

1 Manuscript title: Ambient nitrogen dioxide pollution and spread ability of
2 COVID-19 in Chinese cities

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1 The Coronavirus (COVID-19) epidemic, which was first reported in
2 December 2019 in Wuhan, China, has caused 219,331 confirmed cases as of 20
3 March 2020, with 81,301 cases being reported in China. It has been declared a
4 pandemic by the World Health Organization in 11 March 2020 (1). Although
5 massive intervention measures have been implemented in China (e.g. shutting
6 down cities, extending holidays and travel ban) and many other countries, the
7 spread of the disease are unlikely to be stopped over the world shortly. It is
8 becoming evident that environmental factors are associated with seasonality of
9 respiratory-borne diseases' epidemics (2). Previous studies have suggested that
10 ambient nitrogen dioxide (NO₂) exposure may play a role in the phenotypes of
11 respiratory diseases, including, but not limited to, influenza, asthma and severe
12 acute respiratory syndrome (SARS). NO₂), for example, might increase the
13 susceptibility of adults to virus infections (3). High exposure to NO₂ before the
14 start of a respiratory viral infection is associated with the severity of asthma
15 exacerbation (4). This study aims to assess the associations of ambient NO₂
16 levels with spread ability of COVID-19 across 63 Chinese cities, and provides
17 information for the further prevention and control of COVID-19.

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19 Methods

20 We collected COVID-19 confirmed case information reported by the
21 National Health Commission and the Provincial Health Commissions of China.

1 We calculated basic reproduction number (R_0) for 63 cities with more than 50
2 cases as of February 10 (COVID-19 peak time in China, including 12 cities in
3 Hubei and 51 cities outside Hubei). The R_0 means the expected number of
4 secondary cases produced by an initial infectious individual, in a completely
5 susceptible population. The calculation process is completed by R software.

6 Hourly NO_2 data were obtained from the National Urban Air Quality
7 Publishing Platform (<http://106.37.208.233:20035/>), which is administered by
8 China's Ministry of Environmental Protection. Daily concentrations of NO_2
9 were calculated as the average of at least 18 (75%) hourly concentrations for all
10 state-controlled stations, then daily NO_2 levels of the city was averaged from all
11 valid stations within it. Other meteorological data including daily mean
12 temperature and relative humidity were collected from the China
13 Meteorological Data Sharing Service System.

14 We conducted a cross-sectional analysis to examine the spatial associations
15 of NO_2 with R_0 of COVID-19, and a longitudinal analysis to examine the
16 temporal associations (day-by-day) of NO_2 with R_0 in the cities in Hubei
17 province since they had enough confirmed case number to acquire stable daily
18 R_0 and the other covariates including health policies were quite similar inside
19 Hubei. We used multiple linear regression to assess the relationship between the
20 spread ability of COVID-19 and NO_2 pollution across the different cities.

1 Results

2 Among 63 cities, the mean \pm standard deviation and range were (27.9 \pm 8.3,
3 10.7-53.0) for NO₂ and (1.4 \pm 0.3, 0.6-2.5) for R₀. The top three cities (Wuhan,
4 Huanggang and Yichang) with the highest R₀ were all in Hubei Province.

5 The cross-sectional analysis shows that, after adjustment for temperature
6 and humidity, the R₀ was positively associated with NO₂ in all cities ($\chi^2=10.18$,
7 $p=0.037$). In a following stratified analysis, a significant association was
8 confirmed in the cities outside of Hubei ($r=0.29$, $p=0.046$), while it is not the
9 case in the cities inside Hubei ($r=0.51$, $p=0.130$) (**Figure 1**). We did not find
10 significant associations of temperature and relative humidity with R₀ of
11 COVID-19 ($\chi^2=4.62$, $p=0.372$ and $\chi^2=1.63$, $p=0.804$).

12 In temporal scale, we calculated daily R₀ of 11 cities in Hubei except Wuhan
13 from January 27 to February 26 (there were few COVID-19 confirmed cases in
14 these cities afterwards), and normalized them based on Wuhan's daily R₀ in
15 order to avoid other covariates' effects. We found that the 11 Hubei cities
16 (except Xianning City) all held significant positive correlations between NO₂
17 (with 12-day time lag) and R₀ ($r>0.51$, $p<0.005$), suggesting a positive
18 association between NO₂ and COVID-19 spread ability in the temporal scale
19 (**Figure 2**).

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21 Discussion

1 Our study was designed to explore the association between the environment
2 factors and the transmission of COVID-19. To our knowledge, this is the first
3 study to investigate the ambient air pollution associated with the transmission of
4 COVID-19. Our results reported the significant association between NO₂
5 exposure and R₀, suggesting that ambient NO₂ may contribute to the spread
6 ability of COVID-19. Previous studies have suggested that the increase spread
7 ability from NO₂ might not be caused by increased susceptibility of the
8 epithelial cells to infection but may result from effects of NO₂ on host defenses
9 that prevent the spread of virus (5). Since NO₂ is a traffic-related air pollutant,
10 the association may also be explained by the relationship between virus spread
11 and population movement. Clearly, further investigations are warranted to
12 provide additional details and illustrate the mechanism.

13 Our study has limitations. Given the ecological nature of study, other city-
14 level factors, such as implementation ability of COVID-19 control policy,
15 urbanization rate, and availability of medical resources, may affect the
16 transmissibility of COVID-19 and confound our findings. Future studies should
17 develop individual based models with high spatial-temporal resolution to assess
18 the correlation between air pollution and epidemiologic characteristics of
19 COVID-19.

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21 **Author contributions**

22 Ye Yao, Weibing Wang, and Haidong Kan designed the study. Jinhua Pan,

1 Zhixi Liu, Ye Yao, and Weibing Wang collected COVID-19 incidence data and
2 gained insight into the biology and natural history of the virus. Jinhua Pan,
3 Zhixi Liu., Ye Yao and Weibing Wang developed the model and obtained the
4 related parameters. Weidong Wang and Haidong Kan collected meteorological
5 factors. Ye Yao, Jinhua Pan, Zhixi Liu, and Xia Meng drafted the manuscript.
6 Haidong Kan and Weibing Wang revised the manuscript. All authors critically
7 reviewed and approved the final version of the manuscript.

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9 **Competing interests**

10 The authors declare no competing interests.

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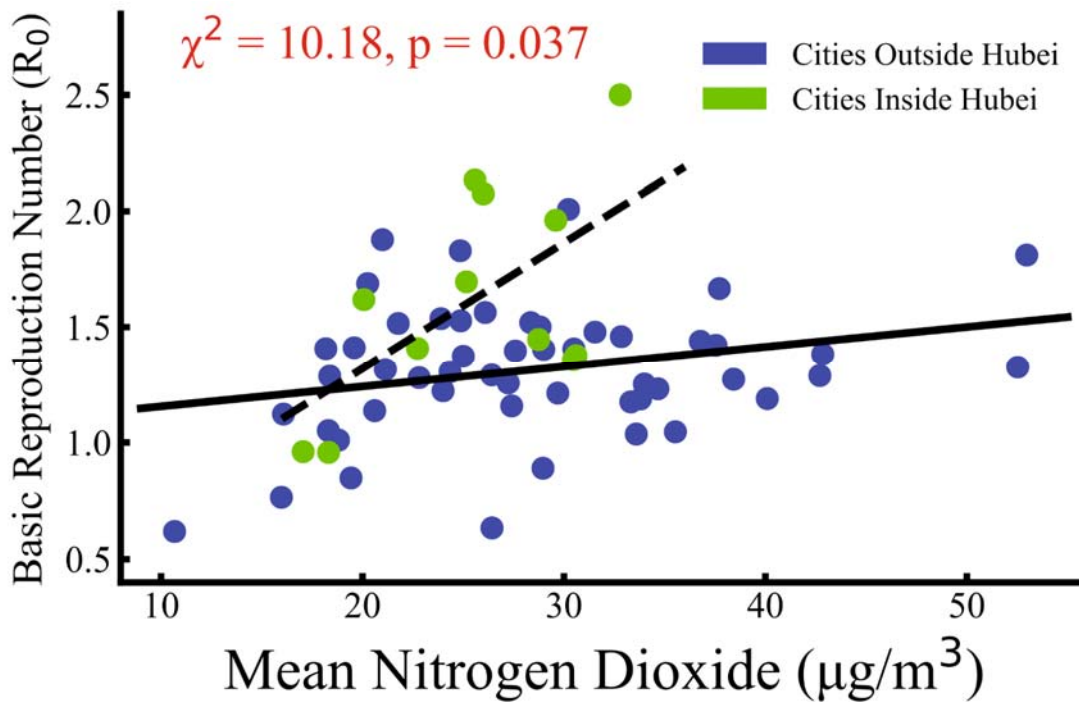
13 This study was sponsored by the Bill & Melinda Gates Foundation (Grant
14 No. OPP1216424) and Fudan University Research Project on COVID-19
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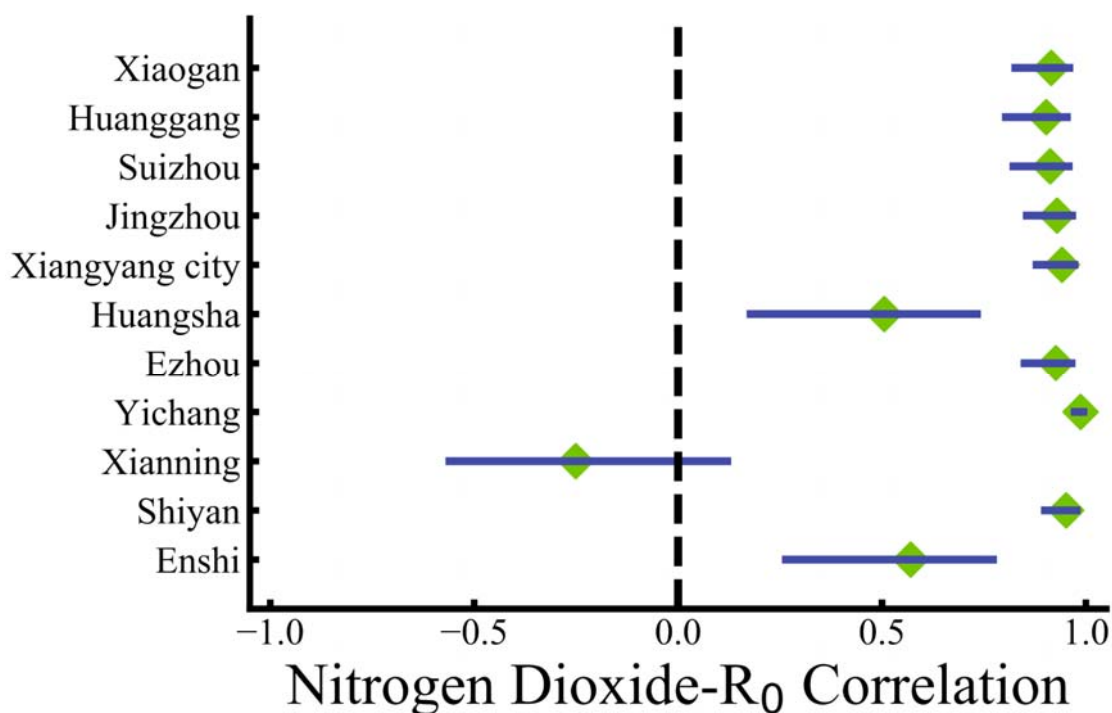
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- 3 epithelial cells. *Environ Res* 1999; 81: 159-166.
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- 7 **Figure 1 Nitrogen Dioxide and Spread Ability of COVID-19 in Spatial Scale**
- 8 Basic Reproduction Number R_0 was positively associated (Meta $\chi^2=10.18, p=0.037$) with
- 9 NO_2 in cities outside Hubei (blue points, 51 cities, $r=0.29, p=0.046$) and cities inside Hubei
- 10 (green points, 12 cities, $r=0.51, p=0.13$).
- 11 Temperature and humidity effects have been removed during statistical analysis.
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2 **Figure 2 Nitrogen Dioxide and Spread Ability of COVID-19 in Temporal**
3 **Scale in Hubei**

4 Nitrogen Dioxide- R_0 Temporal Correlation in 11 Hubei Cities. The 11 Hubei cities except
5 Xianning all held significant positive correlations ($r > 0.51$, $p < 0.005$) between NO_2 (with 12-
6 day time lag) and daily R_0 (normalized based on Wuhan's daily R_0).
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10 **Supplementary Material**

11 **Data collection**

12 We collected COVID-19 confirmed case information in China reported by
13 the National Health Commission and the Provincial Health Commissions of
14 China. We calculated basic reproduction number (R_0) for 63 cities with more
15 than 50 cases as of February 10 (including 12 cities in Hubei and 51 cities
16 outside Hubei).

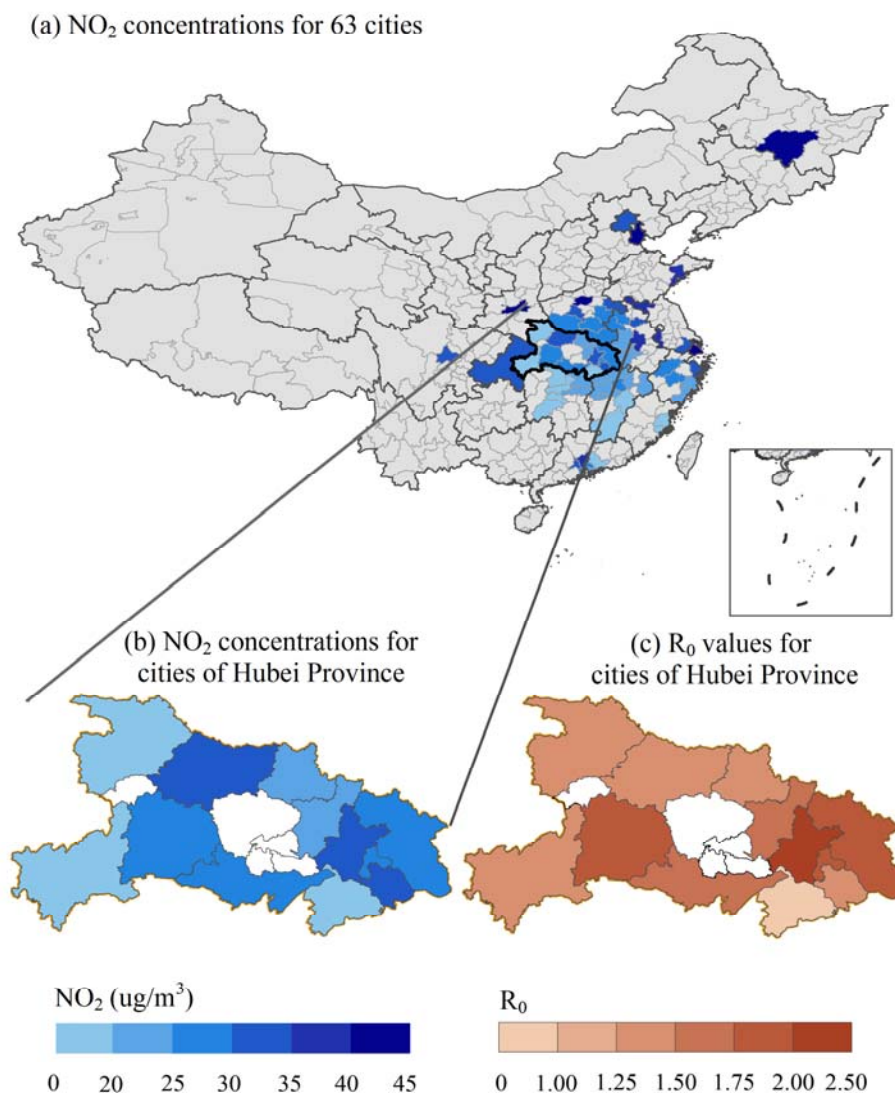
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19 China's Ministry of Environmental Protection. Daily concentrations of NO_2

1 were calculated as the average of at least 18 (75%) hourly concentrations for all
2 state-controlled stations, then daily NO₂ levels of the city was averaged from all
3 valid stations within it. Other meteorological data including daily mean
4 temperature and relative humidity were collected from the China
5 Meteorological Data Sharing Service System.

6 **Data analysis**

7 We conducted a cross-sectional analysis to examine the spatial associations
8 of NO₂ with R₀ of COVID-19, and examined the temporal day-by-day
9 associations of NO₂ with R₀ in cities of Hubei province since they had enough
10 confirmed case number to acquire stable daily R₀ and the other covariates
11 including health policies were quite similar inside Hubei. We used multiple
12 linear regression to assess the relationship between the spread ability of
13 COVID-19 and nitrogen dioxide pollution across different cities. The basic
14 reproduction number, denoted R₀, means the expected number of secondary
15 cases produced by an initial infectious individual, in a completely susceptible
16 population. if $R_0 < 1$, then the disease free equilibrium is locally asymptotically
17 stable; whereas if $R_0 > 1$, then it is unstable. Thus, R₀ is a threshold parameter.
18 The calculation process is completed by R software.

19 **Spatial distribution of NO₂**



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Suppl.Figure1. The spatial Distribution of Average of Nitrogen Dioxide Concentration and Spread Ability of COVID-19.

The China map shows the spatial distribution of the average nitrogen dioxide concentration from January 1, 2020, to February 8, 2020, in 63 Chinese cities. And zoom up the "Hubei province" part to compare the trend of the average nitrogen dioxide concentration (gradient blue map, bottom left) with the spatial trend of the basic reproduction number R₀ (gradient brown map, bottom right) in Hubei province.