

1 **The lockdown of Hubei Province causing different transmission**
2 **dynamics of the novel coronavirus (2019-nCoV) in Wuhan and**
3 **Beijing**

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12 **Abstract**

13 **Background**

14 After the outbreak of novel coronavirus (2019-nCoV) starting in late 2019, a number
15 of researchers have reported the predicted the virus transmission dynamics. However,
16 under the strict control policy the novel coronavirus does not spread naturally outside
17 Hubei Province, and none of the prediction closes to the real situation.

18 **Methods and findings**

19 We used the traditional SEIR model, fully estimated the effect of control measures, to
20 predict the virus transmission in Wuhan, the capital city of Hubei Province, and
21 Beijing. We forecast that the outbreak of 2019-nCoV would reach its peak around
22 March 6 ± 10 in Wuhan and March 20 ± 16 in Beijing, respectively. The infectious
23 population in Beijing would be much less (only 0.3%) than those in Wuhan at the
24 peak of this transmission wave. The number of confirmed cases in cities inside Hubei
25 Province grow exponentially, whereas those in cities outside the province increase
26 linearly.

27 **Conclusions**

28 The unprecedented province lockdown substantially suspends the national and global
29 outbreak of 2019-nCoV.

30 **Key words:** city closure, quarantine, pandemic, public health, SEIR model,
31 transmission

32 1. Introduction

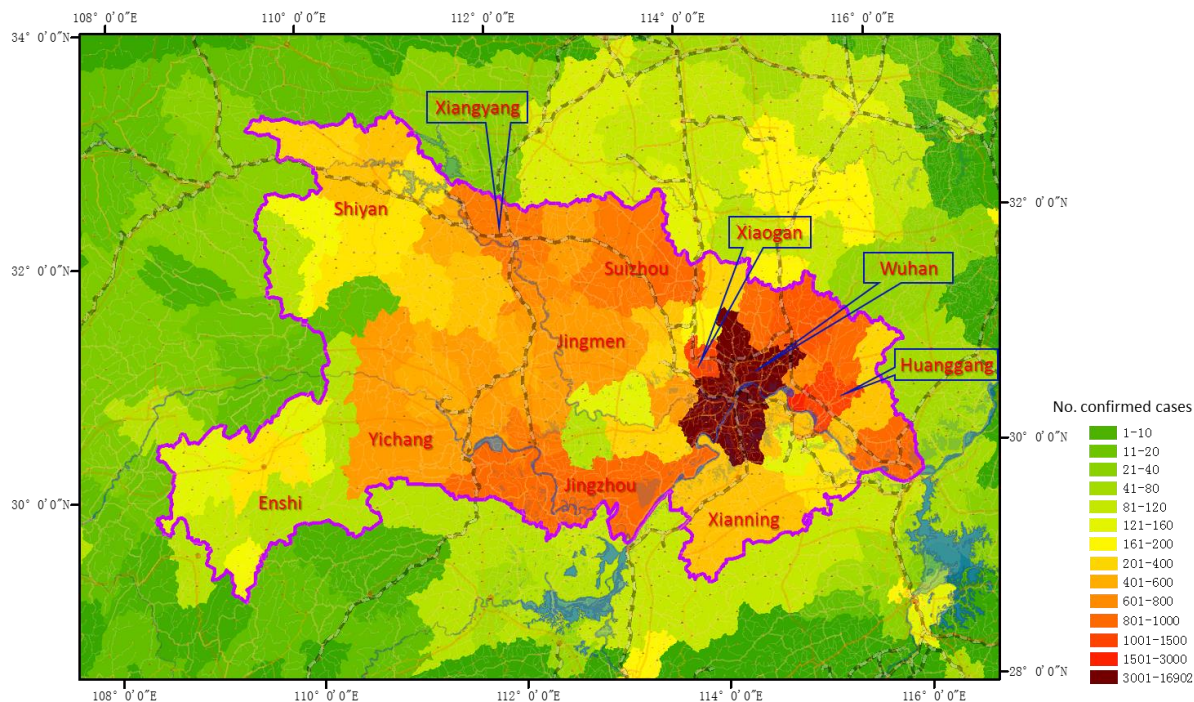
33 A novel coronavirus (2019-nCoV) appeared in December 2019 in Wuhan, Hubei
34 Province in central China had triggered city closure on Jan. 23, 2020, and lockdown
35 of all major cities in the province a few days later (Fig. 1). At present, over 50 million
36 people are constrained locally. Due to the threat of 2019-nCoV to public health, World
37 Health Organization (WHO) declared novel coronavirus (2019-nCoV) outbreak to be
38 “public health emergency of international concern” on Jan. 30, 2020 [1]

39 It is always a great challenge to fight effectively against a pandemic [2, 3],
40 especially when little is known about the new virus [4]. Ideally, governments,
41 communities and medical services take rapid, effective, rational, and proportionate
42 responses to such health emergencies; either minimalist or maximalist responses may
43 potentially be very harmful [5, 6].

44 To facilitate decision making against the 2019-nCoV, researchers had predicted
45 the transmission dynamics in different scenarios. Read et al. [7] estimated that only
46 5.1% (95% CI, 4.8–5.5) of infections in Wuhan were identified; ahead of 14 days,
47 they predicted the number of infected people in Wuhan to be greater than 250
48 thousand on Feb. 4, 2020. Read et al. [7] suggested, before the city closure of Wuhan,
49 that travel restrictions from and to Wuhan city are unlikely to be effective and 2019-
50 nCoV would outbreak in Beijing, Shanghai, etc. with much larger sizes. Leung et al.
51 [8] estimated the transmission dynamics of 2019-nCoV in six major cities in China
52 under six scenarios: 0%, 25%, 50% transmission reduction with and without 50%
53 mobility reduction. However, the assumption of 50% mobility reduction is much
54 lower than the real situation. China government enforced tourism ban on Jan. 24, and
55 carried out other control measures such as extending holidays, closing schools,
56 cancelling meetings, suggesting a 14-day quarantine after travel. In particular,
57 highway traffic control is strictly implemented in many cities, towns, and villages.
58 The majority of communities in large cities such as Beijing and Shanghai have closed
59 to visitors.

60 Under the circumstance of strict control measures, we forecast the transmission
61 dynamics of Wuhan and Beijing. Wuhan is the source of 2019-nCoV, suffered a long
62 history (two months) of virus transmission, with lack of medical resources to

63 quarantine exposed and suspect people. Beijing is a larger city with 22 million
64 residents, including 10 million inbound passengers after the holidays of Chinese New
65 Year. We believe our estimation is close to the real situation and is helpful for 2019-
66 nCoV control.



67 Fig. 1 Lockdown of Hubei Province to enclose novel coronavirus (2019-nCoV). The
68 gradient color represents the number of confirmed cases in Hubei Province and adjacent
69 areas on Feb. 9 (24:00), 2020.

70 2. Methods

71 We used the Susceptible-Exposed-Infectious-Recovered (SEIR) model to estimate the
72 dynamics of the novel coronavirus (2019-nCoV).

73 2.1. The SEIR Model

74 The SEIR model has the form [9]:

$$\begin{aligned}\frac{dS}{dt} &= -\beta \frac{I}{N} S \\ \frac{dE}{dt} &= \beta \frac{I}{N} S - \alpha E \\ \frac{dI}{dt} &= \alpha E - \nu I \\ \frac{dR}{dt} &= \nu I\end{aligned}\quad (\text{Equation 1})$$

75 where S is the susceptible population, E is the exposed population, I is the infectious
76 population, R is the recovered population, t is time (the number of days after the
77 emergence of the first case), N is the total population, β is the average number of
78 infected individuals per infectious subject per unit time, α is the reciprocal of average
79 latent period, ν is the rate of recovery (reciprocal of duration of the infection).

80 We assumed the infection rate of the novel coronavirus decreased when
81 temperature goes up starting from March 1, 2020, since other viruses have a seasonal
82 pattern (wave), such as the 2009 H1N1 pandemic [10]. As such, we defined the
83 decreasing infection rate exponentially as below:

$$\beta_t = \beta \times (1 - C)^{t-90} \quad (\text{Equation 2})$$

84 where β_t is the infect rate at the time t (the first day, $t = 1$, is Dec. 1, 2019, based on
85 the first confirmation of 2019-nCoV on Dec. 8); C is a constant (simulated at 0.01-
86 0.1) defining the decreasing rate of transmission per day.

87 For the cities with continuous imported infected people, we modify the growth
88 rate of infectious population as:

$$\frac{dI}{dt} = \alpha E - \nu I + \text{imported} \quad (\text{Equation 3})$$

90 where *imported* is the daily number of imported infections.

91 2.2. Determination of Model Parameters

92 The basic reproductive number R_0 for 2019-nCoV had been estimated in several
93 independent studies (Table 1). It was noticed that changes in reporting rate of
94 confirmed cases substantially affected R_0 estimation [11]. In fact, R_0 is highly

95 associated with the intensity of control measures. We used a series values of R_0 to fit
96 the number of cases in Wuhan and Beijing.

97 Table 1. The estimated basic reproductive number R_0 for 2019-nCoV

R_0	Lower CI	Upper CI	CV	Citations
3.8	3.6	4.0	0.05	[7]
2.68	2.47	2.86	0.07	[8]
2.24	1.96	2.55	0.13	[11]
3.58	2.89	4.39	0.20	[11]
2.90	2.32	3.63	0.22	[12]
2.92	2.28	3.67	0.23	[12]
6.47	5.71	7.23	0.12	[13]

98 The mean value of R_0 in Table 1 is 3.51, and the mean CV (coefficient of
99 variation) is 0.15.

100 The incubation period ($1/\alpha$ in SEIR model) was estimated as 6.4 (95% CI: 5.6 -
101 7.7) days, ranging from 2.1 to 11.1 days [14]. Liu et al. [12] provided a lower value,
102 4.8 days, for the period. Based on information from other coronavirus diseases, such
103 as SARS and MERS, the incubation period of 2019-nCoV could be up to 14 days
104 [15]. We used 6 days as the incubation period for our simulation.

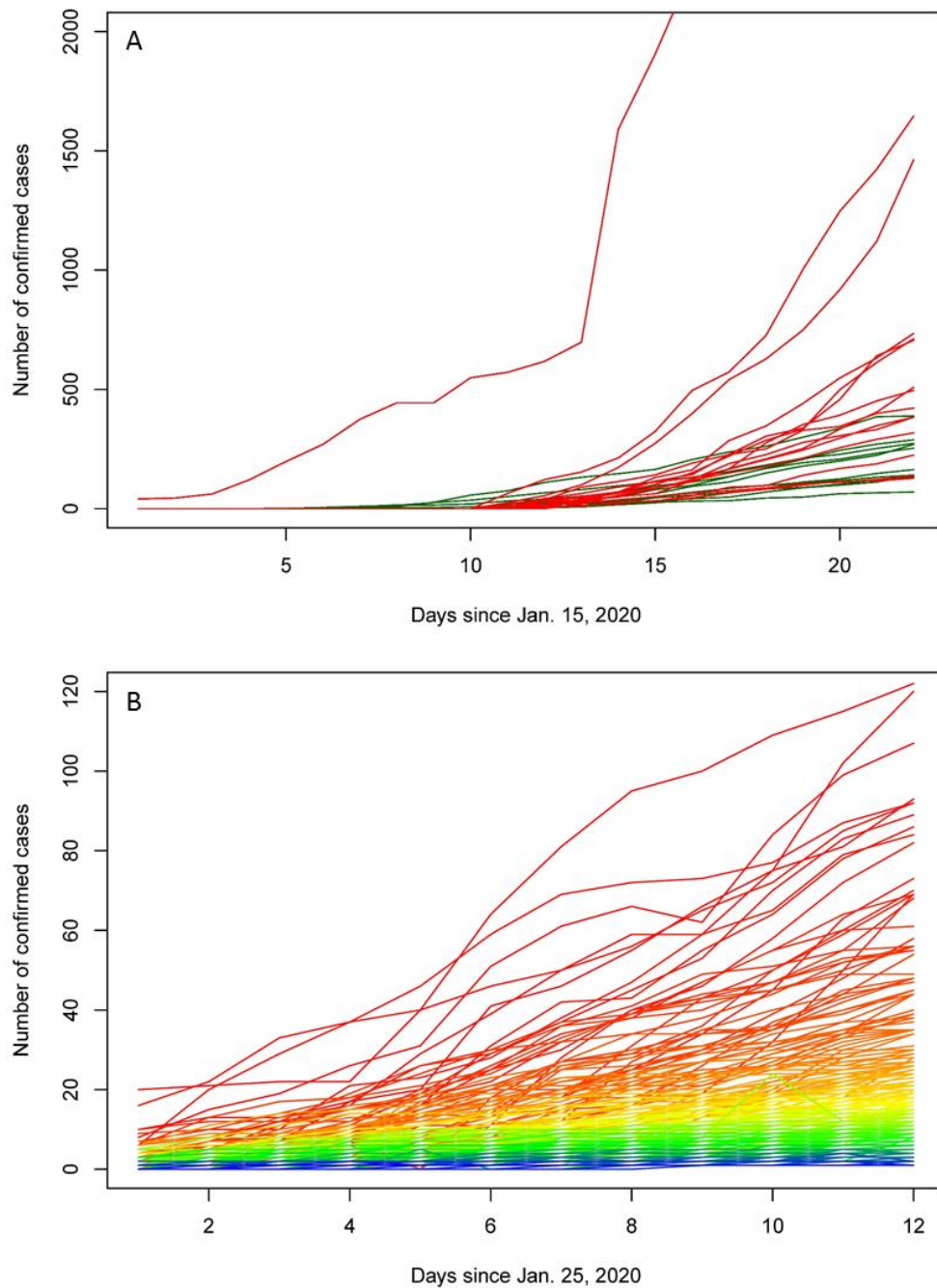
105 Other model parameters are: population size of Beijing and Wuhan are 22
106 million and 10 million, respectively, based on the data (for 2018) provided by
107 National Bureau of Statistics of China at
108 <http://data.stats.gov.cn/easyquery.htm?cn=E0105>. The proportion of susceptible is
109 50% of the total population. The rate of recovery $\nu = 1/5$ per day. The basic
110 reproductive number R_0 does not directly used in the SEIR model. It has the following
111 function with the model variables and parameters: $R_0 = \beta/\nu \times S/N$.

112 To make our analysis repeatable, we posted the data used in this study and R
113 code for the SEIR model at <https://github.com/Xinhai-Li/2019-nCoV>.

114 3. Results

115 Until 9:00 on Feb. 9, the number of confirmed cases reaches 37251
116 (https://voice.baidu.com/act/newpneumonia/newpneumonia/?from=osari_pc_1). In
117 Hubei Province, the number of confirmed cases in the capital city Wuhan and other

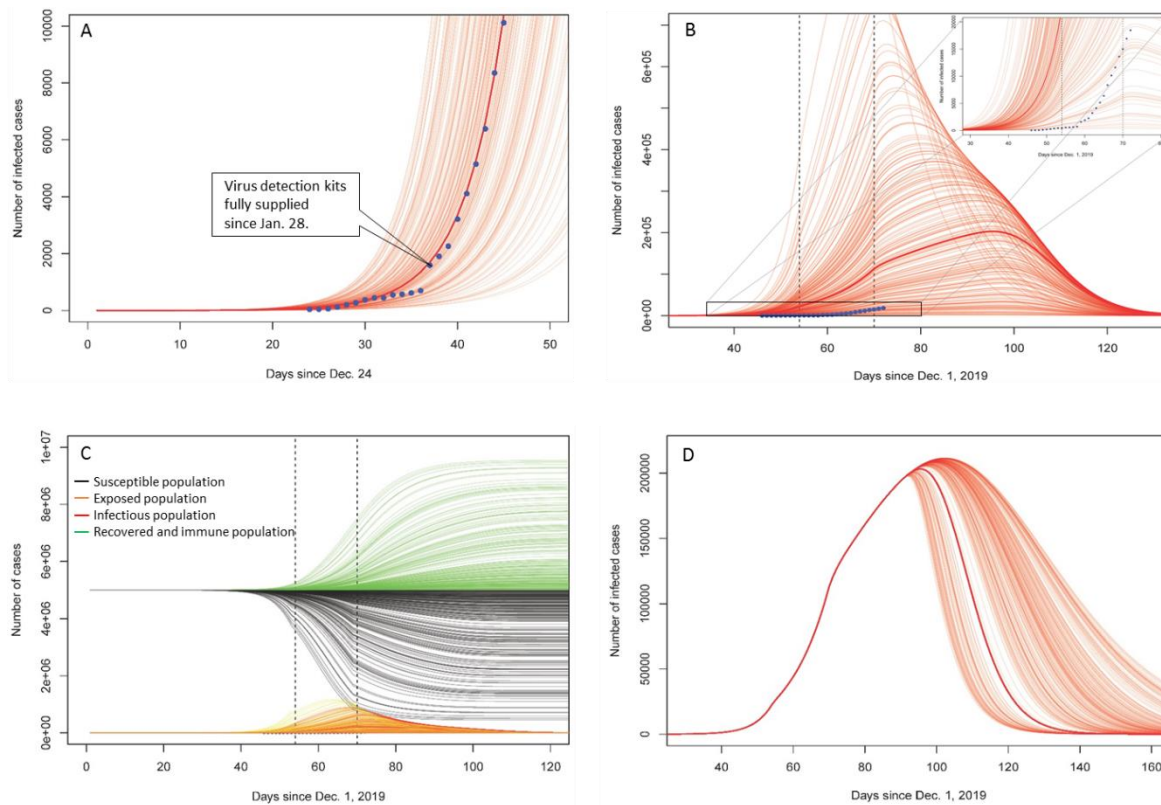
118 prefecture-level cities grow exponentially; whereas in other cities in China, the
119 number of infections increase linearly (Fig. 2).



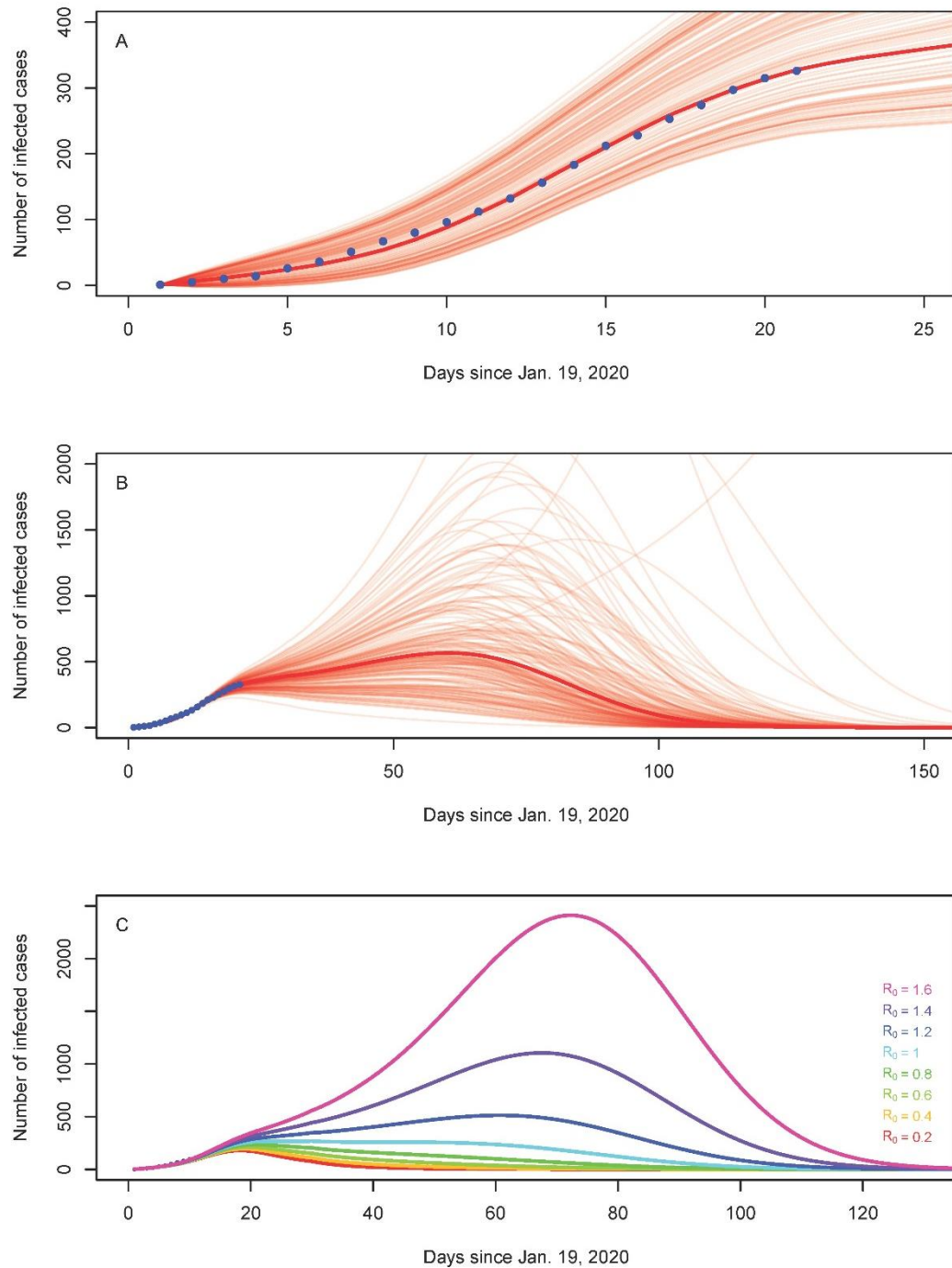
120 Fig. 2 The number of confirmed cases (Jan. 25 to Feb. 5) of 2019-nCoV in 332 cities in
121 China. A. The number of cases in top 26 cities. The red lines show the numbers of cases
122 in cities inside Hubei Province, and the green lines demonstrate those in other cities in
123 China. B. The number of cases in other 306 cities.

124 We simulated the virus transmission process using the SEIR model and
125 estimated the model parameters for Wuhan (Fig. 3) and Beijing (Fig. 4). For Wuhan,
126 we first fit the number of confirmed cases (Fig. 3A), where the R_0 is 5.75, much
127 higher than that in most studies yet lower than Tang et al.'s result (Table 1). Due to
128 the reality that a number of infected people in Hubei Province have not been checked
129 [7, 8], we fit a more realistic transmission dynamics, under a condition of a fixed
130 starting day on Dec. 1, 2019 (Fig. 3B). The best fit model having the R_0 5.0. After the
131 city closure on Jan. 23, the residents of Wuhan performed strong self-protection by
132 isolating themselves at home, so that we halved basic reproductive number to 2.5,
133 reflecting the pattern of family cluster infection of the virus. Since Feb. 8, a door-to-
134 door check was started in Wuhan in order to take all confirmed and suspected people
135 into medical care, and the transmission between family members dropped greatly. As
136 such, we further decrease the R_0 to 1.5. With these transmission rates, the infectious
137 population could reach the peak of $1.75 \pm 2.12 \times 10^5$ on March 6 ± 10 , 2020. The
138 warming climate, based on Equation 2, may shorten the transmission duration by 1-2
139 months (Fig. 3D).

140 For Beijing, about half of the infections were directly imported from Wuhan [8].
141 We assume the infection flow would last for 30 days (from Jan. 19 to Feb. 18), caused
142 by the 10 million people leaving the returning Beijing for holidays. We simulated the
143 numbers of imported cases and found the best fit of daily imported cases for the 30
144 days following a normal distribution, with mean value and SD to be 19 ± 12.7
145 individuals / day. To estimate the fluctuation of this time series of imported cases, we
146 calculated the standard deviation of the mean value (19 individuals / day) of the daily
147 imported patients from Jan. 19 to Feb. 8, which is 3.67. We adopted this uncertainty
148 to run 200 simulation, and found the R_0 1.4 is the perfect fit (Fig. 4A). Considering
149 the strict community locking (close to any visitors) and other extreme control
150 measures (such as office building control, i.e. checking body temperature for every
151 one and refusing visitors) in Beijing, we adopted a lower R_0 1.2 after the first 30 days
152 of transmission. The uncertainty of R_0 was simulated with CV = 15% (see Table 1),
153 and the results indicate that the infectious population may reach 607 ± 553 on March
154 20 ± 16 (Fig. 4B). The uncertainty of the transmission dynamics, as simulated by the
155 SEIR model, is very high due to large variance in imported cases and R_0 (Fig. 4).



156 Fig. 3 Simulated ($n = 200$) 2019-nCoV transmission dynamics in Wuhan. The CV of R_0 is
157 15% for 200 simulations. A. Fit SEIR model to the published confirmed number of cases
158 in Wuhan. The blue dots show the number of confirmed cases. The R_0 is 5.75. B. Fit
159 SEIR model based on the “real” starting date on Dec. 1, 2019. The R_0 is 5 before Jan.
160 23, 2.5 between Jan. 23 and Feb. 8, 1.5 after Feb. 8. The dotted vertical lines indicate
161 the dates of city closure (Jan. 23) and door-to-door checking (Feb. 8). C. The dynamics
162 of susceptible, exposed, infectious and recover/immune populations. Fig. 3B is a part of
163 Fig. 3C. D. The dynamics of infectious population under the effect of warming climate ($C =$
164 0.1 , $SD = 0.03$).



165 Fig. 4 Predicted infectious population of 2019-nCoV in Beijing using SEIR. A. Predicted
166 infectious population at the early stage of transmission. The mean imported cases of
167 infection is 19 persons / day for 30 days, and the standard deviation of this mean value is
168 3.67 for 200 simulations. The R_0 is fixed at 1.4. The blue dots show the number of
169 confirmed cases B. Predicted infectious population by 200 simulations at the whole
170 transmission period. The R_0 decreases to 1.2 ± 0.18 due to strict control. C. Predicted
171 infectious population in Beijing with a series of R_0 values. The parameter C (for
172 temperature effect) in Equation 3 is 0.001 for Fig. 4B and Fig. 4C.

173 4. Discussion

174 We simulated the transmission dynamics of 2019-nCoV, with taking into account of
175 the strict control measures enforced in the two cities. For Wuhan, city closure was a
176 chock upon the local residents and they took much better protection than before.
177 Accordingly, we halved the R_0 after the city closure. As to Beijing, strict control
178 measures have been implemented. As a result, the local infection happened only 41
179 times until Feb. 3, with 124 people infected, which equals to half of the confirmed
180 cases [16]. The dynamics of virus transmission are dramatically different within and
181 without Hubei Province (Fig. 2), so that we gave different model parameters for the
182 two cities. Our results about infectious population in Wuhan is lower than Read et
183 al.'s estimation by 60%. Our estimation for Beijing is also much lower than the
184 prediction of other studies [e.g. 7, 8]. We believe we provide a more realistic forecast,
185 as we are witnessing the strict prevention activities carried out by all organizations
186 and local communities in Beijing, and the linear increase (not exponential increase) of
187 daily confirmed cases has proved the effectiveness of control measures.

188 China is running an antiviral campaign against 2019-nCoV. Besides the
189 lockdown of Hubei Province, many cities blocked the highway and stopped the traffic
190 through their domain. The longest vacation (for Chinese New Year) had been
191 extended twice. The majority of people quarantine themselves at home for 14 days
192 after travel. All domestic and international tourism were cancelled and banned.
193 Numerous meetings, games, shows have been postponed. Schools are still closed.
194 Compared with the prevention measures against SARS 17 years ago, this campaign
195 has much stricter control on local communities (only accessible to residents). In the
196 meantime, every people wear a mask during outdoor activities. Mandatory quarantine
197 for close contactors is not enforced at this time.

198 There are three difficulties in the antiviral campaign. 1. The incubation period
199 of 2019-nCoV is long. 2. Some patients have no symptoms yet they are infectious.
200 Although a case of no symptom transmission [17] had been proved flawed [18], more
201 other cases had been reported in many sources such as newspapers, website, and
202 Wechat, etc. The current prevention method, body temperature checking, could not
203 detect such people. 3. The false negative rate of 2019-nCoV diagnosis is high. For
204 example, Dr. Wenliang Li (WHO Twitter mourned his death due to 2019-nCoV on

205 Feb. 6, 2020) had mentioned at his Wechat account that the nucleic acid detection for
206 him was negative, when he suffered breath difficulty. We think it would have a longer
207 fight (Fig. 3 & 4) against 2019-nCoV than SARS and MERS.

208 Wuhan can represent the situation of other cities in Hubei Province. After the
209 lockdown, these cities are isolated. Even over ten thousand medical workers from
210 other cities all over China have entered Wuhan for help, the infectious population is
211 still too large to be taken care of. However, starting from Feb. 2 and Feb. 8, two newly
212 established hospitals (Huoshenshan and Leishenshan Hospitals) with altogether 2500
213 beds for infectious patients have been already in service. With square bay hospitals
214 having been built and coming into use, and a door to door checking of all residents in
215 Wuhan started on Feb. 8, all infected and suspected people in Wuhan would be under
216 medical care. The infectious population in Wuhan, under the progressive prevention
217 activities, can be substantially lower (Fig. 3B) than the situation of natural
218 transmission of the virus.

219 Beijing is a representative of other large cities outside Hubei Province in China.
220 In Beijing, all confirmed and suspected cases have been taken into designated
221 hospitals, with their close contactors being tracked and quarantined in hotels or at
222 home. Similar controls are being implemented in other Chinese cities. The linear
223 increases of total number of confirmed cases (Fig. 2) indicates the spread of the virus
224 is under strictly control. The lockdown of Hubei Province substantially decreased the
225 imported infections, ensure the situation being manageable outside the province.

226 We kept the parameters of incubation period and serial interval constant for all
227 simulations. The uncertainty of these parameters also influences the results. The
228 estimation of R_0 , its variance, and the rate of susceptible population, as well as the
229 effect of control measures and warming climate, are relatively arbitrary. In spite of the
230 weakness, we provide a more accurate estimation than previous studies. We hope our
231 results are valuable for instructing further antivirus activities.

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