in Critically ill Patients with Novel Coronavirus Disease 2019* on 22

Journal Pre-proo

February, 2020.⁴ According to these recommendations and current evidence, principles include minimizing generation of aerosols (see Supplemental Digital Content 1 for guidance for tracheal intubation of COVID-19 cases).^{4 5} Bag-mask ventilation prior to intubation, patient coughing during laryngoscopy or intubation, and inadequate sedation putting the patient at risk of agitation can generate aerosols. With adequate pre-oxygenation, bag-mask ventilation can ideally be avoided. Continuous positive airway pressure (CPAP) with 100% oxygen for 5 min is recommended for pre-oxygenation. If available, high-flow nasal cannula (HFNC) delivery systems can be used for pre-oxygenation, though these can increase the risk of viral spread through aerosol generation.⁶ To minimise this risk, the mouth and nose of the patient can be covered with normal saline saturated gauze during pre-oxygenation. To avoid agitation and cough, intubations are best done using a rapid sequence intubation technique.⁴⁵ Midazolam, propofol and etomidate can be used depending on the patient condition. After sedation, at least 0.9 mg kg⁻¹ of rocuronium or 1 mg kg⁻¹ of succinvlcholine should be used. A hydrophobic filter should be attached to the resuscitation bag, between the mask or tracheal tube (TT) and the bag. When difficult airway is anticipated, flexible bronchoscopic intubation can be performed using a videobronchoscope. Awake fibreoptic intubation should be avoided to decrease exposure to aerosols.⁵ If unanticipated difficult airway occurs, anintubating LMA and surgical airway can be considered.

Extubation with minimal agitation and coughing is important for both ICU patients and surgical patients. Careful suctioning with a closed sputum suction device before return of consciousness can be important.⁷ A recent meta-analysis⁸ reported that procedures (including dexmedetomidine, remifentanil, fentanyl, and lidocaine i.v., intracuff, tracheal or topical) were all better than placebo in reducing moderate to severe emergence cough, with dexmedetomidine ranked the most effective. Dexmedetomidine and lidocaine by various routes have been used in COVID-19 cases. Extubation before return of consciousness is recommended for patients without a difficult airway.⁷ However, a device for reintubation should be available. Extubation without removal of the filter is important.⁴

Intensive care

Anaesthesiologists in surgical intensive care units (SICU) and anaesthesia intensive care units (AICU) contribute greatly to the management of COVID-19 cases. At Jinyintan Hospital in Wuhan,⁹ of 17 patients who developed ARDS, 11 worsened in a short period of time. At Zhongnan Hospital in Wuhan,¹⁰ 47.2% of patients in the ICU received invasive ventilation and four were switched to extracorporeal membrane oxygenation (ECMO). Preprint reports of deaths revealed that durations from initial symptoms to death were short (15 days, interquartile range 11-20 days).¹¹ Autopsy results of COVID-19 cases indicated much sticky mucus in the small airways.¹² A high percentage of patients in the ICU need 'active' invasive airway intervention, and critically ill patients may benefit from ECMO, two fields that anaesthesiologists are expert in.

During the outbreak, critical care services in China were confronted with a rapid increase in the demand on resources. All hospitals and other organizations were involved in the care for COVID-19 cases. In many hospitals airway team members took charge of ventilation management after tracheal intubation. Once intubated, classical ventilation strategies ^{6 13} have been effective in critically ill COVID-19 cases, including lung protective mechanical ventilation strategies and prone position ventilation. Lung protective mechanical ventilation strategies include low target tidal volume (6 mL kg⁻¹ predicted body weight), plateau pressure \leq 30 cm H₂O, target SaO₂ 88–95%, pH \geq 7.25, and intermittent recruitment maneuvers.¹⁴

Ultrasonography, another technique widely used in the field of anaesthesiology during the last decade in China, was very useful in the management of critically ill COVID-19 patients. Anaesthesiologists usually use ultrasound for nerve blocks, vascular puncture and emergency screenin, of the chest and abdomen. Given potential delay in obtaining examinations including chest radiography for patients under airborne isolation, portable ultrasonography is useful to quickly assist in the diagnosis of conditions such as pleural effusion and pneumothorax.⁶ PPE, especially purifying respirators, can preclude procedures such as auscultation. Ultrasonography can also be used in airway evaluation and determination of TT depth.¹⁵

Fast response resuscitation team

Based on experience during the SARS outbreak,⁶ fast response resuscitation teams were organised in some hospitals in Wuhan made up mostly of anaesthesiologists. Members of the resuscitation team stayed on call near the isolated area. During initial resuscitation efforts by the first responder, fast response resuscitation team members would don PPE and take charge of the resuscitation. Like the airway management cart, the resuscitation cart was equipped with modular medicine and equipment to avoid unnecessary traffic. The team size is typically minimized to four to avoid unnecessary viral exposure.

Clinical anaesthesia

During the outbreak of COVID-19, the most important consideration for clinical anaesthesia is infection control. By 10 February 2020, most hospitals in China accepted emergency cases only. However, recently elective surgeries have increased rapidly. Besides, Wuhan, many other areas could also have many infected people. Thus, anaesthesiologists play an essential and demanding role in the preoperative evaluation of COVID-19 infection risk (Supplemental Digital Content 2 shows a fast triage flow chart). Currently, suspicion for infection with COVID-19 requires two symptoms with an epidemiological link, or three without epidemiological link.¹³ Epidemiological links include travel within 14 days to affected areas, or close contact within 14 days of illness onset with a confirmed patient, or close contact with a person having fever and respiratory symptoms and travel to an affected area within 14 days of illness onset.¹³ Fever may not be present in all patients. The absence of fever in COVID-19 is more frequent than in SARS and MERS, so appropriate infectious control precautions should be in place even in those without typical symptoms.¹⁶ With the spread of the virus to more areas and countries, anaesthesiologists all over the world may face the challenge of weighing the risk of infection and the need for medical care of patients.

Role of academic anaesthesiology organizations in China

Chinese academic anaesthesiology organizations immediately reacted to the COVID-19 outbreak, focusing on improving medical care and protecting anaesthesiologists.

Psychological support for front-line anaesthesiologists. In the care of COVID-19

Journal Pre-proo

patients, anaesthesiologists are vulnerable to both infection and mental health problems. They may experience depression by the situation, fear of contagion and spreading the virus to their family and others. The heavy workload and discomfort of wearing PPE for long durations can worsen the depression. Healthcare workers in high-risk clinical settings such as SARS units had substantially more post-traumatic stress symptoms.¹⁷ On 13 February 2020, the CSA established a platform to provide psychological support for healthcare providers, especially for front-line anaesthesiologists and their families. Over 20 psychologists provided consultations for anaesthesiologists who took care of COVID-19 patients,

Continuous update of information on COVID-19. Clear communication with regular and accurate updates about the COVID-19 outbreak were provided to anaesthesiologists in order to improve quality of care and to address their sense of uncertainty and fear. The CSA and Chinese Association of Anaesthesiologists (CAA) issued and updated a series of practice recommendations and consensus guidelines, covering facility organization and anaesthetic care during the outbreak of COVID-19 pneumonia. One of the most recent was expert recommendations for anaesthesia and infection control in elective surgeries during recovery after outbreak⁷. The CSA also established a platform for information on COVID-19 patient care¹⁸. The most frequently asked questions about COVID-19 are continuously collected and answered.

Online discussion of anaesthesia care by experts and front-line anaesthesiologists. The CSA and CAA organised online discussions on topics including airway management, infection control and medical care of specific populations, such as the parturients, during the outbreak of COVID-19. Experts with experiences in H1N1, SARS and Ebola management and front-line anaesthesiologists were invited into the discussions.

In conclusion, Chinese anaesthesiologists were essential to various critical aspects of the response to the outbreak of COVID-19. Earlier invasive ventilation, establishment of airway management teams and fast response resuscitation teams, and use of point-of-care ultrasonography were major contributions. With the change in epidemiologic characteristics of COVID-19 patients, anaesthesiologists took on the responsibility of infection control as

well.

Authors' contributions

ZL: conception, data collection, revision, and final approval of the manuscript

HD: conception, revision, and final approval of the manuscript

MY: data collection, writing of the draft, and final approval of the manuscript

Declaration of interest

The authors declare that they have no conflict of interest.

Funding

Funded by the National Science Foundation of China (No. 81871028) and Nature Science Foundation of Shaanxi Province (No. 2018-SF-277).

References

World Health Organization. WHO: Coronavirus disease (COVID-2019) situation report-55.
 Available from: https://www.who.int/docs/default-source/coronaviruse/situation-reports/20200315-sitrep-55-covid-19.pdf?sfvrsn=33daa5cb_6. [Accessed 15 March 2020]
 Pan F, Ye T, Sun P, et al. Time Course of Lung Changes On Chest CT During Recovery
 From 2019 Novel Coronavirus (COVID-19) Pneumonia. *Radiology* 2020: 200370. https://doi.org/10.1148/radiol.2020200370. Access published February

3. Namendys-Silva SA. Respiratory support for patients with COVID-19 infection. *Lancet Respir Med* 2020. https://doi.org/10.1016/S2213-2600(20)30110-7. Access published March

4. Zuo MZ, Huang YG, Ma WH, et al. Expert Recommendations for Tracheal Intubation in Critically ill Patients with Novel Coronavirus Disease 2019. *Chin Med Sci J* 2020. https://doi.org/10.24920/003724. Access published February

5. Peng PWH, Ho PL, Hota SS. Outbreak of a new coronavirus: what anaesthetists should know. *Br J Anaesth* 2020. https://doi.org/10.1016/j.bja.2020.02.008. Access published

February

6. Wax RS, Christian MD. Practical recommendations for critical care and anesthesiology teams caring for novel coronavirus (2019-nCoV) patients. *Can J Anaesth* 2020. https://doi.org/10.1007/s12630-020-01591-x. Access published February

7. Chinese Association of Anesthesiologists, Chinese Society of Anesthesiology. *Suggestion* for anesthesia management and infection control for routine surgeries during COVID-19 outbreak. http://www.csahq.cn/guide/detail_1045.html. [Accessed 15 March 2020]

8. Tung A, Fergusson NA, Ng N, Hu V, Dormuth C, Griesdale DEG. Medications to reduce emergence coughing after general anaesthesia with tracheal intubation: a systematic review and network meta-analysis. *Br J Anaesth* 2020. https://doi.org/10.1016/j.bja.2019.12.041. Access published February

9. Chen N, Zhou M, Dong X, et al. Epidemiological and clinical characteristics of 99 cases of 2019 novel coronavirus pneumonia in Wuhan, China: a descriptive study. *Lancet* 2020; 395: 507-13.

10. Wang D, Hu B, Hu C, et al. Clinical characteristics of 138 hospitalized patients with 2019
Novel Coronavirus-infected pneumonia in Wuhan, China. JAMA 2020.
https://doi.org/10.1001/jama.2020.1585. Access published February

11. Zhang BC, Zhou XY, Qiu YR, et al. Clinical characteristics of 82 death cases with
COVID-19.medRxiv2020:2020.02.26.20028191.https://doi.org/10.1101/2020.02.26.20028191.Access published March

12. Liu Q, Wang RS, Qu GQ, et al. Autopsy results of COVID-19 cases (Chinese). *Fa Yi Xue Za Zhi (J Foren Med)* 2020; 36:1-3

13. National Health Commission of China. Protocol of diagnosis and treatment of novelcoronaviruscases(version7).2020.Availablefrom:http://www.nhc.gov.cn/yzygj/s7653p/202003/46c9294a7dfe4cef80dc7f5912eb1989.shtml.

[Accessed 15 March 2020]

14. Fan E, Brodie D, Slutsky AS. Acute Respiratory Distress Syndrome: Advances in Diagnosis and Treatment. *JAMA* 2018; 319:698-710.

15. Ahn JH, Kwon E, Lee SY, Hahm TS, Jeong JS. Ultrasound-guided lung sliding sign to confirm optimal depth of tracheal tube insertion in young children. *Br J Anaesth* 2019; 123:309-15

16. Guan WJ, Ni ZY, Hu Y, et al. Clinical Characteristics of Coronavirus Disease 2019 in China. *N Engl J Med* 2020. https://doi.org/10.1056/NEJMoa2002032. Access published February

17. Wu P, Fang Y, Guan Z, et al. The psychological impact of the SARS epidemic on hospital employees in China: exposure, risk perception, and altruistic acceptance of risk. *Can J Psychiatry* 2009; 54: 302-11.

18. Platform for questions and answers during novel coronavirus outbreak. Available from: http://www.csahq.cn/faq/ftopic.html. [Accessed 14 March 2020]

19. Liu Y, Gayle AA, Wilder-Smith A, Rocklov J. The reproductive number of COVID-19 is higher compared to SARS coronavirus. *J Travel Med* 2020. https://doi.org/10.1093/jtm/taaa021. Access published February

20. Centers for Disease Control and Prevention. *Frequently asked questions about SARS*. Available from: https://www.cdc.gov/sars/about/faq.html. [Accessed 15 March 2020]

21. World Health Organization. *Middle East respiratory syndrome coronavirus (MERS-CoV)*. Available from: https://www.who.int/emergencies/mers-cov/en/. [Accessed 15 March 2020]

22. Tian S, Hu W, Niu L, Liu H, Xu H, Xiao S. Pulmonary Pathology of Early Phase SARS-

COV-2Pneumonia.Preprints2020:2020020220.https://doi.org/10.20944/preprints202002.0220.v1. Access published March

23. Xu Z, Shi L, Wang Y, et al. Pathological findings of COVID-19 associated with acute respiratory distress syndrome. *Lancet Respir Med* 2020. https://doi.org/10.1016/S2213-2600(20)30076-X. Access published February

24. Zu ZY, Jiang MD, Xu PP, et al. Coronavirus Disease 2019 (COVID-19): A Perspective from China. *Radiology* 2020. https://doi.org/10.1148/radiol.2020200490. Access published February

Characteristics	COVID-19	SARS	MERS
Clinical	Cough or not, sore throat,	Cough, dyspnea,	Sore throat, dry cough,
symptoms	shortness of breath, fever,	fever, chill, malaise,	dyspnea, fever, chill,
	myalgia	headache, diarrhoea	rigors
Epidemiology	R ₀ : 2.2-6.49, mean 3.28 ¹⁹	R ₀ : 2-5	R ₀ <1
	Pre-symptomatic	Peak viral shedding	
	transmission exists	occurs after patients	
		were already quite	
		ill	
Mortality	5735/153517 (3.7%) ^{a1}	774/8096 (9.6%) ^{b20}	858/2494 (34.4%) ^{c 21}
Computed	Multifocal patchy GGOs	Subpleural GGO and	Bilateral, basilar and
tomography	with subpleural	consolidation,	subpleural airspace,
results ²	distribution, progresses	prominent lower	extensive GGO and
	into diffuse heterogeneous	lobe involvement,	occasional septal
	consolidation with GGO	interlobular septal	thickening and pleural
		and intralobular	effusions
		septal thickening	
Pathology			
Lung	Early phase ²² : lung	Bilateral lung	Necrotising pneumonia,
	oedema, proteinaceous	extensive	pulmonary diffuse
	exudate with globules,	consolidation,	alveolar damage ²⁴
	patchy inflammatory	localized	
	cellular infiltration	haemorrhage and	
	Late phase ²³ : pulmonary	necrosis,	
	oedema with hyaline	desquamative	
	membrane formation,	pulmonary alveolitis	
	interstitial mononuclear	and bronchitis,	
	inflammatory infiltrates,	proliferation and	
	viral cytopathic-like	desquamation of	
	changes in the intra-	alveolar epithelial	

cells

Viral particles in

Table 1 Comparison of COVID-19, SARS and MERS

alveolar spaces

Journal Pre-proof			
		lung tissue ²⁴	
Other organs	Mild interstitial	Massive necrosis of	Acute kidney injury,
	mononuclear	splenic lymphoid	portal and lobular
	inflammatory infiltrates in	tissue; systemic	hepatitis and myositis
	the heart ²³	vasculitis;	with muscle atrophic
	Influence on other organs	thrombosis in small	changes. Brain and heart
	still not defined ¹²	veins; degeneration	were histologically
		and necrosis of	unremarkable ²⁴
		parenchyma cells in	
		the lung, liver,	
		kidney, heart, and	
		adrenal gland ²⁴	

^aData as of March 15, 2020

^bData up to 2003

^cData up to November, 2019

COVID: coronavirus disease; ARDS: acute respiratory syndrome; MERS: Middle-east respiratory syndrome; GGO: ground-glass opacity; SARS: xxx